

# World Food Programme

A Report Commissioned by the Office of Evaluation

Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

VOL. II Annexes

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# Acknowledgement

The evaluation team visited Chad, Nepal, Rwanda Uganda and Zambia from March 2007 to April 2007 and Regional Bureaux Bangkok, Kampala, Johannesburg and Dakar. This document was prepared by the mission team leader on the basis of the contributions of evaluation team.

On behalf of the team, the author wishes to extend thanks to all those who facilitated the team's work in the field and in Headquarters.

Responsibility for the opinions expressed in this report rests solely with the authors. Publication of this document does not imply endorsement by WFP of the opinions expressed.

## **Evaluation Team**

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- Barry Riley, Team Member, Consultant
- Nathan Morrow, Team Member, Consultant
- Anne-Claire Luzot, Evaluation Manager, WFP

## Acronyms

AG	Advisory Group
APR	Annual Performance Review
CAP/NAF	
CFSAM	Consolidated Appeal Process/Needs Analysis Framework Crop and Food Supply Assessment Mission
CFSVA	
CF3VA	Comprehensive Food Security and Vulnerability
00	Assessment
CO	Country Office
DFID	Department for International Development
DISI	Development Information Services International
EB	Executive Board
EC	European Commission
ECHO	European Commission Humanitarian Aid Office
EFSA	Emergency Food Security Assessment
EMOP	Emergency Operations Programme
ENA	Emergency Needs Assessment
EU	European Union
FAO	Food and Agriculture Organization
FEWS	Famine Early-Warning System Network
NET	
FSAU	Food Security Assessment Unit (Somalia)
FSMS	Food Security Monitoring System
HEA	Household Economy Analysis
HQ	Head Quarters
IASC	Inter-Agency Standing Committee
ICRC	International Committee of the Red Cross
IFPRI	International Food Policy Research Institute
IP	Implementation Plan (of SENAIP)
IPC	Integrated Phase Classification
JAM	UNHCR/WFP Joint Assessment Mission
NGO	Non governmental Organization
OCHA	United Nations Office for the Coordination of Humanitarian
	Affairs
ODAN	Emergency Needs Assessment Service
ODB	Regional Bureau Bangkok
OD	WFP Operations Department
ODA	WFP Analysis, Assessment and Preparedness Division
ODAN	WFP Emergency Needs Assessment Branch
ODAV	WFP Vulnerability Analysis and Mapping Branch
ODI	Overseas Development Institute, UK
ODD	Regional Bureau Dakar
ODJ	Regional Bureau Johannesburg
ODK	Regional Bureau Kampala
OECD	Organization for Economic Cooperation and Development
OEDE	WFP Office of Evaluation
PCNA	Post-Conflict Needs Assessment
PRC	WFP Project Review Committee
PRRO	Protracted Relief and Recovery Operation
PSA	Programme Support and Administration
IUA	r rogramme support and Administration

QMC	Quality Monitoring Checklist
RAO	Regional Assessment Officer
RB	Regional Bureau
RHVP	Regional Hunger and Vulnerability Programme
SC	Steering Committee
SC-UK	Save the Children-United Kingdom
SENAC	Strengthening Emergency Needs Assessment Capacity
SENAIP	Strengthening Emergency Needs Assessment
	Implementation Plan
UN	United Nations
USAID	United States Agency for International Development
UNDP	United Nations Development Programme
UNHCR	Office of the United Nations High Commissioner for
	Refugees
UNICEF	United Nations Children's Fund
USAID	United States Agency for International Development
VAC	Vulnerability Assessment Committee
VAM	Vulnerability Analysis and Mapping
WFP	World Food Programme

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## Annex A: Terms of Reference

## Evaluation of the strengthening emergency needs assessments implementation plan (SENAIP) Terms of reference

#### 1. Background

WFP carries out or participate in emergency needs assessments (ENA) as a basis for its emergency operations (EMOPs) and protracted relief and recovery operations (PRROs). ENAs vary according to the nature of the emergency. In the early 2000's, studies such as that by Darcy and Hofmann in 2003<sup>1</sup> showed the need for ENAs improvement across the humanitarian community. A WFP review of internal and external studies and evaluations of ENAs identified several weaknesses: mainly on methodologies and technical issues, human capacities and transparency.

On the basis of the problems identified, WFP developed a strategy to strengthen the ENAs in order to improve their quality, utility, transparency and credibility and, early 2004, presented to the Executive Board (EB), a policy paper titled 'Emergency needs assessments' (WFP/EB.1/2004/4-A). This led to a 30-months implementation plan (IP). Progress made to implement the plan is regularly reported upon to the EB (end 2004, 2005 and 2006)<sup>2</sup>.

**Quality** refers mainly to accuracy of information (correct identification of food security problems, people who need assistance, their location and numbers as well as the type and magnitude of support required). **Transparency** refers to openness about ENAs findings and methodology (including the reliability of the data), dialogue, consultation with partners, partners' participation in assessments, debriefing and incorporation of recommendations into programming decisions. **Credibility** refers to the degree to which potential users trust ENAs while **utility** refers to the actual use made of ENAs.

To reach these objectives, the IP highlighted four main groups of results to be achieved between 2004 and 2007: (i) Improved accountability and increased transparency; (ii) strengthened ENA methods and guidance; (iii) improved availability, management and quality of crisis information in countries exposed to recurrent food security emergencies; and (iv) augmented capacities to undertake ENA. The main activities and expected results identified at that time are summarized in diagram 13

<sup>&</sup>lt;sup>1</sup> Darcy, J. & Hofmann, 2003, "According to need? Needs assessment and deicion-making in the humanitarian sector". Humanitarian Policy Group Report N° 15.

<sup>&</sup>lt;sup>2</sup> For further details, see : WFP, 2004, 'Strengthening ENA : progress to date and implementation plan'. WFP/EB.3/2004/4-E; WFP, 2005, 'Strengthening ENA: progress report on the implementation plan', WFP/EB.2/2005/4-E; and WFP, 2006, 'Strengthening ENA: second progress report on the implementation plan', WFP/EB.2/2006/4-B)Rev.1.

<sup>&</sup>lt;sup>3</sup> All the elements of the diagram have been directly extracted from 'Emergency needs assessments', WFP/EB.1/2004/4-A.

## **Diagram 1 : logical framework SENAIP 2004 (policy paper)**



## **Diagram 2: SENAC logical framework 2005 (as agreed with the Steering Committee)**



The SENAIP budget was US\$6.9 million in 2005 and a budget of US\$7.3 million was foreseen for 2006. The SENAIP has strong support from the donor community. In 2005 the European Commission Humanitarian Office (ECHO) provided US\$5 million through the strengthening emergency needs assessments capacities (SENAC) project. It was also supported with US\$700,000 from the Department for International Development (DFID) and US\$160,000 from the German Technical Cooperation (GTZ). WFP's contribution was about US\$1 million.

In order to achieve the SENAIP objectives, the Analysis, Assessment and Preparedness Division (ODA) was established at Headquarter (HQ) level to maximize linkages between the following three units: the Needs Assessment Branch (ODAN), the Vulnerability Analysis and Mapping Branch (ODAV) and the Emergency Preparedness and Response Branch (ODAP). At the regional and country levels vulnerability analysis and Mapping (VAM) officers are in charge of ENAs. Donor support has made it possible to recruit additional technical experts both at HQ and regional bureau levels.

The SENAIP identified four main types of ENAs: (i) the WFP emergency assessment missions; (ii) the FAO/WFP joint crop and food supply assessment missions; (iii) the joint WFP/UNHCR assessment missions; and (iv) the inter-agency assessments. In addition to the above mentioned ENAs, the IP includes comprehensive food security and vulnerability analyses (CFSVAs) as well as other technical studies. As of now when the TOR mention ENAs they refer to all types of assessments undertaken within the IP. In order to ensure transparency, all ENAs are posted on the internet at the following address:

http://www.wfp.org/operations/Emergency\_needs/index.asp?section=5&sub\_section=6

The SENAC project, whose logical framework<sup>4</sup> is summarised in diagram 2, is the main subcomponent of the SENAIP. It is guided by a steering committee of donor representatives which oversees the work plan and monitor progress. It is also supported by an advisory group composed of representatives of the academia, government, NGOs and other UN bodies. This group provides guidance mainly on research, development of methods and tools in key areas related to ENAs.

### 2. Purpose, objective and scope of the evaluation

### 2.1 Purpose

The purpose of the evaluation is twofold:

- Accountability: To ensure the transparency of WFP's interventions is a major component of the WFP's evaluation policy. In this context, OEDE has been approached to undertake an independent evaluation of the Emergency Needs Assessment Implementation Plan (SENAIP) to be presented to the Executive Board (November 2007 Session) as already mentioned in the progress report on the implementation plan presented to the Executive Board in October 2005 (WFP/EB.2/2005/4-E p.10).
- Learning: According to this progress report, the evaluation will also provide guidance on which competencies and procedures should be mainstreamed in the budget for the 2008-2009 biennium.

### 2.2 Objective

The main objective of the evaluation is to provide an external assessment of the progress made to improve the utility, credibility, transparency and quality of the ENAs undertaken in WFP (SENAIP's objective). The evaluation will analyse the relevance, effectiveness, efficiency, impact and sustainability of the SENAIP. The evaluation will draw conclusions, based on the findings, and provide

<sup>&</sup>lt;sup>4</sup> All the elements of the second diagram have been directly extracted of the logical framework table approved by the Steering Committee early 2005.

recommendations for the remaining period of implementation as well as for the mainstreaming plan foreseen upon completion of the IP.

### 2.3 Scope

The scope of the evaluation is the SENAIP initiated mid-2004 and due to last 30 months until December 2006. However, as there were some delays at the start, the IP has been extended to the end of 2007. The evaluation, foreseen for early 2007, will therefore focus on the period from 2004 to December 2006 in terms of implementation but will take into consideration plans of activities for the remaining period as long as they are developed before the end of February 2007.

As shown in the SENAIP logical framework the IP covers not only emergency needs assessments but also VAM analyses, technical studies as well as capacity building activities. All activities mentioned in the SENAIP are part of the evaluation scope. However, although an important of the SENAIP aims at improving methodologies, the evaluation will not attempt to determine if WFP has opted for the right methodology or not. There is indeed, an advisory group composed of the most eminent experts in this field who is already providing guidance on this issue. Rather the evaluation will focus on the methodological and technical issues raised in the policy paper and analyse if they have been addressed and what progress was made to improve the methodology. It will also assess the value added of the advisory group.

The ultimate objective of the SENAIP is to address more accurately the needs of the people affected by a humanitarian crisis. However, the evaluation will not be in a position to check the accuracy and impartiality of any specific ENA, rather it will focus on the concrete steps taken to ensure increased accuracy and impartiality of the ENAs since 2004.

#### **3.** Stakeholders and users of the evaluation

The evaluation stakeholders are mainly:

- WFP at Headquarter, regional and country level
- Donors (members of the Steering committee)
- Members of the IP advisory group
- Other UN agencies mainly HCR, OCHA, UNICEF and FAO
- Partner governments
- The people affected by crises

The stakeholders are very likely to be evaluation users as well. In addition to those identified above one should include:

- EB members
- Partners and operators active in the humanitarian sector
- Others?

#### 4. Evaluation criteria5

#### 4.1 Relevance

The extent to which the objectives of an intervention are consistent with beneficiaries' requirements and needs, global priorities and partners' and donors' policies.

The relevance of an intervention is assessed against the problems to be solved and challenges to be met. To what extent does the IP objective of improving the **quality**, **utility**, **transparency and credibility** of

<sup>&</sup>lt;sup>5</sup> The evaluation criteria used are those of the Organisation of Economic Cooperation and Development – Development Aid Committee

the ENAs, addresses the problems and issues raised at the time of its conception, as well as those which emerged during the course of the intervention? How did they relate to previous efforts and took advantage of lessons learnt from past experiences? To what extent is the SENAIP consistent with WFP policies (such as gender, HIV/AIDS, nutrition)?

The ultimate purpose of improving ENAs is to address more accurately the needs of the people affected by a humanitarian crisis. To what extent is the SENAIP contributing to this purpose? Do the ENAs inform programming and ultimately funding? To what extent are they relevant to the users' needs?

#### 4.2 Effectiveness

The extent to which the objectives of an intervention are achieved, or expected to be achieved, taking into consideration their relative importance.

The evaluation will analyse to what extent the outputs produced contributed to the outcomes expected and to how the combined outcomes actually met the IP objectives. In other words, have the **quality**, **transparency**, **utility and credibility** of all ENA types improved? To what extent have the countries/emergencies benefited from ENAs?

To address these questions, the evaluation team will analyse the results actually achieved in the four main fields identified in the IP and assess the progress made in terms of quality, credibility, utility and transparency:

- <u>Accountability and transparency</u>: How has accountability improved? Who is now effectively accountable for what? What progress has been made vis-à-vis the problems identified in the 2004 policy paper? What steps have been taken to ensure transparency of ENAs? Is progress observed for all types of ENAs? Is there a trade-off between ENAs timeliness, content and transparency?
- <u>ENA methodologies and guidance</u>: the 2004 policy paper identified a certain number of weaknesses. Have they been addressed effectively? What progress has been made? Do they cover all types of ENAs? What progress has been made to ensure that the assessments (all types) are more accurate (beneficiaries locations and numbers) and impartial (types and quantities of assistance needed)? What progress has been made in terms of markets analysis capacity? What actual use is made of the guidance provided? What is the value added of having different types of ENAs? What are the linkages between them?
- Availability, management and quality of crisis information in countries exposed to recurrent food security emergencies: what has been achieved in terms of improvement of availability, management and quality of information? What are the linkages with the ENAs? Do the ENAs take advantage of the data already available through the CFSVAs? ENAs are undertaken at one point in time while crises evolve. What mechanisms have been set up to monitor the evolution of the situation on a regular basis? What is their value added?
- <u>Capacities to undertake ENAs<sup>6</sup></u>: Are the expected results in terms of recruitment and training met? What is their value added? Is the target group for training consistent with the objective pursued? To what extent have partnerships in needs assessments been improved? To what extent have national capacities been improved and how are they used?

<sup>&</sup>lt;sup>6</sup> ODAN is planning a technical self evaluation of the training component whose results will be used to address the component of the IP.

### 4.3 Efficiency

## The extent to which inputs (human resources, funding, time, etc.) have been economically converted into outputs

At this point the evaluation will look more specifically at main elements which facilitated and/or hampered implementation such as:

- <u>Implementation modalities</u>:
  - At IP level: is the institutional set up the most efficient to reach the objective? This refers to the organisation of the work within ODA but also the efficiency of the arrangements between HQ, regional and country levels. What is the contribution of the SENAC project set-up across units and levels to the achievement of the objectives? What is the value added of the advisory group? What are the role and contribution of the steering committee? Has the IP been undertaken according to schedule? If there were delays, why? Did it cause any problem? What is the value added of having ENAs implemented internally rather than by external teams of experts?
  - At ENA level: Is the ENA process (launching, choices of methodologies, partnerships, implementation, timing, etc.) efficient?
- <u>Monitoring</u>: what mechanisms have been set up to ensure an efficient implementation of the plan? What is their value added?
- <u>Financial resources:</u> How much is the IP costing and to whom? What is an ENA costing now compared to before the IP? What is the cost efficiency of different types of ENA as well as of the training component?
- Human resources:
  - At IP level: Can the IP rely on an adequate level of human resources at the right time and the right place? How is the rotation system affecting this?
  - At ENA level: What is the value added of collaborating with partners and hiring short term expertise?

#### 4.4 Impact

#### Positive and negative, primary and secondary long-term effects produced by an intervention

As mentioned above, the objective of improving ENAs is to inform more adequately programming in WFP but also in other agencies (especially in the case of joint assessments). Therefore the evaluation will assess the extent to which ENAs are actually informing programming. It will also assess the extent to which it informs funding decisions. ODI is presently undertaking a study about the linkages between ENAs and decision making which should substantially support the evaluation on this issue.

Informing programming and funding is only meaningful if one can rely on more accurate assessments than it was the case before. What has been the impact of the SENAIP at that level?

#### 4.5 Sustainability

#### The continuation of benefits from an intervention after major assistance has been completed. Probability of continued long-term benefits.

Considering that the evaluation is due to take place before the end of the IP, it will not be possible to assess the benefits which will continue after the end of the donor support. However, it is possible to provide indications, on the basis of the evaluation findings, of the benefits which are most likely to be continued and to assess the steps already taken to ensure that these benefits continue. It will also identify elements which could be mainstreamed. Issues such as funding of ENAs after the IP completion and institutional arrangements will be raised.

### 5. Key issues

At this point in time two main key issues have been identified. It does not mean that the evaluation criteria did not address them but rather that they are considered to be so important that they should be more specifically analysed.

### **5.1 Partnerships**

Partnership is a key element of the SENAIP. The evaluation will have a particular look at the partnership dimension both at ENA level (methodologies, data, consultations, implementation, debriefing, dissemination, etc.) and at IP level (funding, steering committee, advisory group, etc.) and assess its value added.

#### **5.2 Timeliness**

ENAs are undertaken in emergency context where rapid availability of accurate data is essential to orient the response. It is therefore necessary to continuously make trade offs between methodological developments and timeliness of results availability. What mechanisms have been developed to ensure timeliness of results? Are the ENAs timely vis-à-vis the crises they are assessing? Are they timely to inform programming and funding? What are the main constraints (partnerships, contents of the ENA, others)?

#### 6. Methodology

### 6.1 Conceptual approach

The approach followed from the evaluation onset is as participative as possible. Already to draft the TOR key stakeholders were met and the draft version of the TOR was shared within WFP and with the Steering Committee in order to ensure that the evaluation provides relevant elements of information to its intended users.

The evaluation team will refer to the logical frameworks presented in the TOR and update them if proven necessary. The team will structure its analysis around the OECD DAC evaluation criteria as defined above.

In order to ensure maximum transparency of the evaluation process an evaluation matrix will be elaborated at the start in order to identify clearly which questions and sub questions as well as the indicators and sources of information. A possible way of presenting the matrix could be as follows:

Evaluation question		
Sub question	Indicator	Sources of information

However, it has be to said that sub questions and indicators might evolve during the course of he evaluation according to the data actually available as well as emerging findings leading to new interrogations.

### **6.2** Sources of information

The main sources of information are:

- Written documents:
  - All ENA produced within the IP available on the following website <u>http://www.wfp.org/operations/Emergency\_needs/index.asp?section=5&sub\_sect</u> <u>ion=6</u>
  - Any other desk reviews and studies conducted by SENAC which would not have been posted on the website

- Evaluations of ENA from WFP but also others such as the Tsunami Evaluation Coalition Document or the analysis of ODI of ENA in Ethiopia
- ENA Guidelines
- Project documents, notes for the records, etc.
- Policy documents
- Programming documents of EMOP and PRROs (available on <u>www.wfp.org</u>)
- Comments made in the Programme Review Committee (PRC) based on the ENAs
- External review of CFSVA by DISI (2006)
- Ongoing analysis of the linkages between ENA and programming by ODI
- Foreseen technical evaluation of the training component
- Good Humanitarian Donorship Initiative relevant papers
- SPHERE
- Interviews:
  - WFP staff in ODA at HQ but also regional and national levels directly involved in undertaking ENA and/or using the results
  - WFP Staff involved in programming
  - o Donors
  - Members of the advisory group
  - UN agencies directly involved in the ENA such as HCR and FAO
  - o Etc.
- Field visits: the evaluation team will undertake some case studies to assess in details an overall ENA process from the launching to the intervention itself. The purpose will not be to undertake specific programme evaluation but rather to collect information to feed into the overall evaluation exercise.
- Survey: the interest of this survey is to collect primary data from CO on their understanding, request and use of ENA. The form this will take will be decided during the inception phase.

WFP services involved in the evaluation will ensure that all relevant documents are made available to the evaluation team in a timely manner.

## 6.3 Quality insurance

The main insurance quality components are as follows:

- In order to ensure constructive critics of the evaluation work, a peer review of the different documents submitted by the evaluation team is envisaged. There will be an internal peer review by OEDE as well as an external peer review. The composition of the external peer review is still to be determined.
- Respect of the norms and standards of the evaluation as established by UNEG<sup>7</sup> <u>http://www.uneval.org/index.cfm?fuseaction=UNEG</u>
- Respect of methods and reporting quality criteria produced by ALNAP<sup>8</sup> <u>http://www.alnap.org/resources/quality\_proforma.htm</u>

<sup>&</sup>lt;sup>7</sup> United Nation Evaluation Group

<sup>&</sup>lt;sup>8</sup> Active Learning Network for Accountability and Performance in Humanitarian Action

#### 7. Phases of the evaluation

#### **Phase 0: Preparation phase**

During this phase OEDE launches the evaluation process, prepares the TOR and recruit the evaluation team. As mentioned earlier this preparation work was undertaken in collaboration with key stakeholders/users in order to ensure that the evaluation focuses on the key issues and addresses requirements of the users. The draft TOR were shared with key stakeholders within WFP and the steering committee prior their finalisation.

#### Phase 1: Inception phase

The objective of this phase is to clarify the evaluation questions, sub questions and indicators<sup>9</sup> and to finalise the evaluation methodology to address these questions, for instance the organisation of a survey.

The evaluation will establish a typology of the SENAIP outputs at the ENA level across countries, according to, namely, country profiles (crises prone countries) types of crises, types of ENA, partners involved, size of ENAs, programmes (EMOP and PRROs), etc. This typology will be used as a source of information to select ENAs to be reviewed during the desk analysis as well as the country case studies. All these elements will be put together in an inception report. This report will be shared for factual comments before its finalisation.

#### Phase 2: Desk analysis

On the basis of the inception report, the evaluation team will undertake an in-depth desk analysis of the documents and conduct interviews at WFP HQ as well as with donors and other key stakeholders.

#### Phase 3: Country case studies

The rationale behind the country case studies is to provide a better understanding of the ENA processes on the ground from the time an ENA is initiated to programming. It will also provide useful information on the linkages between the different levels (HQ, RB and CO) within WFP. All three country case studies will include combined visits to regional bureau and country office within a same region.

At the end of this phase a workshop will be organised with key stakeholders at WFP HQ level to present the main findings, conclusions and preliminary recommendations.

#### Phase 4: Reports

On the basis of the information collected during phase 2 and 3 the team leader will provide a technical report on the basis of the contributions made by the team members. The technical report will be circulated for factual comments before its finalisation.

A summary report will be produced for the Executive Board as well as a recommendation matrix which will also be shared before finalisation.

#### Phase 5: Presentation to the Executive Board and dissemination

The team leader will present the report at the EB November 2007 session. On the other hand and if relevant, a workshop/presentation could be envisaged to disseminate the results of the evaluation to the main stakeholders<sup>10</sup>.

<sup>&</sup>lt;sup>9</sup> Indicators have to be Specific Measurable Available, Reliable and Timely (SMART)

<sup>&</sup>lt;sup>10</sup> Funding for such a workshop has not been included in the evaluation budget.

## 8. Tentative timing of the evaluation

Activities/outputs	Timing
Phase 0 : preparation	0
Preparation of TOR and recruitment	September to December 2006
of the team	-
Phase 1 : Inception	
Key interviews and documents	(2 weeks) January 2007
review to clarify questions and	
indicators and to develop the	
typology	
= > inception report	
- Draft	25 January 2007
- Comments OEDE	27 January 2007
- Draft 2	30 January 2007
- Factual comments WFP	5 February 2007
- final version	15 February 2007
Phases 2: Desk analysis	
Analysis of documents, phone	February 2007
interviews, survey	
Phase 3 : Case studies	
3 country cases studies	March 2007
Workshop with WFP at HQ level:	April 2007
presentation of key findings,	-
conclusions and proposed	
recommendations	
Phase 4 : Reporting	
Technical report	
- Draft	20 April 2007
- Comments OEDE	25 April 2007
- Draft 2	10 May 2007
- Factual comments peer review,	20 May 2007
WFP and other key stakeholders	
- Final version	
	10 June 2007
Summary report	
- Draft	20 June 2007
- Comments WFP	30 June 2007
- Final version	15 July 2007
Phase 5 : Presentation to the EB	
EB Session 2	November 2007

## 9. Reporting

### **Inception report**

Must include:

- Acronyms
- Evaluation matrix
- Methodological approach
- Typology of ENA

Maximum 20 pages

### **Technical report**

Must include:

Acronyms

- Summary
- Background
- Presentation of the SENAIP
- Methodology followed
- Main findings
- Conclusions
- Recommendations
- Annexes: TOR, bibliography, people met, technical annexes of the country case studies, typology of ENA, etc.

The technical report (maximum 70 pages without annexes) should follow the logic developed in the TOR and the evaluation matrix. The progress made with regard to the input, output and outcome indicators proposed in the logical framework should be reported on. All conclusions and recommendations must be based on findings.

#### Summary report

Must include:

- Acronyms
- Summary
- Presentation of SENAIP
- Methodology
- Main findings
- Conclusions
- Recommendation matrix

The summary report is limited to 5000 words (including summary, boxes, footnotes, etc.). The recommendations must be presented in a matrix which, with the management response, cannot be longer than 2000 words.

#### **10. Team and funding**

The evaluation team is composed of 3 independent consultants.

The team leader (Nick Maunder) has already acted as evaluator and has an extensive experience in the field of vulnerability analysis, early warning systems, needs assessments and agricultural markets analysis. In addition, he has been directly involved in capacity building in the technical fields mentioned above. The team leader will have the overall responsibility of the evaluation. In this perspective, he will be expected to develop with the support of the team members the methodological approach. He will be responsible for the following outputs with contributions of the team members: the inception paper, the presentation of the findings during a workshop following the case studies, the full technical report as well as the summary report. More specifically we will focus on the issues linked to methodologies, vulnerability analysis and timeliness issues.

The second expert (Barry Riley) is also familiar with evaluations notably with WFP. He has a strong experience in food security analysis and indicators as well as in food aid needs assessments. He also has a very strong knowledge of capacity building and institutional issues. He will be more specifically responsible to analyse the accountability, transparency, capacity building and partnership issues. He will support the team leader in the preparation of the inception report and will provide inputs to the full technical reports.

The third expert (Nathan Morrow) has a sound knowledge of food security issues and needs assessments as well as practical experience in the field. He has been directly involved with vulnerability assessments and targeting in WFP but prior to the SENAIP. This experience provides him a unique background of WFP's work and institutional setting. He will collaborate with the other two experts during the whole evaluation process.

Allocations of specific tasks among team members will be finalised during the inception phase. The evaluation work will be supported by a young professional who will be in charge of developing the typology and managing the survey. It is envisaged to call upon two external peer reviewers who will be experienced in the issues dealt with by the evaluation without having been too been directly linked to the IP implementation.

OEDE is in charge of funding and managing the evaluation.

Anne-Claire Luzot, Evaluation Officer, OEDE.

## Annex B: Persons consulted

#### WFP HQ

Anne-Claire Luzot (OEDE) Wolfgang Herbinger (ODAN) Jaimie Wickens, Paul Buffard, Hildegard Tuttinghof (ODO) Julian Lefebvre (OEDE) Darelene Tymo (ODAN) Joyce Luma (ODAV) Jan Delbaere (ODAV) Anette Haller (ODAN) Henk-Jan Brinkman (PDPE) Nicole Steyer (ODAN) Martin Bloem (PDPN) Agnes Dhur (ODAN) Paul Turnbull (PDPP) Steve Omano (PDPS) John Ayelieff (ODA) Iain McDonald (ODAP) Amy Suzamme Horten (ODAP) Nicholas Crawford (PDPT) Jose Antonio Castillo (School Feeding) Charisse Tillman (ODAN) Alexis Hoskins (ODAN) Steve Omano (PD)

#### Steering Committee

Nana Skau (ECHO) Neil Briscoe (DFID) Will Whelan (USAID)

#### Advisory Group

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#### <u>ODD</u>

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301	WFP/Save the Children/FEWS- NET/Governme nt of Malawi VAC	Malawi VAC Food Security Monitoring Report	2004 - 05	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp039858.pdf
302	WFP-Regional Bureau for West Africa/UNHCR	Gambia - UNHCR/WFP Joint Assessment Mission: Senegalese Refugee Influx into the Western Division of the Gambia	2006 - 09	http://docustore.wfp.org/stellent/groups/pub lic/documents/ena/wfp116425.pdf
303	WFP Regional Bureau for West Africa, Dakar	Benin - Re-Assessment: Togolese Refugees and Returnees, November 2006 (Review and Extension of Regional EMOP 10465.0(BENIN / TOGO) Final report	2006 - 11	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp116570.pdf

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<b>ID</b> 304	Creator WFP	<b>Title</b> Togo - Joint Rapid Food Security	<b>Date</b> 2005 -	URL http://documents.wfp.org/stellent/groups/pu
304	WIT	Assessment (Consolidated Report), May 2005	2005 - 05	blic/documents/ena/wfp069382.pdf
305	WFP-Regional Bureau for West Africa, Dakar/UNHCR	Ghana - UNHCR/WFP Joint Assessment Mission, Ghana : Buduburam and Krisan camps. Final Report.	2006 - 07	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp105272.pdf
306	WFP Country Office Ghana/Universit y for Development Studies – Tamale, Ghana/WFP- VAM Rome	Food Security and Vulnerability Analysis in Five Regions of Ghana. Conducted in March 2004	2004 - 12	http://documents.wfp.org/stellent/groups/pu blic/documents/vam/wfp048592.pdf
307	WFP/ODAN	Ecuador - Evaluacion independiente de la seguridad alimentaria en el Ecuador. Estudio sobre la seguridad alimentaria y la nutrición en las provincias de Lago Agrio, Ibarra, Pichincha y Quito	2005 - 06	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp099424.pdf
308	WFP/UNHCR	Joint WFP-UNHCR Assessment Mission to Ecuador. Evaluation on the Situation and Needs of Refugees. Evaluation Report.Executive Summary	2004 - 03	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp099424.pdf
309	WFP Country Office Nicaragua/WFP – ODAV (VAM) Rome	Food Security and Livelihoods Survey in the Autonomous Atlantic Regions.Nicaragua. Conducted in February-March 2005	2005 - 07	http://documents.wfp.org/stellent/groups/pu blic/documents/vam/wfp073961.pdf
310	WFP	Food Security Assessment & Phase Classification Pilot	2006 - 12	http://docustore.wfp.org/stellent/groups/pub lic/documents/ena/wfp117428.pdf
311	ODK	Food Assistance to Somali and Ethiopian Refugees. PRRO 10283.1	2005 - 11	http://www.wfp.org/operations/current_oper ations/project_docs/102831.pdf
312	ODC	Assistance to Western Saharan Refugees PRRO 10172.1	2005 - 05	http://www.wfp.org/operations/current_oper ations/project_docs/101721.pdf
313	ODD	Reversing growing undernutrition in food in regions. PRRO 10541.0	nsecure	http://www.wfp.org/operations/current_oper ations/project_docs/105410.pdf
314	ODDY	Assistance to Populations Affected by Arme in the Central African Republic. PRRO 101		http://www.wfp.org/operations/current_oper ations/project_docs/101891.pdf
315	ODK	Assistance to Populations Affected by Conflicts PRRO 10312.0	2004 - 02	http://www.wfp.org/operations/current_oper ations/project_docs/103120.pdf
316	ODD	Post Conflict Relief and Rehabilitation in G Bissau PRRO 10148.2	Fuinea	http://www.wfp.org/operations/current_oper ations/project_docs/101482.pdf
317	ODJ	Food Assistance for Refugees in Malawi PF 10309.1	RRO	http://www.wfp.org/operations/current_oper ations/project_docs/103091.pdf
318	ODD	Fighting malnutrition and strengthening the subsistence of vulnerable populations in the Mali. PRRO 10452.0		http://www.wfp.org/operations/current_oper ations/project_docs/104520.pdf
319	ODD	Post-Conflict Relief and Rehabilitation in the Casamance PRRO 10188.1	2004 - 10	http://www.wfp.org/operations/current_oper ations/project_docs/101881.pdf
320	ODPC	Assistance to Persons Displaced by Violence PRRO 10366.0	2005 / 01-02	http://www.wfp.org/operations/current_oper ations/project_docs/103660.pdf
321	Human Development Team	Joint Need Assessment for Conflict- Affected Areas in Mindanao: Human Development Sector. Integrated Report	2004 - 12	http://spa.wfp.org/apps/prod/SPA4/SPA4M ain.nsf/a68afb79413eaad1c1256b5d005136 09/b20285ec9a5fd2c6412570cb00509281/\$ FILE/tempMindanao_JointNeedsAssessme nt_2005.pdf

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		Caribbean. EMOP Regional Operation 104	87.0	
323	ODK	Emergency Food Assistance to Victims of Drought EMOP 10448.0	2005	http://www.wfp.org/operations/current_oper ations/project_docs/104480.pdf
324	ODPC	Assistance to highly food-insecure flood vic. EMOP 10517.0	tims	http://www.wfp.org/operations/current_oper ations/project_docs/105170.pdf
325	ODPC	Immediate Assistance to Flood Victims in Bolivia EMOP IR 10587.0	2007 - 02	http://www.wfp.org/operations/current_oper ations/project_docs/105870.pdf
326	ODPC	Food Assistance for the Refugee Population by the Armed Conflict in Colombia EMOP		http://www.wfp.org/operations/current_oper ations/project_docs/103810.pdf
327	ODPC	Assistance to the Food Insecure Population by Hurricane Stan EMOP 10497.0	Affected	http://www.wfp.org/operations/current_oper ations/project_docs/104970.pdf
328	ODPC	Assistance To Flood Victims in Northeastern Peru IR EMOP 10585.0	2007 - 01	http://www.wfp.org/operations/current_oper ations/project_docs/105850.pdf
329	ODPC	Recovery and Prevention of Malnutrition for Vulnerable Groups PRRO 10457.0	2005 - 11	http://www.wfp.org/operations/current_oper ations/project_docs/104570.pdf
330	WFP	Mission d'Évaluation de la Sècuritè Alimentaire Populations Dèplacèes de l'Est du Ouaddai. Draft	2006 - 04/0	05
331	Ministère de l'Intérieur et de la Décentralisation	L'évaluation rapide multisectorielle et multipartenaires de l'impact de la sécheresse à Djibouti	2006 - 02	
332	ONARS WFP/UNHCR	UNHCR / WFP Joint Assessment Mission	2006 -	
552	WITTONIEK	For Liberian and Guinean Refugees in Guinea (July 10-17, 2006). Final Report	08	
333	Callanan A.	Join Assessment Mission, Kenya (Draft)	2006 - 10	
334	Kenya Food Security Steering Group	KFSSG Long Rains Assessment Report September 2006	2006 - 09	
335	WFP/FAO	FAO/WFP Crop and Food Supply Assessment Mission to Mozambique	2004 - 07	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp036504.pdf
336	WFP/FAO	FAO/WFP Crop and Food Supply Assessment Mission to Mozambique	2005 - 06	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp068084.pdf
337	WFP	Rapid Food Security Assessment. Nampula – Cabo Delgado	2006 - 03	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp118045.pdf
338	WFP	Emergency Food Security Assessment (EFSA) Far-west and Mid-west drought – Nepal Final Report	2006 -06	
339	WFP Country Office Nepal/ UNHCR	The WFP/UNHCR Joint Assessment Mission for Assistance to Bhutanese Refugees in Nepal. Draft Report (2004)	2004 - 12	
340	WFP Country Office Nepal/ UNHCR	The WFP/UNHCR Joint Assessment Mission for Assistance to Bhutanese Refugees in Nepal. Draft Report (2006)	2006	
341	Government of Niger	Enquete sur la Conjoncture et la Vulnerabilite Alimentaire des Menages	2006 - 07	
342	WFP Country Office Pakistan - VAM Unit	<i>Post-Earthquake Rapid Food Security</i> <i>Assessment</i>	2006 - 03	

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344	WFP/UNHCR	Report of UNHCR /WFP. Joint Assessment Mission For Liberian Refugees in Sierra Leone (June 30 – July 10, 2006) (Draft)	2006 - 08	
345	WFP	Emergency Food Security Assessment. The Vanni, Sri Lanka (Draft)	2006 - 10	
346	WFP/FAO/UNI CEF/Save the Children	Sri Lanka South kordofan survey report 2006	2006	
347	Lovon M., Regional Assessment Officer, Regional Bureau Panama/Grimm J., Emergency Officer, WFP Office, Barbados	Initial Food Security Assessment in the areas affected by flooding in Suriname (Draft)	2006 - 05	
348	WFP/UNICEF/ UNHCR	Assessment on the Situation of Iraqi Refugees in Syria. (Draft)	2006 - 03	
349	WFP Country Office Uganda	Food Security Assessment of IDP Camps in Gulu, Kitgum and Pader Districts. October 2006 Final Report.	2007 - 01	
350	WFP/UNHCR/O PM	Joint Assessment Mission for Ugandan Refugees (Draft)	2005 - 05	
351	WFP/ODAN	Global Food Aid Needs Assessment 2004 (Briefing note)	2005 - 03	
352	WFP/ODAN	Global Food Aid Needs Review 2004. A country-by-country survey of total food aid needs (Draft)	2005 - 04	
353	WFP/ODAN	Independent Emergency Needs Assessments. Lessons learned from nine pilot cases in 2004-2005 (Draft)	2005 - 10	
354	WFP/ODAN	Independent Food Security Assessment in Liberia. Food security and nutrition survey in Lofa, Nimba, and Montserrado Counties	2005 - 06	
355	AL-AZAR R./RAFIDIARIS OA M.S.	Madagascar. Action Plan. National Capacity Development for Emergency Food Security Assessment and Preparedness (Draft)	2006 - 11	
356	WFP/UNHCR	Joint Assessment Mission (JAM) Marratane Camp, Mozambique. 17th – 26th April 2006	2006 - 04	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp106175.pdf
357	ODC	Relief and Recovery Assistance to Vulnerable Groups PRRO 10053.1	2004 - 02	http://www.wfp.org/operations/current_oper ations/project_docs/100531.pdf
358	ODC	Assistance to IDPs and Other Vulnerable Groups PRRO 10168.1	2006	http://www.wfp.org/eb/docs/2006/wfp1056 42~1.pdf
359	ODC	Transitional Assistance and Capacity Build 10211.1	ing PRRO	http://www.wfp.org/operations/current_oper ations/project_docs/102111.pdf
360	ODB	Food Assistance to Bhutanese Refugees PR. 10058.5	RO	http://www.wfp.org/operations/current_oper ations/project_docs/100585.pdf
361	ODC	Assistance to Food-Insecure Households and Recovery Operations in Tajikistan PRRO 10231.0	2003 - 02	http://www.wfp.org/operations/current_oper ations/project_docs/102310.pdf

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		EMOP IR 10512.0	02	571F500434522/\$file/Ira+Emop+10512.0.d
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363	WFP/FAO	FAO/WFP Crop and Food Supply	2004 -	http://docustore.wfp.org/stellent/groups/pub
		Assessment Mission to Zimbabwe	07	lic/documents/ena/wfp036506.pdf
364	SADC FANR	Southern Africa - State of Food Insecurity	2006 -	http://documents.wfp.org/stellent/groups/pu
	Vulnerability	& Vulnerability in Southern Africa,	11	blic/documents/ena/wfp117735.pdf
	Assessment	November 2006 (from the National		
	Committee	Vulnerability Assessment Committee (NVAC) Reports April to June		
		2006)		
365	Members of the	Mozambique Vulnerability Analysis	2004	http://documents.wfp.org/stellent/groups/pu
000	Vulnerability	Report - Vulnerability Analysis of some	2001	blic/documents/vam/wfp034724.pdf
	Analysis	Districts in Critical Provinces		
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	nt of Mozambique			
366	Government of	Swaziland - National Vulnerability	2006 -	http://documents.wfp.org/stellent/groups/pu
	Swaziland	Assessment	09	blic/documents/ena/wfp117734.pdf
367	WFP/FAO	Swaziland - FAO/WFP Crop and Food	2005 -	http://documents.wfp.org/stellent/groups/pu
		Supply Assessment Mission, June 2005	06	blic/documents/ena/wfp068335.pdf
368	WFP/FAO	Swaziland - FAO/WFP Crop and Food	2004 -	http://documents.wfp.org/stellent/groups/pu
500	WITHIO	Supply Assessment Mission, July 2004	07	blic/documents/ena/wfp036505.pdf
369	WFP Country	Lesotho - Assessment of 2005/2006	2006 -	http://documents.wfp.org/stellent/groups/pu
	Office/FAO/Gov	agricultural production. Support to the	06	blic/documents/ena/wfp109465.pdf
	ernment of	Agricultural Season Assessment		
270	Lesotho		2005	
370	WFP/FAO	Lesotho - FAO/WFP Crop and Food Supply Assessment Mission, 2004	2005 - 06	http://documents.wfp.org/stellent/groups/pu blic/documents/ena/wfp068082.pdf
371	WFP/FAO	Lesotho - FAO/WFP Crop and Food	2004 -	http://documents.wfp.org/stellent/groups/pu
571	WITHING	Supply Assessment Mission, June 2006	07	blic/documents/ena/wfp036502.pdf
372	LVAC	Lesotho Livelihood Vulnerability	2004 -	http://documents.wfp.org/stellent/groups/pu
		Assessment - May 2004	05	blic/documents/ena/wfp041014.pdf
373	Country Office	Assistance to People Affected by Typhoon F	Reming IR	http://www.wfp.org/operations/current_oper
374	Philippines	EMOP 10574.0 Relief and Recovery Assistance to Victims of	f Tunk oon	ations/project_docs/105740.pdf http://www.wfp.org/operations/current_oper
574	ODB	Durian, EMOP 10575.0	y i ypnoon	ations/project_docs/105750.pdf
375	ODB	Assistance to Conflict Affected Mindanao E	CMOP	http://www.wfp.org/operations/current_oper
	0.5.0	10489.0	~ .	ations/project_docs/104890.pdf
376	ODC	Emergency Food Assistance to Vulnerable the North Caucasus EMOP 10128.2	Groups in	http://www.wfp.org/operations/current_oper ations/project_docs/101282.pdf
		the North Caucasus EMOP 10128.2		ations/project_docs/101282.pdf
377	ODC	Assistance to Primary School Children and		http://www.wfp.org/operations/current_oper
		Vulnerable Groups EMOP 10360.0		ations/project_docs/103600.pdf
378	ODC	Emergency Food Assistance to Vulnerable	Refugees	http://www.wfp.org/operations/current_oper
		fleeing Iraq. EMOP 10576.0		ations/project_docs/105760.pdf
379	Food Security	The Rapid Vulnerability Assessment	2006 -	
517	Information	(RVA) of Food Insecure Districts in	02	
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		Market Year. Drought Hits Hard. Final		
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380	ODC	Food Assistance for Refugees PRRO 10232	2.0	http://www.wfp.org/operations/current_oper ations/project_docs/102320.pdf
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<b>ID</b> 381	<b>Creator</b> WFP Country Office Benin	<b>Title</b> Assistance To Togolese Refugees in Benin. Immediate Response Emergency Operation Benin 10455.0 (IRA)	<b>Date</b> 2005	URL http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp065697.pdf
382	WFP Country Office Benin	Assistance To Population of North Benin Affected by the Food Crisis. Immediate Response Emergency Operation Benin 104850 (IRA)	2005 - 09	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp115639.pdf
383	ODPC	Prolonged Drought in El Chaco Region. EN 10392.0	10P	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp041038.pdf
384	WFP Country Office Bolivia	Bolivia Prolonged Drought in El Chaco Region. Immediate Response Emergency Operation Bolivia IR EMOP 10395.0	2004 - 09	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp045133.pdf
385	WFP Country Office Bolivia	Assistance to Flood Victims in Bolivia Immediate Response Emergency Operation Benin IR EMOP 10511.0	2006 - 02	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp087281.pdf
386	WFP/FAO/UNI CEF/WHOMinis tère de la Santé/Ministère de l'Agriculture	Analyse de la Situation Nutritionnelle et Plan d'Action Conjoint Gouvernement/Système des Nations Unies.Draft	2006	http://spa.wfp.org/apps/prod/SPA4/SPA4M ain.nsf/a68afb79413eaad1c1256b5d005136 09/f734955bfb2824cbc1257225003dfd5f/\$ FILE/temprapport%20technique%20GVT% 20SNU%20BKF.doc
387	WFP Country Office Cameroon	Aide alimentaire d'urgence en faveur des populations sinistrees de la province de l'extreme Nord. Operation d'urgence requerante une reponse immediate Cameroun 10434.0 (IRA)	2005 - 04	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp069841.pdf
388	ODDY	Assistance alimentaire d'urgence aux populations affectées par la sécheresse dans le département du Logone et Chari (Province de l'Extrême Nord)	2005	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp075161.pdf
389	WFP	TERMS OF REFERENCE: Consultancy for the formulation of a strategy and implementation plan on "Building Country-level Capacities in Emergency Needs Assessments (ENA) and Preparedness" in two pilot countries.	2006 - 10	
390	WFP Country Office Zambia	Orphans and Vulnerable Children in Zambia: An Assessment of Vulnerability in Selected Districts Supported Under the Community Schools Feeding Programme	2006 - 12	http://documents.wfp.org/stellent/groups/pu blic/documents/vam/wfp117663.pdf
391	ODPC	Prolonged Drought in the Eastern Region (Santiago, Las Tunas, Holguin, Granma, Guantanamo and Camaguey). Emergency Operation Cuba 10423.0	2005	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp055649.pdf
392	WFP Country Office Cuba	Drought in the Eastern Provinces - Immediate Response Emergency Operation Cuba 10369.0 (IRA)	2004 - 06	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp035361.pdf
393	WFP Country Office Cuba	Immediate Response Emergency Operation Cuba 10473.0 (IRA) - Hurricane Dennis	2005 - 07	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp070056.pdf
394	Tanzania Food Security Information Team (FSIT)	Rapid Vulnerability Assessment Report on Food Insecure Areas in Tanzania for the 2006/07 Marketing Year Main Report	2006 - 08	
395	WFP Country Office Ecuador	Food Assistance to Flood Affected Families in Los Rios Immediate Response Emmergency Operation Ecuador 10524.0 (IRA)	2006 - 05	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp093509.pdf

<b>ID</b> 396	Creator WFP Country	<b>Title</b> Food assistance to population affected by	<b>Date</b> 2006 -	URL http://docustore.wfp.org/stellent/groups/pub
570	Office Ecuador	Ecuador Volcano Eruption - Emergency Operation IR Ecuador 10542.0	08	lic/documents/projects/wfp104748.pdf
397	WFP Country Office Egypt	Food Assistance to Sudanese Refugees in Egypt - Emergency Operation IR 10507.0	2006 - 02	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp087671.pdf
398	ODD	Assistance to Senegalese Refugees and Host Community in the Gambia Emergency Operation 10572.0	2007	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp113722.pdf
399	Country Office Gambia	Food Assistance to Casamance Refugees in the Gambia Immediate Response Emergency Operation Gambia 10550.0 (IRA)	2006 - 09	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp113242.pdf
400	Country Office Ghana	Assistance to Populations Affected by Drought Immediate Response Emergency Operation Ghana 10471.0 (IRA)	2005 - 06	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp069343.pdf
401	Country Office Ghana	Assistance to Togolese Refugees Immediate Response Emergency Operation Ghana 10456.0 (IRA)	2005 - 04	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp115580.pdf
402	Country Office Guatemala	Assistance To Victims of Floods & Landslides in the Southern Coast & Western Highlands. Immediate Response Emergency Operation Guatemala 10492.0 (IRA)	2005 - 10	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp078681.pdf
403	Country Office Guinea Bissau	Assistance to IDPs in Northern Guinea- Bissau Immediate Response Emergency Operation Guinea-Bissau 10525.0 (IRA)	2006 - 05	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp094623.pdf
404	Country Office Guinea Bissau	Mitigating Flood Impact in Guinea- Bissau. Immediate Response Emergency Operation Guinea Bissau 10343.0 (IRA)	2004 - 02	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp028599.pdf
405	ODD	Post Conflict Relief and Rehabilitation in Guinea Bissau. PRRO 10148.1	2004	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp025014.pdf
406	WFP Regional Bureau for Latin America & Caribbean (Panama)	Floods in the Demerara/Mahaica (Region Four) of the East Coast Immediate Response Emergency Operation Guyana 10415.0	2005 - 01	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp046283.pdf
407	ODPC	Assistance to Food Insecure Persons in Crisis Situations PRRO 10382.0	2005 - 11	http://docustore.wfp.org/stellent/groups/pub lic/documents/eb/wfp076561.pdf
408	ODPC	Support to victims of Civil Unrest Emergency Operation Haiti 10347.0	2004	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp029111.pdf
409	WFP Country Office India	Assistance to Victims of Tsunami Immediate Response Emergency Operation India 10413.0 (IRA)	2004 -01	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp046306.pdf
410	ODC	Relief Assistance to Earthquake Victims in Bam Iran Emergency Operation 10332.0	2004	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp024196.pdf
411	ODC	Food Assistance to Lebanese affected by conflict Regional Emergency Operation ODC 10537.0 (Lebanon, Syria)	2006	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp100233.pdf
412	ODJ	Food Assistance for Refugees PRRO 10309.0	2004 - 05	http://docustore.wfp.org/stellent/groups/pub lic/documents/eb/wfp030034.pdf
413	ODD	Assistance aux populations affectees par l'invasion acridienne et la secheresse Operation d'Urgence Mali 10400.0	2005	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp048293.pdf

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<b>ID</b> 414	Creator WFP Regional	<i>Title</i> Assistance aux victimes du seisme d'Al	<b>Date</b> 2004 -	URL http://docustore.wfp.org/stellent/groups/inte
414	Bureau Middle East, Central Asia and Eastern, Egypt	Assistance dux victimes du seisme d'Al Hoceima Operation d'urgence - Reponse immediate Maroc 10348.0	2004 - 03	nnl/documents/projects/wfp049845.pdf
415	ODPC	Food Assistance to Bhutanese Refugees in Nepal Protracted Relief and Recovery Operation Nepal 10058.4	2006 - 06	http://docustore.wfp.org/stellent/groups/pub lic/documents/eb/wfp092203.pdf
416	ODPC	Food Assistance to Bhutanese Refugees in Nepal PRRO 10058.3	2004 - 02	http://docustore.wfp.org/stellent/groups/pub lic/documents/eb/wfp024505.pdf
417	WFP Country Office Nicaragua	Mudslides and Flooding in the Northern Region of the Country Immediate Response Emergency Operation Nicaragua 10376.0 (IRA)	2004 - 07	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp036152.pdf
418	ODPC	Assistance to Vulnerable Families due to extreme cold weather damage in highland areas of Southern Peru - Emergency Operation Peru 10393.0	2004	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp040381.pdf
419	WFP Country Office Peru	Peru Frost in Puno Department Immediate Response Emergency Operation Peru 10373.0 (IRA)	2004 - 07	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp036208.pdf
420	ODC	Emergency Food Assistance to Vulnerable Households in the North Caucasus Emergency Operation Russian Federation 10128.1	2004	http://docustore.wfp.org/stellent/groups/pub lic/documents/projects/wfp022298.pdf
421	ODB	Assistance to the Victims of Tsunami Immediate Response Emergency Operation Thailand 10403.0	2004 - 12	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp044590.pdf
422	WFP Country Office Togo	Assistance to Displaced Persons in Togo Immediate Response Emergency Operation Togo 10467.0 (IRA)	2006	http://docustore.wfp.org/stellent/groups/inte rnal/documents/projects/wfp090021.pdf
423	WFP/VAM	Estude sur la Sécurité Alimentaire et la Nutrition en Guinée Bissau	2005 - 06	http://spa.wfp.org/apps/prod/SPA4/SPA4M ain.nsf/a68afb79413eaad1c1256b5d005136 09/ebd6698c781b6b9ec12570c000645b2a/ <u>\$</u> FILE/tempWFP%20VAM%20Report.pdf
424	WFP	Consolidated ENAs Togo-Ghana-Bénin. To Consolidated Summary Report Joint Rapid Security Assessments.		http://portal.wfp.org/apps/prod/SPA/V3.0/S PAMAIN.nsf/ee4e66f197087f8ac12569600 0544c8b/0d417401e30c732cc125701c002c d59c/\$FILE/_68dnmssrfdhkm8obkcli20hae

85pi0l3fctniqhr8c5n62ba2g9n6irg .doc

### Annex E: SENAC Log Frame

	Intervention Logic	Objectively Verifiable Indicators	Sources of Verification	Risks and Assumptions
Principal Objective	Lives are saved and livelihoods preserved during emergencies through improved quality of emergency needs assessment and adequate response options. <sup>11</sup>	<ol> <li>People affected by food insecurity are appropriately identified, and their requirements are clearly established.</li> <li>Assessments provide more appropriate and effective responses by addressing potential negative effects of food aid, integrating non-food needs, the role of markets, and chronic food security.</li> <li>Assessments are accurate, comparable, timely, consensual and transparent.</li> </ol>	<ul> <li>Discussion and comments provided during Project Review Committees (PRCs).</li> <li>An evaluation at the end of the operation will assess the impact of the project</li> </ul>	<ul> <li>WFP and its partner apply the method and tools in EFSA handbook to their assessment activities on sustainable basis.</li> <li>Crisis information is fully accessed and utilized by those who conduct emergency assessment.</li> </ul>
Specific Objective	<ol> <li>Improved methodologies, capacities, and competencies in emergency needs assessment are readily available.</li> <li>Crisis information is readily available and well managed, ensuring transparency.</li> </ol>	<ol> <li>Methodologies and tools are applied by WFP staff, partners and the humanitarian community overall.</li> <li>Crisis information is available in 10 priority countries and integrated within WFP information databases and information sharing according to protocols.</li> </ol>	<ul> <li>Progress reports to be presented to the technical committee</li> <li>Minutes of the technical committee</li> </ul>	<ul> <li>A consensus is successfully built among partners and stakeholders on methods and tools developed.</li> <li>Crisis information systems are appropriately maintained.</li> </ul>
Results	<ol> <li>Improved analytical methods and tools are identified.</li> <li>Provisional EFSA Handbook is revised, tested, and adapted.</li> <li>Needs assessment capacity in the field is enhanced.</li> <li>Crisis information systems are developed in the countries exposed to recurrent and protracted food insecurities.</li> </ol>	<ul> <li>1.1.1 Technical papers are available on: (i) roles of markets; (ii); effects and impacts of food aid intervention; (iii) chronic versus transitory food security; and (iv) non-food response and multi-sectoral assessments.</li> <li>1.2.1 EFSA Handbook into which findings of technical studies are incorporated is available.</li> <li>1.2.2 Reports are available on field application in 5 countries and country adaptation in 4 countries.</li> <li>1.3.1 Number of rapid deployments of specialists in major emergencies.</li> <li>1.3.2 Number of in-depth assessments supported by</li> </ul>	<ol> <li>Progress reports to be presented to the technical committee         <ul> <li>Appraisal of the quality of technical studies</li> <li>Tracking specialists' contribution to the technical papers and their participation in the assessment of major emergencies.</li> </ul> </li> <li>Progress reports to be presented to the technical committee         <ul> <li>Tracking system that is established and managed by OEN and VAM.</li> </ul> </li> </ol>	<ul> <li>The security situations of the target countries for technical studies, field application, country adaptation ensure the access to the areas.</li> <li>Basic data such as population, mortality, and nutrition are readily available.</li> <li>Primary data collected</li> </ul>

<sup>11</sup> The indicators for the "specific objective" measure the capacity improvement of WFP that is a pre-condition for ensuring that food aid is used when appropriate and has a comparative advantage in saving lives and preserving livelihoods.

		<ul> <li>specialists in each region.</li> <li>2.1.1 Number of priority countries with baseline information available.</li> <li>2.1.2 Number of priority countries with functioning crisis monitoring systems.</li> <li>2.1.3 Reports of pilot studies on linkage of crisis informatin are available.</li> <li>2.1.4 Reports of pilot studies on integrated information management system.</li> <li>2.1.5 Web-based information system functioning.</li> </ul>		for technical studies ensures statistical representativity.
		2.1.6 Perecentage of major emergency food aid operation with assessment report publicly available and accessible via web-based system.		
Activities	<ul> <li>1.1.1 Conduct a technical study on roles of markets.</li> <li>1.1.2 Conduct a technical study on effects and impacts of food aid interventions.</li> <li>1.1.3 Conduct a technical study on chronic versus transitory food insecurity.</li> <li>1.1.4 Conduct a technical study on non-food response and multi-sectoral assessments.</li> <li>1.1.5 Produce a compendium of analytical methods and tools.</li> <li>1.2.1 Incorporate the findings of technical studies into provisional version.</li> <li>1.2.2 Apply the Handbook in 5 selected countries.</li> <li>1.2.3 Adapt refined methods to 4 selected countries.</li> <li>1.3.1 Assign 12 specialists in market analysis and food security to be based in regional level.</li> <li>2.1.1 Produce 10 baselines</li> <li>2.1.2 Conduct pilot studies on integration and sharing of crisis information at country and regional levels.</li> <li>2.1.4 Create and fine-tune web-based systems as a tool for information sharing and transparency.</li> </ul>	<ul> <li>Means</li> <li>Overall coordination by programme coordinator and technical support for each of the identified sectors of intervention.</li> <li>Action research, including case studies with technical expertise, field visits, field testing and consultations.</li> <li>Use of questionnaire to evaluate use and applicability of guidelines.</li> <li>Available and fully operational web-site with protocols for remote-access, maintenance of data archive for producer and users of emergency needs assessments.</li> <li>Specialists based in regional bureaus fully operational for rapid deployment, support and assessment team leading.</li> </ul>	CostsCoordinationProgramme coordinatorEUR 98,000Travel and DSAEUR 24,000Support staffEUR 82,000Review meetingEUR 41,000EvaluationEUR 48,000Sub-totalEUR 293,000Improving quality and capacity in ENAMethodology expertEUR 98,000Travel and DSAEUR 24,000Studies in four key issuesEUR 320,000Field testing of EFSAEUR 71,000Country adaptation of methodEUR 130,000Technical expertise provided by12 assessment specialistsEUR 294,000Sub-totalEUR 294,000Sub-totalEUR 294,000Crisis baselineEUR 294,000Crisis baselineEUR 1,176,000Travel and DSAEUR 294,000Crisis baselineEUR 1,230,000Crisis baselineEUR 1,230,000Crisis baselineEUR 410,000Conceptual integration and field researchEUR 90,000Web-based information systemEUR114,000Sub-totalSub-totalEUR	Preconditions         • There are needs of and room for improvement of EFSA Handbook.         • There are needs of and room for increase of WFP's emergency needs assessment capacity.         • WFP Programme Support and Administration (SPA) budget is available.         • The complementary projects to be funded by DFID and Germany are successfully implemented.



Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

	1,966,000		
	Indirect cost (7%) 306,040	EUR	
	<u>Total</u> 4,678,040	EUR	

## Annex F: Planned SENAIP activities and schedule

					тім	ETA	ABL	EO	FAG	СТІЛ		ES F	OR	ST	REN	GT	HENI	NG	ENA	٩s										
			20	04								20	005											20	06					
	Inc	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	nn	InL	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	nn	Inc	Aug	Sep	Oct	Nov	Dec
Transparency and accountability																														
ENA website including remote access																														
ENA report tracking and quality control																														
Global emergency food aid needs																														
Crisis information																			$\square$											
10 baselines and 5 monitoring systems (first phase)																											Γ			
10 baselines and % monitoring systems (second phase)																														
Information linkages																														
Methodologies and guidance																														
WFP/UNHCR provisional and final guidelines																														
EFSA provisional and final handbook																														
Refined WFP/FAO guidelines																														
Research and field testing of refined assessment methodologies																														
Software package for data management																														
Support to multi-sectoral guidance																														



				2	TIN	IETA	ABL	ΕO	FA	СТІ	/ITIE	ES F	OR	STF	REN	GTŀ	HENI	NG	ENA	٩s										
		2004 2005							2006																					
	٦٢	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	unſ	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	ηυ	Jul	Aug	Sep	Oct	Nov	Dec
Capacity-building																														
ENA training needs/modules																														
ENA training of WFP/partners																														
Assessment specialists																														
Training of 50 WFP advanced assessors																														
National assessment capacity- building																														

Source WFP/EB.3/2004/4-E

## Annex G: Overview of SENAC Project Outputs 2005 – 2007

Overview of SENAC Pro	ject Outputs 2005 - 200	07 by region/country									
	2005		-	2006		-	2007 (planned)	-			
SENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information		
Global	DR - Food Aid and dependency	EFSA Pilot training		DR - Integrating markets into Assessments	WS - EFSA NGOs	DISI review	Revision of EFSA Handbook	WS - EFSA AA Quality management	VAM Data analysis training		
	DR - Food Aid and migration	WS - EFSA Advanc	red Assessor	WS - Assessment & Markets	Joint CAP NAF Wor	kshop	WS / guidance on Integrating markets into Assessments	Facilitation tools for integrating marinto Assessments			
	DR - chronic & transitory Food Insecurity DR - ENA Triggers			DR Estimating popu	lation numbers in eme	rgencies	Conceptual and Analytical guidance for EFSAs	Facilitation Tools on New Conceptual and Analytical Framework			
				DR Dietary Diversit	у		Technical Guidance on Dietary Diversity	Facilitation Tools - Diversity	Diet Dietary		
	DR - Non-food respo	onses to food insecurit	у	Technical guidance	on 2005 topics		Technical guidance on Rapid Initial EFSAs	Facilitation Tools - Assessment	Rapid Initial		
	DR - Food Aid and local markets					Technical guidance on Qualitative data analysis	Facilitation Tools - Analysis Short Cou				
							Technical meeting and guidance on Linking Food security and Nutrition in ENAs	Facilitation tools for Linking Food Secur			
							Technical Guidance on Estimating Populations	EFSA Workshop Fa	acilitators Tool Kit		



I: Analytical	H. Come 's					2007 (planned)				
methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information		
						IPC pilots in 3-5 Countries	EFSA Distance Lea	arning Course		
						Updated Guidance on Targeting	EFSA Learning Pro Repository and Reg Monitoring Tool	ogramme Course gional Learning		
							EFSA coaching Gu	idelines		
							Joint CAP NAF We	orskhop		
Advanced Assessor		EFSA	Emergency Food Sea	curity Assessment						
Consolidated Appeal Analysis Framework	Process Needs	FSMS	Food Security and N	Ionitoring System						
Comprehensive Food Vulnerabilty Analysi	Security and s	JAM	Joint Assessment Mi	ission						
Desk Review		RAO	Regional Assessmen	nt Officer						
	Advanced Assessor Consolidated Appeal Analysis Framework Comprehensive Food Vulnerabilty Analysis	Advanced         Assessor         Consolidated Appeal Process Needs         Analysis Framework         Comprehensive Food Security and         Vulnerability Analysis	Advanced     EFSA       Advanced     FSMS       Consolidated Appeal Process Needs     FSMS       Analysis Framework     FSMS	Advanced       Advanced       EFSA       Emergency Food Se         Advanced       FSMS       Food Security and Nalysis       FSMS	Advanced       EFSA       Emergency Food Security Assessment         Advanced       FSMS       Food Security and Monitoring System         Consolidated Appeal Process Needs       FSMS       Food Security and Monitoring System         Comprehensive Food Security and       JAM       Joint Assessment Mission	Advanced       EFSA       Emergency Food Security Assessment         Advanced       FSMS       Food Security and Monitoring System         Comprehensive Food Security and       JAM       Joint Assessment Mission	Image: Consolidated Appeal Process Needs Analysis Framework       FSMS       Fod Security and Vulnerability Analysis       FSMS       Fod Security and Vulnerability Analysis       Image: Consolidated Appeal Process Needs Analysis Framework       FSMS       Fod Security and Vulnerability Analysis       Image: Consolidated Appeal Process Needs Analysis Framework       Image: Consolidated Appeal Process Needs Analysis Framework	$\sim$ $\sim$ $\sim$ $\sim$ $\sim$ $\sim$ $\sim$ $\sim$ $\sim$ $                                      $		



	2005			2006			2007 (planned)		
ENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information
REGIONAL		2 RAOs, EFSA Tra	ining	Study: Markets and FS in West Africa	2 RAOs, EFSA EFS	A Training		2 RAOs, Supervised Learning, Regional Workshops and Cou Workshops (tbd)	Technical
Burkina Faso						FSMS			
Camerroon									CFSVA
Central African Repu	ıblic		FSMS		EFSA Training				
Chad						FSMS			
Cote d'ivoire	Market Profile		FSMS						
Guinea					EFSA Training				
Liberia	Pilot EFSA Handbook	EFSA Training		Market Profile (on-g	oing)				
Mali			CFSVA	Market Profile	EFSA Training	FSMS			
Mauritania	Pilot EFSA Handboo	ok	CFSVA	Market assessment in	ncl. in CFSVA	FSMS			
Niger	Market Profile		CFSVA		EFSA Training	FSMS			
Senegal		JAM Training				CFSVA			
Sudan	Market assessment in	ncl. in EFSA	CFSVA/FSMS		EFSA Training	FSMS			CFSVA/FSMS



Overview of SENAC Pro	ject Outputs 2005 - 200	07 by region/country							
	2005			2006			2007 (planned)		
SENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information
DK (WFP Regional Bu	reau KAMPALA)								
REGIONAL		2 RAOs / 2 EFSA T	raining		2 RAOs, Regional Strategy	Capacity Building		2 RAOs, 2 Quantita Workshops, Superv Learning, EFSA Te (tbd)	ised On-the-Job
Burundi	Pilot EFSA Handboo	ok	FSMS	Market Profile		FSMS		EFSA Training	FSMS
DRC	Market Profile		CFSVA			CFSVA		EFSA Training	CFSVA
Ethopia					EFSA Training			EFSA Capacity and Learning Strategy I	Methods Review Development
Kenya		JAM Training							CFSVA (tbc)
Rwanda					JAM Training	CFSVA/FSMS			FSMS
Somalia					EFSA Training				CFSVA (tbc)
Tanzania		JAM Training	CFSVA						
Uganda			CFSVA			FSMS		EFSA Training	FSMS
LEGEND									
AA	Advanced Assessor		EFSA	Emergency Food Se	curity Assessment				



	2005			2006			2007 (planned)		
ENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information
CAP NAF	Consolidated Appeal Analysis Framework		FSMS	Food Security and M	Ionitoring System				
CFSVA	Comprehensive Food Vulnerabilty Analysi		JAM	Joint Assessment Mi	ission				
DR	Desk Review		RAO	Regional Assessmen	t Officer				
DJ (WFP Regional Bur OHANNESBURG)	eau								
REGIONAL		2 RAOs / EFSA Tra	ining		2 RAOs		Developemnt of different methodological modules for the RAVC building up on EFSA and SENAC recently developped material	2 RAOs, EFSA Tecl Supervised -On the .	
Angola			CFSVA						
Angola Comores			CFSVA			CFSVA			
	Market study		CFSVA	_		CFSVA			FSMS (tbc)
Comores	Market study Market Profile/ Pilot	EFSA Handbook	CFSVA CFSVA			CFSVA		EFSA training	FSMS (tbc)
Comores Lesotho	-	EFSA Handbook		Market Analysis		CFSVA	Market Study	EFSA training EFSA Training	FSMS (tbc)



	2005			2006			2007 (planned)		
ENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information
Swasiland	Market study						Market Study		
Zambia	Pilot EFSA Handboo	). Dk		Development of a Market model/interface, and piloting of the model	EFSA Training	CFSVA (postponed)	Development of a Market model/interface, and piloting of the model	EFSA Training (implemented)	CFSVA (tbc)
Zimbabwe							Market Study		
DC (WFP Regional Bur	reau CAIRO)								
REGIONAL		2 RAOs / EFSA T	raining		2 RAOs			2 RAOs, EFSA Wo Regional), Regiona Strategy, EFSA Re Workshops, EFSA Workshops	l Capacity Buildin ginal Technical
Afghanistan	Market Profile		FSMS						FSMS
Georgia									
Occupied Palestinian	Territory					CFSVA			
Tajikistan	Market Profile								CFSVA (tbc)
LEGEND									



Overview of SENAC Pro	ject Outputs 2005 - 200	07 by region/country	,						
	2005			2006			2007 (planned)		
SENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information
АА	Advanced Assessor		EFSA	Emergency Food Se	curity Assessment				
CAP NAF	Consolidated Appeal Analysis Framework		FSMS	Food Security and M	Ionitoring System				
CFSVA	Comprehensive Food Vulnerabilty Analysi	d Security and is	JAM	Joint Assessment Mi	ssion				
DR	Desk Review		RAO	Regional Assessmen	t Officer				
ODB (WFP Regional Bu	reau BANGKOK)								
REGIONAL		2 RAOs			2 RAOs, EFSA Tra	ining	2 RAOs, Quanititati Workshop, Supervis Learning, EFSA Tea and Country Office		ed On-the-Job chnical Workshops
Bangladesh				Develop Market model/interface	EFSA Training		Develop Market model/interface		
Cambodia					Country Office EFS	A Training Pilot	IPC Pilot; Market Survaillance System		
DPRC									
East Timor	Market Profile		FSMS						
Indonesia	Pilot EFSA Handbo	ok							
Laos						CFSVA	Market Survaillance	EFSA Training	



	2005			2006			2007 (planned)			
ENAC OBJECTIVES	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	
							System			
Nepal		EFSA Training	CFSVA	Market profile			Market Survaillance System			
Myanmar		EFSA Training					Market Survaillance System			
Sri Lanka	M&E cash transfer p	project	CFSVA		Country Office EFS	A Training Pilot				
Thailand							EFSA Basic Data train		raining	
DPC (WFP Regional E ITY)	Bureau PANAMA									
Regional (Sub-regional)		1 RAO			1 RAO, 2 EFSA Tra	aining		1 RAO, EFSA WS, Analysis Workshop Building Strategy, Workshops, Countr	o, Regional Capaci EFSA Technical	
Cuba					EFSA Training					
Ecuador	Pilot EFSA Handbook									
Guatemala	Market Profile				EFSA Training					
Haiti			FSMS		EFSA Training	FSMS			FSMS/ CFSVA (tbc)	



2005			2006				2007 (planned)			
SENAC OBJECTIVES	I: Analytical methods, tools and guidance materials		III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	I: Analytical methods, tools and guidance materials	II: Capacity Building	III: Pre-crisis information	
Honduras	Market Profile									
Nicaragua								EFSA Training		
Panama		EFSA Training								
LEGEND										
AA	Advanced Assessor		EFSA	Emergency Food Se	curity Assessment					
CAP NAF	Consolidated Appeal Analysis Framework	Process Needs	FSMS	Food Security and M	Ionitoring System					
CFSVA	Comprehensive Food Vulnerabilty Analysi	d Security and	JAM	Joint Assessment M	ission					
DR	Desk Review		RAO	Regional Assessmen	t Officer					

ACTIVIT	IES AND	<b>FUNDING</b>	G 2005-20	07		
	200	5 (US\$)	2006	5 (US\$)	2007	7 (US\$)
Transparency and Accountability					(planni	ng figures)
Communications Strategy	ECHO	80,000	WFP	20,000		-
EFSA report tracking/quality control	5,000		5,000		5,000	
Pilot Independent/ In-Depth Assessments	WFP	84,000	WFP	87,000	WFP	87,000
Stand-by Quality Improvement	DFID	411,000	TBI	720,000	TBI	720,000
Fund						
Global emergency food aid needs	WFP	90,000	WFP	37,000	WFP	37,000
	DFID	132,000				
Crisis Information						
20 baselines and 16 monitoring systems <sup>12</sup>	ECHO	1,613,000	WFP ECHO	287,000 954,200	ECHO TBI	227,000 <b>1,308,280</b>
Crisis Information Linkages	ECHO	104,000				_,_ ,_ ,_ ,_ ,
Methodologies and Guidance		,				
WFP/UNHCR guidelines	WFP (c	ompleted		-	WFP	25,000
e e e e e e e e e e e e e e e e e e e	in 2004					- ,
EFSA Handbook	WFP	60,000		-		-
provisional version (2005)	DFID	151,000		-		-
issue final version & streamline				-	TBI	399,960
with other guidance (2007)						
Revised WFP/FAO CFSAM	WFP	35,000	WFP	30,000	WFP	15,000
guidelines						
Research and field testing of EFSA	ECHO	1,165,000	WFP	327,100	WFP	40,000
methodologies			ECHO	416,000	ECHO	59,000
			Canada	84,000	TBI	305,460
Support to multi-sectoral assessments (CAP NAF; PCNAs)	WFP	20,000	WFP	20,000	WFP	20,000
Capacity Building and Partnerships						
EFSA learning strategy and modules	WFP	158,000	WFP	291,800	WFP	255,000
Building EFSA capacity of WFP and	WFP	50,000	Japan	65,800	TBI	705,000
partners		,	ECHO	577,100		
•			TBI	81,000		
Assessment Specialists	ECHO	1,741,000	WFP	447,700	TBI	1,687,000
				1,386,000	ECHO	154,000
Building capacity of advanced WFP	German	n 159,000	WFP	70,000	WFP	105,000
assessors			German	421,000	German	396,000
WFP/UNHCR JAM training	WFP	70,000	WFP	11,400		
			ECHO	45,000		
National ENA Capacity Building			Denmar TBI	k 157,000 <b>88,100</b>	TBI	102,400
Management/Administration	WFP	404,000	WFP	307,100	WFP	210,000
(includes Advisory Group mtgs)	ECHO	354,000	ECHO	329,700	ECHO	24,000
					TBI	408,900
Total Funding*:	WFP	1,066,000	WFP	2,031,100	WFP	889,000
	ECHO	5,057,000		3,708,000	ECHO	464,000
	DFID	694,000		y 421,000		y 396,000
		ny 159,000		k 157,000	TBI	5,637,000
			Canada	84,000		
			Japan	65,800		

# Annex H: SENAIP Budget

 $<sup>^{\</sup>rm 12}$  Core staff in ODAV HQ are providing technical support for these activities.



		TBI 889,100	
TOTAL US\$	6,976,000	7,356,000	7,386,000

# **Annex I: Evaluation Questions**

Evalı	nation question	Source of Data						
		Interview	Desk Review	Survey	Case Study			
	Releva	nce	·					
1	To what extent does the objectives and design of the SENAIP address the needs of the ultimate beneficiaries?	Х	Х					
.2	Is the SENAIP design and development consistent with WFP policy?	Х	х					
3	Is the SENAIP design consistent with the policy priorities of the donors?	х	х					
	Effective	eness	•					
4	To what extent has the SENAIP improved the quality, utility, transparency and credibility of ENAs?	Х	X	Х	X			
5	How has the accountability for, and transparency of, ENAs improved?	Х	х	Х	X			
6	How have the methodological weaknesses identified in ENA practice been addressed?	Х	Х	Х	Х			
7	To what extent has the availability, management and quality of pre crisis information improved?	Х	х	Х	X			
8	To what extent have the capacities to undertake ENAs been improved?	Х	X	X	X			
	Efficie	ncy		I				
9	Are the programme activities cost efficient?	Х	X					
10	Have the activities been undertaken and completed in a timely manner?	х	Х		X			
11	Were appropriate human resources allocated to implementation?	Х	х		X			
12	Have the best institutional arrangements for implementation been adopted?	Х			X			
13	How effective were the arrangements for monitoring the efficient implementation of the IP?	Х	x		X			
	Impa	ct	1	1	1			
14	To what extent do the results of the ENA influence programming decisions?	Х	X	Х	X			
15	To what extent have changes in ENA practice influenced donor funding decisions?	Х	Х	Х	X			
	Sustaina	bility			•			



Evalua	ation question	Source of Data					
		Interview	Desk Review	Survey	Case Study		
16	To what extent are the IP results likely to be sustained after the completion of donor funding?	Х	Х	Х	Х		
17	What elements of the IP should be mainstreamed?	Х	Х	Х	Х		

## Annex J: Country Case Studies

This annex presents the criteria used in the selection of the countries selected as case studies followed by brief reports on Chad, Nepal, Rwanda and Zambia.

While Uganda was selected as a case study, the illness of one team member prevented the completion of this study. While references to the incomplete study are used in the report, no separate case study report is made.

#### J.1: Criteria for selection

The selection of case study countries was purposeful rather than random. There has been a deliberate selection of Countries where SENAIP has been most active to better answer questions of impact and sustainability. A number of criteria were used to help identify suitable RBs and COs. The sampling frame considered the 55 countries that currently have EMOPs or PRROs. From this list, 14 countries were found to have on-going or recent OEDE results evaluations and it was agreed that it was preferable to avoid visiting these countries – although this is not a strict criteria for exclusion.

These simple criteria narrowed the choice of case studies to 41 countries. These are located in the following WFP Regional Bureaus; ODB (10), ODC (9), ODD/ODYY (9), ODK/ODS (7), ODJ (3) and ODPC (3).

Within these countries the following additional criteria were applied to the selection process:

- A mix of on-going EMOP and PRRO programmes.
- A mix of larger and smaller programs where there are different levels of resources to support assessment activities.
- A mix of food security contexts including; complex crises (where Government is weak or absent), rapid onset emergencies, slow onset emergencies and chronic food insecurity.
- Inclusion of a mix of Countries where each of the relevant assessments mentioned in the SENAIP have recently been conducted: EFSA, CFSVA, JAM, CFSAM, interagency assessment and market surveys.
- A country where a food security "baseline" (CFSVA) has been utilized to develop an emergency needs assessment.

The ToR advised that a total of three case study countries should be selected. Provision was originally made for team members to travel to each selected country, and the corresponding regional Bureau, in pairs. However, in order to allow for greater geographical and thematic coverage it was decided to individually visit four of WFP's six regions, and travel to a *total* of five countries within these regions.

To have sufficiently different examples that meet the case study criteria, the following country case studies were selected are proposed:

In ODK the evaluation team conducted emergency needs assessment case studies in the **Republic of Rwanda** and **Republic of Uganda**.

In ODD, the evaluation team conducted a case study of emergency needs assessments in the **Republic of Chad.** 

In ODB, the evaluation team conducted a case study of WFP involvement in emergency assessment in the **Kingdom of Nepal**.

In ODJ the evaluation team conducted a case study of the **Republic of Zambia**.

Region	Country		EMOP			PRRO		IUIA	
		Project N°	Project plan (USD)	Food tonnage (MTN))	Project N°	Project plan (USD)	Food tonnage (MTN))	Project plan (USD)	Food tonnage (MTN))
DDB	Aghanistan			0	10427	347,585,563	519,755	347,585,563	519,755
DB	Bangladesh		0	-	10045.3	4,660,145	10,530	4,660,145	10,530
DB	Cambodia		0			44,709,032	85,000	44,709,032	85,000
DB	Timor Leste	-	0		10305	13,246,953	19,007	13,246,953	19,007
			0	0		191,841,525	316,821		
DDB DDB	Indonesia Kama D.D.		0	0	10069.1	95,545,866	149,998	191,841,525	316,821
	Korea, D R		-	-	10488	5.273.852		95,545,866	149,998
DDB	Laos		0	°	10319	-, .,	10,000	5,273,852	10,000
DDB	Myanmar		0		10066.3	48,354,841	114,315	48,354,841	114,315
DDB	Nepal	10523.0	12,767,216	8,864	10058.5	22,054,506	44,281	34,821,722	53,14
DDB	Pakistan		C	0	10504	63,505,538	113,828	63,505,538	113,82
DDB	Philippines	10489.0	17,881,113	31,711		0	0	18,346,471	32,26
DDB	Philippines	10574.0	465,358	550		C	0		
DDB	Sri Lanka		(	0 0	10067.1	47,317,167	99,664	47,317,167	99,66
DDC	Armenia		0	0 0	10053.1	12,535,492	27,950	12,535,492	27,95
DDC	Azerbaijan		0	0 0	10168.1	14,640,014	26,833	14,640,014	26,83
DDC	Georgia		0	0	10211.1	12,367,628	26,519	12,367,628	26,51
DDC	Russian Federation	10128.2	12,367,628	26,519		C	0	12,367,628	26,51
DDC	Tajikistan		0	0	10231	52,543,437	99,627	52,543,437	99,62
DDC	Algeria		0	0 0	10172.1	40,577,621	70,378	40,577,621	70,37
DDC	Iran		(	0	10213	10,371,960	28,408	10,371,960	28,40
DDC	Iraq	10360.0	56,006,923	57,947		0	0	56,006,923	57,94
DDC	Occ. Palestinian Territories		(	0	10387	96,221,861	196,162	96,221,861	196,162
DDC	Syria	10576.0	165.501	348	10007	0	0	165,501	34
DDC	Yemen	10070.0	,	0	10232	3,337,425	7,618	3,337,425	7,61
DDD	Burkina Faso			0	10541	17,137,516	24,211	17,137,516	24,21
	Gambia	10550.0	256.728	372	10341	17,107,510	24,211	1,063,990	1,847
			, .	1,475		0		1,003,990	1,04
DDD	Gambia	10572.0	807,262			13,680,645	18,692		
DDD	Guinea-Bissau		(	0	10148.2			13,680,645	18,692
DDD	Mali		0	0	10452.0	27,089,395	38,192	27,089,395	38,192
DDD	Mauritania		C	ů	10359.0	41,764,225	75,367	41,764,225	75,367
DDD	Niger		0	0	10509.0	45,975,373	61,298	45,975,373	61,298
ODD	Senegal		0		10188.1	17,420,204	29,222	17,420,204	29,222
ODDY	Cameroon		2,006,587	2,853		0	0	2,006,587	2,853
ODDY	Central African Republic		0	0 0	10189.1	18,437,919	22,639	18,437,919	22,639
ODDY	Chad	10559.0	79,838,890	76,287	10510	8,003,307	11,705	168,240,712	164,279
ODDY	Chad		0	0 0	10547	80,398,515	76,287		
DDJ	Angola		(	0 0	10433	84,120,520	109,714	84,120,520	109,714
DDJ	Madagascar		0	0	10442.0	12,217,269	18,349	12,217,269	18,349
DDJ	Malawi		0	0	10309.1	3,347,781	6,997	3,347,781	6,997
ODJ	Namibia		0	0	10543.0	592,163	1,314	592,163	1,314
DDJ	Zambia		0	0	10071.2	16,963,174	33,602	16,963,174	33,602
DDK	Burundi		(	0	10528	106,779,318	145,948	106,779,318	145,948
DDK	Congo DR		(	0	10288	236,782,996	283,499	236,782,996	283,499
ODK	Congo		(	0	10312.0	15,548,291	18,695	15,548,291	18,695
DDK	Djibouti	10448.0	7,048,263	12,276	10283.1	4,402,595	6,744	11,450,858	19,020
DDK	Eritrea	10110.0	.,	) 0	10192.1	199,016,858	465,609	199,016,858	465,609
DDK	Ethiopia		(	0	10127.2	31,928,305	58,572	764,417,218	1,497,525
DDK	Ethiopia			0	10127.2	732.488.913	1.438.953	/04,41/,218	1,497,523
DDK DDK		10374.0	331,142,367	636,898	10002.0	69,076,975	124,808	400,219,342	761,706
	Kenya	10374.0			10258.1	33,934,443	124,808 48,667		
DDK	Rwanda		0		10531.0	113,200,808	46,007	33,934,443	48,667
DDK	Somalia		0	0	10191.0			229,683,640	325,530
DDK	Somalia	10010 6	0	0	10191.1	116,482,832	170,786	0	
DDK	Tanzania	10519.0	15,524,718	33,900		58,972,792	101,420	74,497,510	135,32
DDK	Uganda		0	0	10121.1	277,404,845	516,733	277,404,845	516,73
DDS	Sudan	10557.0	640,564,271	682,136				640,564,271	682,136
DDPC	Bolivia	10517.0	1,831,100	3,477		0	0	1,831,100	3,477
DDPC	Colombia		(	0 0	10366	54,327,052	70,133	54,327,052	70,13
DDPC	Ecuador	10381.0	1,878,401	1,632		0	0	2,400,442	1,80
DDPC	Ecuador	10558.0	522,041	173		C	0		
DDPC	Guatemala	10497.0	12,943,452	24,273	10457.0	25,649,848	28,857	38,593,300	53,13
DDPC	Haiti		(	0 0	10382	41,045,931	50,836	41,045,931	50,83
								,	

### J.2: Chad Case Study

The following case study of WFP assessment activities in the Republic of Chad is part of a larger evaluation commissioned by the Office of Evaluation (OEDE). WFP has invested heavily in improving the credibility and accuracy of assessments through a 30-month Strengthening Emergency Needs Assessment Implementation Plan (SENAIP). The SENAIP is funded partially through WFP's core budget, with significant additional funds from various donors, particularly ECHO. This evaluation has a two-fold purpose: Accountability and learning as the evaluation will provide guidance on which competencies and procedures should be mainstreamed in the budget for the 2008-2009 biennium.

The case studies were undertaken primarily to highlight good practices in emergency assessment from key WFP operations around the world and to include the perspective of Country Office-based staff into the evaluation in a more systematic way. It is not intended in anyway to "evaluate" the assessment work
in Chad, but only to illustrate assessment and programming dynamics with concrete examples. A crosssection of five emergency programs from different contexts and causes were chosen for the case studies. Chad was selected for several reasons including the dynamic and complex nature of the emergency, geographic location, and size of the program. The WFP operation in Chad is classified internally by WFP as a medium-sized operation (under 200 million dollars per year). This said, the WFP program in Chad is the largest program in ODD and the ODDY sub-region.

The evaluation team is exceedingly grateful to WFP Country Office in Chad for facilitating the case study with candor and grace. I would like to thank in particular the country representative, Felix Bamezon, for making his staff and facilities available to the evaluation and providing strikingly perceptive comments on the use of assessment for emergency programming in Chad. I would like to thank Ibrahim Diop, the international VAM officer in Chad, for comprehensive information on assessment and excellent organization of the agenda for interviews. The program staff, VAM unit, and in particular staff based in the Abeche were more than accommodating and provided vital insight on day-to-day assessment and programming in a dynamic emergency. The evaluation would have suffered if they had not contributed this valuable perspective. For their time and contributions through extensive interviews or participation in coordination meetings that were used in this case study, please extend the evaluation teams' appreciation to WFP external partners from: Chadian Ministry of Agriculture, Chadian Ministry of Health, UNICEF, OCHA, UNHCR, FAO, FEWS-NET, ACF, INTERSOS, MSF, Première Urgence, CRS, and representatives from the Service de Coperation et d'Action Culturelle of France.

If I may echo my own sentiments using a quote from a senior official of the embassy of France that was asked about her impressions of WFP assessment work in Chad:

"I am totally satisfied...I could not do my work with out their assessments."

## 1. Assessment overview

Chad is a central African country with a population of about 10 million. As part of the Sahel, the climate varies from Saharan desert in the North to tropical Savanna in the South. Chad won independence in 1960 and then was embroiled in civil conflict for nearly 30 years. Periodic drought during the conflicts caused widespread food insecurity and prompted regular WFP emergency responses. The first major regional emergency food aid response to drought in the Sahel included Chad in 1973. Again in 1980, conflict and drought were sited as the cause of severe hunger in Chad. WFP intervened with another emergency program in 1980 and proceeded to become the largest "donor" to Chad for the year 1983.

In more recent emergencies, VAM has played an increasingly important role in providing food insecurity information for program design and day-to-day management of the emergency response. A regional VAM mission in 1998 produced both a rapid assessment report and a secondary data review that were used in the subsequent design of the regional drought EMOP and the WFP Chad CP. The studies are remarkable in their sophisticated use of market analysis, risk analysis, and broad perspective on social indicators.

During the response to the crisis Eastern Chad involving Sudanese refugees beginning in 2003 (EMOP 10327.0, EMOP 10327.1, and EMOP 10559.0), there have been no less than 14 major assessment-related activities in addition to small verification missions or joint assessments that were too numerous to count:

- 3 Emergency Food Security Assessments
- 3 Joint Assessment Missions with UNHCR
- 3 Food Security Monitoring System bulletins
- 1 Comprehensive Food Security and Vulnerability Assessment
- 3 specialized VAM studies on specific aspects of food insecurity (self-reliance and coping)
- 1 Nutritional survey

Management acknowledged that there is less information on the food security situation in the South of Chad where WFP is assisting refugees from the Central African Republic (PRRO 10510.0). JAMs, other joint assessments, and a self-reliance study conducted with FAO have all informed programming in this

PRRO. The Country Office seems to set rather high expectations of assessment information for themselves. Expansion of the FSMS and two nutrition surveys with UNICEF are planned to give additional food insecurity information coverage in both Eastern and Southern Chad.

This strong connection of WFP Chad's assessment work to rapidly changing program requirements in this dynamic emergency environment may explain in part why WFP Chad provides such an excellent example of the use of Food Security Monitoring. Regular update of assessment information for programming is a core capacity of the Chad country office with EFSAs, JAMs and specialized studies complementing the monitoring activities. These examples of good practice are presented in more detail in later discussion of the case study.

The high quality of the assessment products and expert sequencing of assessment activities make the work of VAM unit at WFP Chad universally respected by government, UN and NGO partners that were interviewed. WFP management recognizes and praises the strong and consistent VAM support to the full spectrum of food insecurity information products from pre-crisis, food security monitoring, emergency needs assessment, specialized studies and joint missions.

"The reports are widely read. If they are one day late, people are calling me -- partners, donors and even the government."

WFP representative in Chad

#### **Security Concerns**

An overarching theme in any discussion of humanitarian response in Chad is security. During the case

study, N'djemena and most of Chad was classified as phase 3 emergency. Abeche, where most of the implementing partners are based, was at phase 4 which is the highest security classification before evacuation. In fact staff reductions and evacuations have taken place as recently as December 2006.

"Every decision is affected by changes in the security situation." WFP staff member

Assessments in Eastern Chad must be conducted very rapidly because of the security situation. Often, a field mission will only have a few hours to spend in any one community. The assessment team is flown in to an area by humanitarian air service and flown back to a secure compound in the evening. This restricts the type of information that can be collected and often relies on key informants, structured discussion, and focus groups. During EFSA activities, the teams have admirably succeeded in collecting information from focus groups but also from a sample of households and limited nutritional information for children. Certain methodological choices have caused debate, such as the use of MUAC in collecting nutritional data, but it is no small accomplishment to collect this quality of information in the Chadian security environment.

"Rapid EFSA is used because of security. Methodology must be adaptable -- to make it lighter in difficult security situations."

Senior WFP Official

Programming must also be flexible and responsive in a context where security is a concern. An emergency coordinator in the Abeche sub-office noted:

"EFSA is absolutely the right tool if you want to prioritise geographically. In emergency programming it is not practical to do al sites at once, you must priortise. EFSA helps mostly with planning and partner coordination."

## Short programming window

The WFP program in CHAD faces serious logistical challenges. During the rainy season from June to September, all major roads in Chad are closed. All food aid must be pre-positioned for distribution in the peak hungry season that coincides with the road closures.

"Particularly in land-locked countries, you need monitoring to forecast problems. You need lead time to plan. Start planning in September for interventions in the lean season in April." Senior WFP management

To complicate matters, logistics corridors to Chad are

limited. It generally takes 4-6 months for requested food to arrive in WFP Chad warehouses through Libya or Cameroon.

Regional purchase is possible in Cameroon for a limited number of commodities such as beans and maize. These commodities take approximately six weeks to arrive but are not always available in appropriate quantities. Sugar is the only commodity that has been locally purchased in Chad.

As a consequence of the road closures, distance to ports, and limited opportunity for regional purchase, WFP programme staff needs to plan their distributions well in advance. Senior management emphasized that a successful program in Chad needs "lead-time to plan". Major decisions on type and amount of commodities must be made in September for an intervention in April of the following year. As one OCHA official compared food security assessment to assessments from other sectors:

"food aid makes you plan, so food security assessments are more planned".

## Staff turnover

As highlighted in many emergencies, UN and NGOs in Chad have rapid staff turnover. Finding staff for key positions can be challenging, as was commented in all interviews with UN agencies. Some did say that they were finally "staffed-up". In Abeche where "all the key partners" are located, the director of "Here in Chad, staff changes so quickly, they need an information system that can stay". Chadian Government Official

UNHCR had been in place for only three months at the time of the case study. Similarly, OCHA has just begun to have a permanent staff presence in Abeche. An interview with UNICEF noted that previously they "really had not had the capacity to undertake nutrition surveys, but that has changed".

Turn over is not just a problem for the UN and NGOs. WFP had developed a strategy to reinforce government capacity by placing VAM officers in key ministries. An example given by WFP program officer illustrates the dynamic:

"We had an agreement to put a VAM officer in the Ministry of Solidarity to help coordinate assessments. But then the minister was sacked – The new minister did not know anything about the agreement".

## WFP program staff

Institutional memory on assessment and a longer-term perspective on changes in food insecurity are valuable to inform appropriate decision making. The staff of the VAM unit in Chad has been in place in place longer than most of the other WFP and partners staff that is responding to the emergency. A WFP official remarked that the wider humanitarian community;

"acknowledges and respects and requests the VAM expert, partially because he is also one of the more permanent staff in the UN system responding to the emergency".

Senior program officers at WFP are extremely concerned about turn over in VAM and stress the need "to develop capacity that stays in the country".

## 3. Chronology of Events and Assessments

# Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

		Crisis in Eastern Chad – Tir	neline	
	Date	Event	Assessment	WFP Program
	April 2003	Refugees begin to cross border into Eastern Chad from Sudan		
EMOP 10327.0	January 2004			EMOP 10372.0 Emergency assistance to Sudanese refugees in North-Eastern Chad
	February 2004			Budget revision 1 (11-19 million USD)
	April 2004			Budget revision 2 (19-30 million USD)
	June 2004	Alarming rates of malnutrition (GAM 35-39%) in three nutrition surveys for refugee populations	Nutrition Survey Emergency nutrition and mortality survey conducted among Sudanese refugees and Chadian villagers, North East Chad	
	August 2004		<b>EFSA</b> – Rapid Survey on Refugees and Host Population	
	September 2004	Slowdown in "spontaneous" arrivals and "consolidation" of 10 camps with refugee population of 200,000		Budget revision 3 extended EMOP until February 15th, 2005
	November 2004		<b>JAM</b> – Annual UNHCR/WFP Joint Assessment Mission	
	April to June 2005	Government of Chad attacked at Modonya and Adre		
	April 2005	International VAM officer joins CO		
	June and July 2005		<b>CFSVA</b> Analysis and mapping of structural food insecurity in rural Chad	
EMOP 10327.1	July 2005			EMOP 10327.1 Assistance to Sudanese refugees and host communities in Eastern Chad
27.1	November 2005		JAM – Annual UNHCR/WFP Joint Assessment Mission	
	December 2005		<b>FSMS</b> First edition of Food Security bulletin	
	December 2005	Chadian rebels attack government forces at Borota, Adde, Adre. Internal displacement of approximately 50,000 Chadian	<b>VAM study</b> VAM Study on Self-sufficiency of Refugees	
	April 2006	Attempted Coup D'etat against Chadian Government fails		

Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

	May 2006		<b>FSMS</b> – Second edition of Food Security bulletin	
			<b>EFSA</b> Food Security Assessment of displaced people	
	August 2006	Security counsel resolution 1706 mandates a mission to Chad to assess security situation on boarder with Sudan	VAM Study VAM study Coping Strategy Index	
	October 2006		<b>FSMS</b> Third edition of Food Security bulletin, <b>JAM</b> Annual UNHCR/WFP Joint Assessment Mission	
	December 2007	Estimated 92,000 displaced people in Eastern Chad		
EMOP 10559.0	January 2007	January 2007 New estimates of 120,000 IDPs in Eastern Chad	<b>EFSA</b> – Food Security Assessment For IDPs in Eastern Chad	EMOP 10559.0 Assistance to Sudanese Refugees, Internally Displaced, IDP host communities and Refugee- Affected Local Populations in Eastern Chad
	February 2007	UN Security Council mission to assess security situation in Chad concludes that insecurity is an obstacle to satisfying humanitarian needs in Eastern Chad.		

As the initial crisis broke, the EMOP and two budget revisions were approved before the first nutrition survey. The nutrition survey surprised the community with the severity of the malnutrition between 35-39% GAM. GAM rates so high from a credible survey have not been reported in years. For example, Gode Ethiopia in 1999 reported a GAM of 29% and Goma DRC in 1994 reported a GAM of 20%.

Regional missions supported an EFSA in the second year of the crisis and the first JAM. These assessments were referenced in the subsequent EMOP program document 10327.1.

Not until an international VAM officer arrives in the country do we see the suite of food security products. In all, WFP Chad has produced 12 Food Security assessments and related documents since 2004. This is an average of about one significant document every three-months considering evacuations.

As in other findings of the evaluation, we see a complex relationship of assessment and major WFP programming documents. EMOP documents 10327.1 and 10559.0 do make reference to the JAMs and assessments that have taken place. They make good use of the documents that are available. Important assessments like the CFSVA and EFSA for IDPs in January 2007 could not be considered in the preparation of the EMOPs. This supports the idea that periodic assessment may play an even more important role in informing day-to-day programming in addition to EMOP documents and budget revisions.

## **Good Practice - WFP FS Monitoring**

"Food Security Monitoring has really raised the profile to WFP in Chad" -- Senior WFP management.

Based on the CFSVA, WFP has developed a FSMS. Regular monitoring includes a survey of sentinel sites and Food Security bulletins are produced three times a year. This timing was chosen based on the agricultural cycle in Chad and key decision making points for WFP.

FSMS schedule

October is the conclusion of the main harvest February focuses on the off-season harvest May is beginning of lean-season

The objective is to finish survey and reporting in 45 days. Information from FSMS is regularly used as an input to the CAP/CHAP.

Government partners from AG are responsible for data collection. And reporting

"In places like Chad, where food security is sensitive to small changes, you need monitoring."

## 3. Cost of assessments

In spite of security concerns and challenges to accessing communities, the cost of assessment activities seems to be in line with what has been found in other countries. The VAM office in Chad estimated the following:

<u>Rapid EFSA</u> The average cost is 20,000 \$ US The most expensive was 35,000 \$ US The least expensive was 10,000 \$ US The major cost of the activity was Car rental with 10,000 \$ US

<u>JAMS</u> Each agency contributes staff WFP provided vehicles from sub-offices DSA for 3 WFP Staff to participate is on average 10,000\$ US.

<u>Self sufficiency studies</u> This survey was carried out by WFP Staff in the Field under VAM Staff supervision. So the cost was only DSA VAM Staff (5,000\$ US)

Food Security Monitoring The average cost is 30,000\$ US

#### **Good Practice – Joint Assessment**

Annual Jams have been conducted in 2004, 2005, and 2006. WFP has shown strong leadership in the organization of JAMs and developed some innovations that are examples of good practice. As a WFP program officer says,

"To be substantive, the JAM must be preceded by studies".

JAMs in Chad have made excellent use of the results of nutrition surveys, coping strategy index study, and joint self resilience studies conducted with FAO. In this way, the JAM can focus on verifying the findings of the studies and identifying other gaps. It was noted that "there can not be too much time between the studies and the JAM, or it does not work". This and other comments point to the requirement of strong organization of JAMs to make them successful.

## 4. Issues affecting quality of assessment

## Malnutrition

Malnutrition is a serious problem in Chad and assessments are consistently finding degradation of child nutrition according to the Ministry of Health. Drought has been a problem throughout the country since 1999. According to technicians following the situation, there is an urgent need to address malnutrition in the emergency context but also in other parts of Chad including urban areas. Some frustration was expressed that even when malnutrition is reported, there is often little follow-up by the ministries.

WFP is collaborating with the Government of Chad and UNICEF on two new nutritional surveys in 2007. These are widely anticipated by partners include donor representatives that expressed an interested "in more systematic inclusion of nutritional indicators in assessments". The surveys are intended to be the first step in setting up a nutritional monitoring system in Chad. The Ministry of Plan is participating in the surveys and there are expectations that this will encourage use of the results by the Government of Chad.

## Partnership

According to the Ministry of Agriculture, the needs in Chad are enormous – "Government and WFP need to work together". There is the sense from UN and other partners that the Government should maintain the information systems and work closely with WFP staff. For both surveys and for agricultural statistics, WFP already regularly relies on the ministry. In the current process, results of food security surveys are verified with a West African regional organization called CILLS, FAO, and FEWS. WFP management commented that it was comforting to see that the assessments meet with partner approval for statistical rigor.

Another key partnership issue that came up in several interviews was the determination of beneficiary numbers. According to the MOU, UNHCR is primarily responsible for determining the beneficiary lists for refugee programs. This can lead to some frustration as one WFP staff member described:

"With Refugees, you are a little bit stuck. Difficult to convince UNHCR of a needs-based approach. UNHCR is more focused on minimum standard that is 2100 Kcals per day."

Similarly, OCHA is responsible for monitoring and reporting the number of IDPs in complex emergencies. Both OCHA and UNHCR rely heavily on information provided by NGO partners to assess population movements. WFP in Abeche was very clear that "it was unlikely for WFP to respond to any reports without verifying the situation". In fact, it seems that verification missions are sent to even the most remote places regularly. A quote from a coordination meeting conveys the sense of trusting but verifying each others work:

"We are all humanitarians here. If there is a large discrepancy, we send out a joint mission". VAM unit present in CO

It is worth noting that WFP and partners recognize that the quality assessment work in Chad is made possible by having a strong VAM unit in the country. As the representative noted, "we are very lucky to have them".

## Capacity building

ESFA training was held in Douala in May 2006. This was around the time of the coup attempt so many partners were in Cameroon. VAM staff, CRS, and representative from the Chadian ministry of Agriculture attended the training. Government partners commented that there is a need for partner training because they lack resources. This training should focus on technical areas like sampling.

## Engagement with SENAC activities

"Everyone from HQ always wants to test something. We are not in Chad to test things." WFP Official The VAM staff in Chad reported regular correspondence with colleagues at the RB and HQ. It was reported that VAM has a practice to share all information throughout the assessment process including design, questionnaire, analysis and reports. Several email

examples of excellent technical support from the REO and ODAN HQ were shared with the evaluation team. They mostly focused on methodological and questionnaire issues.

Other types of support from ODAN seem to be less useful to the country office. Materials received from REO tend "to be very heavy". Requests to pilot methodology in Chad also do not seem appropriate to the CO. It was a finding in the more general evaluation that very good assessment practice preceded SENAC and continues now. Chad does seem like a place to record good practice to share, but with the nature of the emergency it might not be appropriate to try new 'pilot' methodologies.

Some specific partners that work with WFP throughout the region had unusually strong comments on SENAC and said that it was a surprise to partners. There has been a regional process to harmonize vulnerability studies in the region sine 1999, and they wondered how this initiative was involved. This echoed other interviews that felt that deterioration of existing systems was a larger problem than the need for new innovations. It was also said that the difficulty between partners over previous assessments in Niger "colored the perception of SENAC throughout the region".

## Utility of needs assessment

Assessments from WFP Chad are exceptionally well-received by government, donors, partners and WFP management. A donor representative commented that

"VAM work is the main point of reference for humanitarian community. (We are) Often asked for the VAM work."

WFP is considered to be the most responsive and active UN agency for assessment and food security information.

The WFP representative commented that the donors are the biggest consumers of the reports, "In fact, one of the ambassadors calls himself for the reports". WFP management seems particularly proud that the VAM assessments as "the only way to present facts to all the speculation and in Chad there is always a lot of speculation".

Interviews widely suggest that VAM assessments are used in discussions with Government, other UN organizations, and NGO partners to coordinate responses. In response to inevitable programming constraints, they are used to prioritize activities and distributions. As we mentioned earlier in the case study, the assessment are the primary planning tool to over come logistic constraints. It is without hesitation, the evaluation team can put forward Chad as an example of Good Practice for WFP in making the best use of needs assessment.

## J.3: Nepal Case Study

## Introduction

The following case study of WFP assessment activities in the Kingdom of Nepal was undertaken as part of the evaluation of the Strengthening Emergency Needs Assessment Implementation Plan (SENAIP) which was commissioned by the Office of Evaluation (OEDE). SENAIP is funded partially through WFP's core budget, with significant additional funds from various donors, particularly ECHO. This evaluation has a two-fold purpose: (i) accountability and (ii) learning. In particular the evaluation will provide guidance on which assessment competencies and procedures should be mainstreamed in the WFP PSA budget for the 2008-2009 biennium.

The case study was undertaken to test and enrich the general hypotheses developed during the desk review of SENAIP documents concerning WFP's global operations. It also provided an opportunity to gather and incorporate the perspectives of WFP's Country Office staff and staff from other stakeholder organizations.

This case study *was not intended to evaluate* the specific quality of assessment work in Nepal. This would fall outside of the SENAIP ToR and a thorough country evaluation would not have been possible in the time available. Therefore no specific recommendations are offered to the Country Office.

Nepal was selected as a case study for several reasons. Firstly, it has a number of on-going programmes, including the EMOP, PRROs and the CP. These respond to diverse needs including drought and flood affected populations, refugees, the victims of a protracted conflict and deeply embedded structural food insecurity. WFP Nepal has a strong analytical capacity and has undertaken a wide variety of studies including food security baselines (including a CFSVA), the operation of a state of the art monitoring system, market studies and a number of EFSAs and JAMs. These studies have been funded by a variety of sources including SENAIP, the country operating budget and specific donor support. The interplay between these assessments and the various programme documents provides the context for the observations made in this case study.

The intention of this case study is primarily to document facts that are then woven into the main evaluation study. However, it is inevitable that a certain amount of evaluative comment does occur in a report such as this. The evaluator is extremely grateful to Simon Hollema (VAM Nepal) for facilitating this study – his assistance and candor is much appreciated. Thanks are due to the country representative, Richard Regan, for making his staff and facilities available to the evaluation, and to all of the WFP Nepal and partner agency staff who willingly shared their time and experience with the evaluator.



## Timeline of Assessments and WFP Programmes in Nepal



The main events that have heightened food insecurity and required WFP to intervene in Nepal include:

- i. The movement of Bhutanese refugees into Nepal in 1992. This has been dealt with by a number of refugee related PRROs, the current programme is PRRO 10058.5.
- ii. A long standing internal civil conflict. The food security consequences of this have been dealt mostly with through the Country Programme.
- iii. A negotiated settlement to the conflict has created new circumstances. WFP is responding by developing a PRRO provisionally entitled "Livelihoods for Sustainable Peace".
- iv. A severe drought in 2005/2006 led to EMOP 10523.0. This was the first EMOP in 40 years in Nepal.
- v. A flood in 2006 resulted in IR EMOP 10545.0.

Key lessons learnt from the relationship of assessments to each of these events are recorded below.

## Lessons Learnt

## **Refugee PRRO**

- The JAM study was scheduled in good time to serve as an input to the new PRRO starting in early 2007.
- The quality of the JAM was universally appreciated. There were relatively minor technical issues around nutritional surveillance and the degree of integration of refuges into the local economy.
- However, most in-country donors had already taken a decision on the PRRO funding levels prior to the JAM. Several, in principle, had opted to offer resettlement (US, Australia, Canada, NZ). Others (ECHO) had already budgeted support. Therefore the JAM had minimal direct impact on funding decisions.
- It was also noted by ECHO that there is a large degree of latitude between the assessment figures and the final programme budget. For example how many staff positions, vehicles and overhead costs. Therefore the PRRO document influenced their negotiations with WFP more than the JAM *per se.*

## **Civil conflict**

- During the insurgency WFP had a near unique access to areas of conflict. Donors provided generous funding to the Country Programme (~\$8m/annum), in part as "they couldn't obligate elsewhere".
- With the end of the conflict this funding is evaporating. A common perception, inside and outside WFP, is that better evidence of the impact of the CP is essential to sustain funding to the CP.
- WFPs comparative field advantage led to generous funding to establish a state of the art food security monitoring system. Funding for this started in 2002 with support from DFID. Food security bulletins are issued between 4 and 6 times per year. With the end of the conflict this support is ceasing.
- This monitoring system is not sustainable by WFP alone. The annual operating costs are estimated at between \$1 and \$0.5m per year. Donors want the GoN to maintain it, possibly as part of the Poverty Monitoring Assessment System (PMAS). However, the GoN capacity to do so is questionable. The preferred WFP option is to make this a common UN monitoring system to support the transitional process.
- For DFID the key determinant of funding to the CP was not so much the analysis of the problem as WFP's management of food resources. They have been unhappy with previous pipeline breaks, despite providing WFP with "reliable resources". This was leading them to question whether WFP offered the most effective delivery mechanism to support their organizational goals in their case the construction of roads done through FFW.
- In 2005 there was considerable debate about the food security needs of people displaced by the conflict. A rapid assessment done by WFP in early 2005 concluded that the migration was a normal coping mechanism and that *no emergency response was required*.

- A major new PRRO was at a fairly advanced stage of design during the field study. There was some debate about what type of assessment information is appropriate / necessary to inform the design of this PRRO. There is no immediately applicable tool and so VAM is designing one from scratch.
- The VAM unit are planning a study to rank administrative areas by the severity of disruption caused by the conflict. This would then be used to help target the PRRO. Other than a targeting decision it was not clear how assessment information was feeding into key programme design questions.

## Pre-crisis information

- A CFSVA, with SENAC support, was conducted in Nepal in 2005. In-country this was seen as a HQ activity. The final report took over 18 months to produce delays attributed in-country to responsibility lying with a HQ who tended to be diverted to more urgent tasks.
- While the CFSVA gave a "general perspective of food insecurity" it was not found to be a useful basis for the EFSA which occurred in 2006. Principally its conclusions were "too generalized" and a unique (hence non comparable) sampling frame used for analysis. A common analytical framework for the CFSVA and EFSA is lacking.
- The timing of the CFSVA (especially given that Nepal is a country in transition and the baselines rapidly date) meant that the information was not ideal for the design of the Country Programme extension or the new PRRO under development. However, it has been used in geographical targeting of food insecurity.
- Simultaneously VAM were involved in a joint study with the WB and the Central Statistics Office to produce the "Small Area Estimation of Poverty, Calorific Intake and Malnutrition in Nepal". The results of this differed from the CFSVA and no effort has been made to reconcile these. There is consequently a degree of confusion in the user community on what the authoritative analysis is.
- The preference for VAM Nepal is to develop a user friendly "Food Security Atlas" from secondary data. This has an estimated budget of \$55k. They plan to do this through sub-contracting a local institution to lower costs and build national capacity.

## EMOPs

- The monitoring system was crucial in alerting both the UN and the authorities to the sever drought that occurred in the far west in 2005/2006. In such a remote location, and given the paucity of alternative data sources, it would otherwise have taken a very long time for the information to emerge. However, the monitoring system in itself was not sufficiently detailed to develop an EMOP and an EFSA was justified.
- The monitoring system highlighted the failure of the summer crop (by July 2005) and the subsequent winter crop (which was clear by the end of January 2006). The EFSA only took place between April and May, with the report written in the first week of June. Some of this delay was attributed to the level of on-going conflict which prohibited access.
- The EFSA results, supplemented by HH interviews done by the IPs, provided the basis of the subsequent EMOP. This was written in the second week of June.
- Ideally the response should have occurred during the hungry season at the beginning of 2006 (Feb March). In practice delays in assessment, decision making (there was an internal debate about whether an EMOP was warranted) and donor response collectively resulted in distributions not starting until June 2006. The onset of the monsoon rains in July further delayed implementation.
- The donors appeared relatively comfortable with the assessment process and the numbers generated. The main debate concerned whether this was a crisis or more chronic in nature. Some objections by the donors in reaction to WFP's use of the media to build a 'virtual' crisis.
- Donors did complain of drowning in information. There was a feeling that the information could still be better conveyed in short 1 page summaries.

- As funds finally flowed the EMOP, which was originally intended to be a 3 month programme, was extended to over 12 months. This decision was substantiated by the monitoring system which pointed to a further poor crop in late 2006. The lessons of timely assessment from 2006 were learnt and the assessment in 2007 took place in January 2007. By this point the programming staff see the system as a model in informing *targeting priorities*. However, the EFSA work did not inform decisions beyond this, such as the intervention modalities.
- As an example of good practice the EFSA classified the targeted areas into classes I, II and III. This allowed prioritization of interventions on the basis of available resources.
- The monitoring system was critical in facilitating an on-going retargeting of the EMOP beneficiaries. They are using an adaptation of the IPC at the local level to help in prioritizing geographical areas for targeting assistance.
- A small flood response occurred in mid 2006. As this was an IR-EMOP there was no formal requirement for an EFSA. WFP staff from the sub-national offices worked with the Red Cross to carry out quick assessments within days, on which the programme was subsequently based. While the accuracy was questioned, the information was timely and of high utility.
- There had also been a prior flood assessment in Nepal in 2004 when WFP decided that *there was no justification for a food response*.

## Other

- There is clearly a massive chronic food security problem in Nepal. However, responding to this is not a current donor priority. This places WFP in a difficult moral position.
- At an analytical level there has been very little progress in reaching consensus on how much of this is attributable to food access / availability as opposed to issues of utilization (such as hygiene).
- A market study was done in Nepal in mid 2006. This has been used to conclude that cash transfers are appropriate in the lowlands (*terai*) while food transfers are more appropriate in the hills. However, the initial analysis is fairly crude and of limited relevance to programming. For example seasonality plays an important role in market access. Nor has the market study been particularly useful to procurement staff as experience has clarified the role of local markets.
- VAM is following up a recommendation to enhance monitoring of markets.
- Overall there is a generally high regard for the professionalism of the WFP analysis (essentially the VAM unit) amongst partners. There is a discussion of how the UN system as a whole can capitalize of these skills within a common analytical framework. So far progress appears to be at this level.

## J.4: Rwanda Case Study

Events in Rwanda contributing to food insecurity	WFP activities: 2004-2006
Political background	1994-1999: WFP provided emergency relief food during
<b>1994</b> :Presidents of Rwanda and Burundi killed when airplane crashes near Kigali, believed caused by rocket fire. Beginning of massive genocide caused by resultant enkindling of long-	this period under auspices of Great Lakes Regional EMOP 5624, at a total cost (for Rwanda) of US\$ 586.8 million for the benefit of about 3,000,000 people in Rwanda and refugees in neighbouring countries. <sup>13</sup>
simmering ethnic hostilities. More than 800,000 killed; two million refugees flee to eastern Zaire and other neighbouring countries.	<b>1999-2003</b> : The most significant WFP activities: PRRO 6077.00 from 1 August 1999 to 31 July 2001
<b>1994-96</b> : Rwandan militias and Zairian forces battle periodically around Rwandan refugee camps. Political and economic chaos throughout region. <b>1997</b> : Rwandan and Ugandan-backed rebels depose Zairian	PRRO 10062.00 from 1 August 1999 to 31 January 200 Plus Quick Action Project 6096 (November 1999- December 2003): "Enhanced food security through swamp and hillside reclamation and development" & EMOP 6318 (December 2000-January 2002): "Assistance to drought-affected persons in the southeast
president Mobutu. Laurent Kabila becomes president of newly-named DRC.	of Rwanda" and additional small activities in education and HIV/AIDS.
<ul><li>2000-2002: Reconciliation of ethnic groups underway.</li><li>Rwandan troops pull back from DRC.</li><li>2003: First presidential election since 1994 and first multiparty parliamentary elections.</li></ul>	WFP shifting from pure emergency relief to recovery within the flexibility of the Great Lakes regional PRRO Needs assessments introduced in 2002 with VAM and FEWSNET collaborating with additional partners. PRRO 10062.1 (2003-05).
Food insecurity situation	WFP efforts combating food insecurity
<ul> <li>2004: A rapid joint food security assessment identified 31 food insecure districts in which 10-15% of population were deemed likely to run out food by September. It was estimated that by November, 122,000 household could be in need of food assistance.</li> <li>2005: CFSAM estimate of avg. daily p/c kcal availability in Rwanda from local food production</li> </ul>	<b>2004</b> : VAM staff provided VAM training. Unit participated in rapid food security assessment. Regional PRRO providing assistance to diverse set of at-risk populations as well as assistance for school feeding and to HIV/AIDS-affected households. All assessment undertaken in partnership with government agencies, FEWSNET, numerous NGOs.
2,500 2,500 2,0000	<ul><li>2005: PRRO 10062.2 (2005-06) approved. This was to be the last regional RPPO for Rwanda. The next programmatic document was to be a Rwanda-specific response to Rwanda-specific food insecurity problems.</li><li>VAM staff provided EFSA training in Nairobi worksho</li></ul>
	<b>2006</b> : EFSA training and EFSA handbook utilized in preparation of 2006 EFSA focused on specific food insecure district. Early version of EFSA rejected by government. Final version was much improved but superseded by 2006 CFSVA which was used in lieu of EFSA to support development of first Rwanda PRRO. Rapport with government greatly improved in second half of 2006.
BISS CHARGE CHAR	Great Lakes JNA and UNHCR/WFP JAM prepared which also informed new PRRO.
<b>2006</b> : CFSAM, led by MINAGRI in collaboration with FEWSNET, WFP and others, concluded that season 2006A national crop production would result it gross food deficit of 174,000 MT in cereal-equivalent, with more than 200,000 households in need of food assistance until May 2006 harvest.	PRRO 10531.0 (2007-08) developed and approved. Country Programme (development) preparation underway.
nousenonus în necu or roou assistance until îviay 2000 fidivest.	Preliminary actions intended to initiate, on a pilot basis new "One UN" strategy in Rwanda.

<sup>&</sup>lt;sup>13</sup> WFP/OEDE/2004/3 Full Report of the Evaluation of WFP's Portfolio of Activities in Rwanda (24 April-14 May 2004)

The objective of this small study is to describe and discuss the use and impact of SENAIP-related ENA tools in Rwanda in 2005 and 2006. The timeline depicted on page one above provides a simplified overview of the more important events and influences occurring prior to and during the SENAIP period as well as WFP's ENA, pre-crisis and programmatic activities of the period. It provides a somewhat cartooned view of the context in which the Country Office, abetted by the Regional Bureau in Kampala and headquarters in Rome, deployed its staff skills, partnered with government agencies, the USAID-financed FEWSNET organization and a large number of international and Rwandan NGOs to develop and utilize ENA and pre-crisis surveys.

## The setting

Rwanda is a small, heavily populated, highly food insecure, landlocked, country in eastern central Africa with a troubled history of conflict between major ethnic groups. It is surrounded by countries (The Democratic Republic of Congo, Burundi and Uganda, particularly) with their own histories of political and ethnic conflicts. This situation created, during the mid- late-1990s and early 21st Century, a region with an unusually large number of refugees and internally displaced households. Over several years, this state of affairs has negatively affected the livelihood security and food availability of large populations throughout the region. The majority (about 90 percent) of Rwanda's populace is rural and subsists for the most part on very small plots of land whose per hectare productivity has suffered from decades of degradation and overgrazing. On the other hand, the country experiences two rainy seasons per year and has two (in some locations, three) growing seasons. While rainfall can be erratic, droughts rarely involve the whole population. Often, where food is scarce and pricy in some areas, it is available in others. However, low overall per capita agricultural productivity and a high population-to-land ratio has resulted in average per capita caloric availability from domestic production that is regularly below caloric needs. Without significant improvement in productivity, Rwanda is - and will increasingly be - a net food importing country if it is to meet the basic nutritional requirements of its population. The combination of social, political and economic disruptions coupled with high population densities, low per capita agricultural productivity and continuing degradation of the natural resource base conspire to create one of the most food insecure countries on the globe.

## WFP in Rwanda

In the aftermath of the 1994 genocide, WFP engaged primarily in emergency relief operations, working with other specialized UN agencies, donor governments, and a large number of NGOs to deliver food to large numbers of households – a large percentage of whom are displaced from their farms – adversely affected by the violence and disruptions of the 1994 events and the period that followed. As the events of that period affected a number of contiguous countries in the region, WFP's situational analyses and its responses were undertaken for much of this time as part of a regional strategy and programme, with assistance provided under Great Lakes Region PRROs, 10062.0/1/2, managed by the Regional Bureau (ODK) in Kampala. In the final year of the regional PRRO (2006) a total of 545,000 Rwandans were identified as needing WFP assistance – primarily refugees, returnees, IDPs, and undernourished women and children.

Toward the end of 2005, WFP/Rwanda determined that the improved security situation in Rwanda and the growing competence of the government made the situation suitable for the development of a Rwanda-specific PRRO. Consequently, initial work commenced on what would become PRRO 10531.0, ("Assistance to Refugees and Recovery Operations") approved in late 2006 to provide assistance from January 2007 through December 2008. The on-going regional PRRO was supplanted and the bilateral PRRO came into effect on Jan 1. Much of remainder of this case study looks at Emergency Needs Assessment activities as they related to the development of PRRO 10531.0.

## SENAIP-related activities

Within the context of the present SENAIP evaluation, what is of greatest interest is to review ENA and pre-crisis assessments undertaken in Rwanda and the region during the 2005-06 period to determine if

there are examples helpful in understanding the relationship between assessments undertaken in 2005 and 2006 in Rwanda and the Great Lakes region and the resultant programmatic documents, primarily PRRO 10531.0 (January 2007—December 2008).

PRRO 10531.0 is designed to:

- meet the food needs of refugees (Strategic Objective 2);
- support the most vulnerable livelihood groups through establishment and/or protection of productive community assets (SO 2); and
- provide appropriate nutritional interventions through government health clinics for women, young children, people living with HIV/AIDS and their families (SO 3).

The assessments of significance used to formulate the PRRO were:

- FEWSNET & WFP. Monthly Situation Reports (various)
- WFP/FAO/UNHCR Joint Needs Assessment –Burundi, Rwanda and Tanzania, (April 2006)
- Rwanda Joint Assessment Mission: Review of Assistance to Burundian and Congolese Refugees in Rwanda (December 2006)
- NISR/WFP. Comprehensive Food Security Vulnerability Assessment. 2006

Other surveys and studies not related to the ENA process were also used: i) the Rwanda Enquête Démographique et de Santé, [Demographic and Health Survey] 2005, ii) a variety of documents supporting the PRSP process, the preliminary Economic Development and Poverty Reduction Strategy, iii) the UNDP Human Development Report 2005, iv) the IFAD Rural Poverty Portal, v) data provided directly by UNHCR, vi) USAID's 2006 Bellmon Analysis, and vii) the World Bank's Rwanda Agricultural Markets Overview (draft). The WFP-specific assessments, while forming a preponderant segment of the analytical support from the PRRO, was balanced with information and data from these non-WFP sources in the final document, making somewhat more difficult the determination of relative role of SENAIP-supported analytical assessments (CFSVA, JAM and RNA) in the final determinations of what resource levels were needed, where, when and what quantities. This, in turn, suggests caution in supposing a one-to-one relationship between SENAIP-supported WFP assessments and subsequent programme documents. The numerous non-WFP assessment-type documents completed in Rwanda and in the region during the same time period also played a significant part in the final structure of the PRRO. the decisions regarding the nature and size of the programme and in the strategy selected to carry it out. The issues for this evaluation, however, are primarily concerned with the quality and accuracy of the WFP ENA and pre-crisis assessments and their utility for, and actual use in, PRRO 10531.0 together with a determination of the value of SENAIP resources in developing the ENA products, and the PRRO itself. The analysis is limited to those documents with the caveat that other, non-ENA surveys and studies were significant influences on the final PRRO.

## **SENAIP in Rwanda**

SENAIP elements at work in Rwanda have included staff capacity-building through training opportunities offered to WFP, NGO and government partner staff, the EFSA handbook, other ENA guidance, assistance from regional and headquarters SENAC-supported staff and support for food security monitoring. As best can be determined, SENAIP resources provided some support to resident VAM and other programming staff and some limited guidance to WFP and partner staffs.

First was the training element. A few WFP/Rwanda and ODK staff have participated in EFSA basic skills and advanced training. They rank the various training programmes received as quite useful or very useful. In general, these trainees report that the training received was instrumental in helping them in assuming greater ENA-related, post-training responsibility. None ranked their training below the top two categories in terms of usefulness in ENA preparation. As was the case for more than 95 percent of all respondents, trainees in the Rwanda CO and in ODK indicated without exception their desire for additional training – particularly in the areas of market analysis and assessment design.

Second, with regard to the various forms of SENAIP guidance (EFSA handbook, JAM and CFSAM guidance and the technical guidance sheets), all interviewed VAM and RB staffs indicated they had used the EFSA handbook. Most indicated it was of significant help in ENA-related work, although one of the staff found the handbook only somewhat useful in his work. None who completed the questionnaire or who were interviewed commented on the usefulness of the technical guidance sheets, although most had at least seen them.

The third area of potential SENAIP support has been in the area of food security monitoring. Here is a case where in-country efforts pre-date SENAIP involvement. In Rwanda, EW/monitoring is on-going, having been active for many years without discernable SENAIP involvement. It has taken the form, usually, of joint field visits, key informant interviews and tracking of data collected by the Ministry of Agriculture and the Ministry of Health on agricultural production, market price data, animal health and reporting on nutrition status, and major health issues - particularly the HIV/AIDS status in the country. A monthly food security status report has been prepared by the USAID-funded FEWSNET office with considerable involvement by the WFP/Rwanda VAM staff. These reports are available on-line, usually within two-three weeks after submission to FEWSNET headquarters. Interviews with both WFP and FEWSNET staff suggest a long history of very close collaboration. This has been beneficial not only to these two organizations but also, of course, to the government, donors, the NGO community and researchers and academics with access to the internet. Here, in the evaluation team's judgment is a significant success story of partnership, transparency, utility and seeming substantial accuracy in reporting. These are all objectives of WFP's efforts to strengthen food security monitoring, as exemplified in the SENAIP design, but achieved in Rwanda almost entirely by VAM efforts at the CO level with minimal SENAIP involvement. The caution here is for WFP - when reviewing the history of ENA, baseline and food security monitoring in Rwanda - to remain cognizant that successful achievement of WFP's ENA-related objectives can and does occur outside the framework of the principal programme (SENAIP) focused on their achievement. The resident VAM staff and others in WFP/Rwanda have been working toward identical objectives long before the advent of SENAIP. As SENAIP moves ahead with its own food security monitoring programme, the WFP/FEWSNET programme in Rwanda could well serve as a model of cooperation and effectiveness.

## The ENA experience

The experience in Rwanda with the various pre-crisis and ENA assessments is somewhat unique and deserving of discussion.

In late 2005, the FEWSNET/WFP monitoring system, using their own data, augmented by government reporting on agricultural crop production, animal health and health/nutrition status, determined that the portents of increasing food deprivation were worsening in some areas of Rwanda. By late 2005, there was growing concern because of significant rain shortfalls affecting crop production.

In early 2006, WFP/Rwanda finally (after two years of trying) received needed budget and RB and HQ staff resources to undertake a long-needed food security "baseline" survey. These were SENAIP resources made available to undertake a Rwanda CFSVA. Given the FEWSNET/WFP situational analyses during the last quarter of 2005 and in early 2006 EW reporting, the decision was made to move ahead and develop a quasi-rapid EFSA (working with the Disaster Management Unit of the Prime Minister's Office) as an adjunct of the CFSVA field work which was just getting started. The EFSA was intended to focus on what the EW reports were suggesting were the five most adversely affected districts. Undertaking an EFSA would make data available for these suspected emergency areas sooner than would be possible under the full national CFSVA.

What happened next has been a little difficult to clarify because several different versions of these events were described to the evaluation team. Sometime in the March/April 2006 period, very preliminary (and not-yet verified) EFSA data was released to the public and reported in the media. These data indicated that emergency food aid of significant size was needed in the five districts. Shortly thereafter senior government officials objected to the published information on the grounds that: i) it was incorrect and

that the situation in those districts was not as bad as the draft EFSA seemed to indicate, ii) government was already aware that there were some problems in these districts requiring assistance and the government was already providing sufficient help, and iii) the release of this information, even had it been accurate, should not have happened because all such survey work needed approval by the government (approval which government officials subsequently claimed had not been granted). Resultant data was not to be released prior to being vetted by the government's central statistic agency (National Statistics Institute of Rwanda (NSIR) for statistical validity and accuracy. There resulted from this a flurry of unhappiness at WFP for having released the information prematurely and not having received official approval to do so. As best as can be determined from interviews of participants and observers, the information that found its way into the media was, in fact, released in error as it had not yet been fully authenticated. Government staff had, in truth, participated in its collection (and the unhappy senior government officials seem not to have been aware of this government involvement in the field data collection effort in these districts - and those who had been involved seem not to have been willing to publicize their involvement), WFP staff were, at the time, genuinely concerned that serious food shortages were likely to occur in the districts in question and were publicizing the early findings in order to make donors, government officials and other stakeholders aware of the possibility in order to generate support for possible resource needs. As a consequence of this flare-up, WFP, for a time, was generally felt to have been at fault for not double-checking the numbers before "going public," and for not prewarning the government they were about to announce their preliminary concerns publicly. The government officially declared that the EFSA was not to be released officially at all. Their view was that by focusing on the apparently worst-off districts, the resultant data distorted the true national food security picture.

WFP very quickly had to determine an alternative path for securing needed ENA data. The decision was to make use of the full CFSVA for that purpose (as well as utilizing the other sources noted above). Furthermore, government – in the form of the central statistics entity, NSIR – was to be fully and deeply involved in all aspects of CFSVA development, approval and publication. Which was, in fact, done. While the final CFSVA (completed mid-2006 and officially approved in December 2006) was not available for use in PRRO preparation, preliminary CFSVA data – fully vetted by government – was available in time and was used effectively for this purpose.

The net result, in terms of relations between WFP and the government was, in fact, a thoroughgoing improvement in communications and mutually-agreed strategies for future field survey work. At the time of the evaluation team field visit, the government had not officially approved full public release of the CFSVA, but it was widely agreed within government agencies that such approval had already been granted informally and would shortly be made official. In the meantime, the executive summary of the CFSVA had not only received official approval, it had been posted to the NSIR website as an official government document prepared by government with the assistance of WFP. In sum, the relationship between WFP and the government of Rwanda on matters of ENA-type survey work and pre-crisis survey work have been strengthened measurably as a result of efforts taken in the aftermath of the earlier missteps and misunderstandings. From an evaluative perspective: kudos to both parties.

The remaining need is to gradually revive the reputation of the EFSA instrument in general as statistically valid approach for gathering food security-related data in a rapid manner to support decisions regarding responses to emergency situations.

#### **Evaluative commentary**

The next several paragraphs reflect on the extent to which the final (but never officially released) version of the Rwanda EFSA and the final version of the CFSVA appear to conform with SENAIP requirements for ENA reports/surveys. In the case of this CFSVA, the document serves both as a pre-crisis and as an ENA survey.

## The EFSA

The evaluation team prepared, as a component of its terms of reference, checklists for comparatively measuring key elements of pre-crisis and ENA documents against SENAIP qualitative requirements. As noted in the main body of the report, a total of 44 Emergency Food Needs Assessments were reviewed and ranked on several criteria measuring the extent to which each EFSA clearly stated its methodology, the manner in which data were collected and analyzed, the extent to which all necessary components of food security and nutrition were included, whether or not the data, analysis and conclusions were clearly presented and whether and to what extent there were recommended responses in terms of numbers of beneficiaries to be assisted and the type and amount of food (and non-food) assistance required.

The Rwanda EFSA was a rapid assessment conducted in late April 2006 by a team that included CO, RB and HQ staff, as well as a member of the Disaster Management Unit of the Prime Minister's Office and involvement from the NGO community. It is not availably publicly on the internet, but is available on the internal WFP website. It is focused to some degree on slow onset crisis issues – primarily on the impact of recent rainfall diminution and possible resultant drought. It contains a clear description of methodology, describes in some detail the data collection methodology and appends the questionnaire. It did not have baseline data available and does not do a robust job of describing the limitations and likely confidence levels inherent in the data collection techniques and data availability – a small but, in this case, important detriment.

The EFSA does a reasonably good job in analyzing food trade issues, though mostly focused on local, petty trade with little information on cross-border trade or on the possible impact of food aid on trade. The discussion of food access issues (prices and incomes) is first rate as are its considerations of coping strategies. The analysis of food utilization and nutrition issues is only slightly less good. The determination of the number of people who are food insecure uses both a food economy analysis and nutritional indicator data to develop the numbers. Dietary diversity is not used as a proxy for food insecurity nor is the option of a coping strategy index. There is clear presentation of the geographical breakdown of food insecurity and the EFSA does an excellent job in analyzing the probable causes of food insecurity in the identified geographical areas. In terms of building a solid case for recommended courses of action to confront the identified food insecurity, it discusses the appropriateness of possible transfer modes (GFD, FFW, FFW, supplemental feeding) and non-food transfer possibilities. It deals only modestly with more developmentally-oriented non-food intervention possibilities (agriculture, health, water/sanitation, etc.). It concludes with quantitative recommendations for both food and nonfood transfer possibilities. The evaluation ranked the clarity of the link between the analytical elements of the survey and the recommendations as a "4" on a scale of 0-4, the highest rating. In sum, and compared with all EFSAs rated for this evaluation the Rwanda EFSA – in its final form – is among the best. The fact that information and conclusions from an early, partial, inaccurate version of the paper made their way into the media is unfortunate, but does not detract from the quality of the EFSA in its final (though, unfortunately, unpublished) version.

## The CFSVA.

At the time of the field visit this CFSVA had not been officially released. It was, however, used – in draft form – to substitute for the EFSA (in other words, it served as an emergency needs assessment function as well as a pre-crisis survey) for purposes of providing food security analysis and recommended response options for PRRO 10531.0.

CFSVA field work commenced in March, 2006 (i.e., prior to the EFSA) and was completed in mid-April. It is presently not available on the internet or in the internal WFP on-line Docustore. Its preparation was a major undertaking, involving a large number of WFP regional and HQ staff, 10 NSIR statisticians and more than 100 field enumerators. The PRC review was held in early September 2006.

The CFSVA description of methods is clear, its primary collection methodology well-presented with all questionnaires appended. It had no prior baseline data available. To a much greater extent than the

EFSA, it does a thorough job of describing the limitations inherent in the data and data-gathering techniques and discusses the confidence intervals permitted by these data. It is reasonably thorough in its discussion of food trade and food markets and extremely thorough in discussions of food access issues, coping strategies and food utilization and nutrition. It utilizes all four of the major methods for determining the status and extent of food insecurity: nutritional indicators, dietary diversity, coping strategies and food economy analysis.

The CFSVA presents clearly the geographical extent of food insecurity, and is thorough in its presentation of nutritional data and analysis. Its discussion of response options was assigned a "3" rating (on a scale of 0-4) by the evaluation team, not surprising since CFSVAs are by their nature intended to be "baseline" surveys, and are not, normally, prescriptive documents. In this case, since the CFSVA had to be used as if it were also an ENA, there are discussions of recommended courses of action suitable for use in a PRRO. These recommendations include discussion of non-food transfer options and non-food development-type interventions. The clarity of the linkage between analysis and recommendations was rated a "4" by the evaluation team. Overall, this is among the very best of CFSVAs reviewed by the team. It should be noted, in passing, that the CFSVA was reviewed technically, and approved, by a five-person NSIR team from the Rwanda government.

## PRRO 10531.0 (Jan 2007-Dec 2008)

The evaluation team review of PRROs was done in a fashion similar to that used to review EFSAs/CFSVAs, i.e., through the use of spreadsheets to compare the types of information contained in all PRROs reviewed, with particular emphasis on the relationship between the numbers of beneficiaries identified and amounts of resources requested on the one hand vs. those recommended in supporting ENA documents.

As noted earlier, i is quite evident that the PRRO 10531.0 incorporated a considerable amount of ENAbased and pre-crisis assessment material. It cites numerous instances of use of data from the CFSVA (12 citations in the PRRO text) and from the Great Lakes JNA of April, 2006:

"The PRRO implements the recommendations of the WFP/FAO/UNHCR Joint Needs Assessment mission in April 2006. It also incorporates the findings of the WFP 2006 Comprehensive Food Security and Vulnerability Assessment. It supports the 2002-2006 Poverty Reduction Strategy and is consistent with ongoing discussion of the forthcoming Economic Development and Poverty Reduction Strategy 2007-2011."

In terms of beneficiary levels, the version of the PRRO discussed in the PRC proposed assisting 386,000 beneficiaries. But there was concern that these levels were higher than had been assisted under the GL PRRO:

"ODO raised a concern as to why the budget of the proposed PRRO has been dramatically increased vis-à-vis the previous operations carried out in the country and advised the CO to look into it. The CO agreed to review the budget size of the PRRO and reduce the amount, if possible, as suggested. ODO cautioned the CO that it would be difficult to convince donors for their contributions if the food requirements under the proposed PRRO were much higher than those made available in the past."

The final PRRO, submitted to the EB, had reduced the beneficiary levels to 294,000. The recommendations contained in the CFSVA were not presented in terms of numbers of beneficiaries to be assisted but rather as percentages of the population in each district who are moderately-to-severely food insecure, by livelihood category and the types of food and non-food programmes that are most suitable and likely to be most effective in reducing the severity of food insecurity for these identified groups. It was left to the PRRO preparers to do the calculations as to how many to help and how to help then - in effect to draw the line below which assistance would be provided in the identified geographic areas.



#### Chart 1: CFSVA summary conclusions re severity of food insecurity by livelihood group

## **Conclusions**

As a case study in the uses of ENA and pre-crisis surveys to inform programmatic decisions, Rwanda offers a number of important examples and lessons.

First, the CO, with support from the Regional Bureau and ODAN and ODAV in headquarters, has prepared an excellent set of ENA and pre-crisis survey documents which were appropriately used to develop its WFP response to the food security dilemmas the country now faces. With only the EFSA "hiccup" representing a stumble, the entire ENA and pre-crisis effort was done with a high level of professionalism and with commendable results in terms of the quality of these surveys and resulting reports. The PRRO uses them well, documents that use clearly and is better because of them.

More important, however, is the success in improving cooperation and collaboration not only with government but with other partners as well – notably USAID's FEWNET operation. The CFSVA is now (unofficially) widely available among donors and NGOs in Rwanda and is being used and praised by them. One interviewee noted that one of the President's senior advisors was recently seen carrying and presumably referring to the executive summary of CFSVA which has become for all intents an official government document – a very good outcome, indeed.

Even though the main text of this evaluation raises a number of serious concerns about the effectiveness, costs, and utility of the CFSVAs generally, the Rwanda CFSVA has been a major success in a number of ways – informing the PRRO, helping solidify improved working relations with the government, the extensive use of partnering arrangements and the utility to many stakeholders of the report itself – right up the office of the president. The CFSVA experience in Rwanda may offer several avenues of potential interest to those who may become involved in the recommended process of rethinking the role and nature of the CFSVA.

In terms of the major elements of this evaluation one can summarize the ENA experience in Rwanda as follows:

**relevance** (*i.e.*, *serving the needs of the ultimate beneficiary*) The CFSVA and other ENA surveys have clearly informed and, arguably, improved the targeting and net beneficial impact of the PRRO and the resource flows that stem from it.

**effectiveness** (*i.e. transparency, quality, credibility, utility*) The transparency of the CFSVA process, the wide recognition of the intrinsic quality of the survey work and resultant document, the acceptance by the government, donors and NGO of the data and analysis and its manifold utility in its many uses attest to a very high level of overall effectiveness.

**efficiency** (*i.e.*, *cost efficient, timeliness, human resources, institutional arrangements*) If there is a potential down-side of the ENA and pre-crisis process in Rwanda it may be here. The CFSVA was extremely labour-intensive, as noted above. Its true cost has been impossible to calculate because it is so hard to include all the staff costs and the costs incurred by the partners. Country staff in Kigali indicated they had no real notion of the total costs incurred, suggesting the evaluation team try to locate that

information in ODK or Rome. The costs were almost certainly high. Timeliness is also hard to determine, given the actual chain of events that sidelined work on the EFSA.

**impact** (*influence on programmatic decisions*). While difficult to measure, given the extensive set of background documentation used to formulate the PRRO, it is still fairly evident that the CFSVA was a significant – even a preponderant – source in informing decision regarding resource types, levels and geographic locations of resource distribution in the PRRO document.

**sustainability** (*i.e.*, *to what extent are results sustainable after completion*). It is obviously far too early to determine the sustainability of the SENAIP products and outcomes in Rwanda. The training effects are likely to remain, the durability of the CFSVA as a contributor to future WFP and partner decision-making can only be a guess, but it seems highly probably to endure until there is a better product, or until the situation and context change sufficiently to warrant a new baseline or needs assessment.

In sum, SENAIP, through its capacity-building, guidance strengthening, support for ENA field staff and financial support to the production of the CFSVA, has played a significant role in this improvement, as attested by interviews of those in the Country Office and the ODK Regional Bureau who give the effort high marks. Much of the credit for what seems a real success in Rwanda must go to the country office staff in Rwanda who have persevered in promoting good working relationships with partners, emphasizing the need for high quality needs assessment and pre-crisis information. In doing so they have greatly magnified the positive contributions derived thus far from SENAIP.

## J.5: Zambia Case Study

The following case study of WFP assessment activities in the Republic of Zambia was undertaken as part of the evaluation of the Strengthening Emergency Needs Assessment Implementation Plan (SENAIP) which was commissioned by the Office of Evaluation (OEDE). SENAIP is funded partially through WFP's core budget, with significant additional funds from various donors, particularly ECHO. This evaluation has a two-fold purpose: (i) accountability and (ii) learning. In particular the evaluation will provide guidance on which assessment competencies and procedures should be mainstreamed in the WFP PSA budget for the 2008-2009 biennium.

The case study was undertaken to test and enrich the general hypotheses developed during the desk review of SENAIP documents concerning WFP's global operations. It also provided an opportunity to gather and incorporate the perspectives of WFP's Country Office staff and staff from other stakeholder organizations.

This case study *was not intended to evaluate* the specific quality of assessment work in Zambia. This would fall outside of the SENAIP ToR and a thorough country evaluation would not have been possible in the time available. Therefore no specific recommendations are offered to the Country Office.

Zambia was selected as a case study for several reasons. Firstly, it has a number of on-going programmes. These respond to diverse needs including drought and flood affected populations, refugees, the HIV-AIDS pandemic and structural food insecurity. WFP Zambia, through the established VAM unit and the out-posted RAO markets officer, has undertaken a variety of assessment studies. The interplay between these assessments and the various programme documents provides the context for the observations made in this case study. Furthermore, Zambia provides a very interesting institutional context where the various food security stakeholders are collaborating in their analysis as part of the Zambia Vulnerability Assessment Committee (VAC), and under the leadership of the Government.

The intention of this case study is primarily to document facts that are then woven into the main evaluation study. However, it is inevitable that a certain amount of evaluative comment does occur in a report such as this.

The evaluator is extremely grateful to Simon Dradri (RAO – markets officer) for facilitating this study – his assistance and candor is much appreciated. Thanks are due to the country representative, David

Stephenson, for making his staff and facilities available to the evaluation, and to all of the WFP Zambia and partner agency staff who willingly shared their time and experience with the evaluator.

Timeline of Assessments and WFP Programmes in Zambia



The main events that have heightened food insecurity and required WFP to intervene in Zambia include:

- vi. Established support to refugees currently through PRRO 10071.2
- vii. A follow-up to the large scale emergency response to the drought of 2001-02 through a regional PRRO 10310.0. A major element is support of HIV-AIDS affected households.
- viii. The PRRO has also been used as a vehicle to respond to emergency needs following a drought in 2004-05 and floods in early 2006 and 2007.
- ix. A new country PRRO, to replace the regional PRRO, is under development to start in 2008.

Key lessons learnt from the relationship of assessments to each of these issues are recorded below.

## Lessons Learnt

## **Refugee PRRO**

- WFP Zambia were reluctant to discuss the refugee PRRO and the two JAM studies. Therefore no detailed comments are offered on the experience of Zambia with JAM assessments.
- The evaluation was unable to establish why the JAMs took place after the start of the new PRRO, rather befor so that they could inform the design of the new PRRO.

## **Emergency caseload in PRRO**

- Following the drought of 2004-05 a number of parallel assessment processes took place. This was a reflection of the low credibility of the Zambia VAC at that point. It assessments of the 2002 drought had poor credibility and needs exaggerated. The credibility of the VAC has improved massively since then. A large part of this is due to the appointment of a well respected civil servant to head the Disaster Management and Mitigation Unit (DMMU) under which the VAC resides.
- An early assessment was carried out by the VAC, which included WFP, Government of the Republic of Zambia (GRZ), FEWS NET and other stakeholders.
- A separate INGO study was commissioned basically as NGOs were concerned about a) the tendency of Government to over estimate needs and b) the need for timely figures. This slightly ironic given that the INGO survey came up with a much higher figure than the VAC and also proved much slower than anticipated.
- Ultimately the INGOs agreed to shelve their assessment and a final report was never issued. This was deemed in the best interest of generating consensus that would facilitate a donor response. However, the INGOs remain unconvinced that the VAC figure was more accurate. Indeed they believe that the initial estimates were deliberately suppressed in order to make them more palatable to the donors.
- The CFSAM was conducted primarily as a means of building credibility into a fractured assessment process. The major advantage of the CFSAM is that a) it can be done quickly, and b) the impartiality of the process gives it considerable credibility amongst donors.
- Assessment professionals criticised the CFSAM as a highly subjective exercise, usually conducted by external consultants who have a limited understanding of the national production system. The optimal time for the crop assessment component also differs from the optimal time to assess needs.
- The 2006 CFSAM successfully served to reinforce the VAC conclusions. While it gave the impression of triangulating the VAC results, in fact the CFSAM essentially used the VAC analysis. This reinforced donor confidence in the VAC results.
- Ultimately the VAC figures were used as the authoritative response planning figures. The VAC informed targeting decisions in particular. However, the results were not highly specific on programme modalities. The VAC specifically argued that they did not include the relevant skills to make such recommendations. Their preference is that this should be a separate process.
- However, there was a feeling that amongst the IPs that the donors required an unmerited adherence to the results of the VAC assessment in the subsequent response. The IPs were unable to apply their more intimate knowledge of their operational areas to modify the response.

- The initial VAC assessment that followed the drought was followed by regular VAC reassessment exercises. These were necessary to re-adjust the beneficiary numbers, which moved from 1.1 to 1.7 million during the course of the season. Both the implementing agencies and the donors routinely adopted these revised figures. These figures also formed the basis of subsequent budget revisions for the PRRO.
- While updating the numbers was necessary to track the evolution of the season, it is arguable whether re-assessment or monitoring would be a more efficient way to meet managers information needs. WFP also operates a CHS monitoring system, with twice yearly surveys. There is an ambiguity about how the CHS data is used in Zambia, vis-à-vis the VAC reassessments.
- The Real Time Evaluation (RTE) was a multi-agency exercise, with the objective of verifying targeting and assessing impact. In practice the methods used (beneficiary opinions) did not prove robust enough to confirm, or refute, the accuracy of assessments.
- A substantial component of the RTE was a market analysis. This was very helpful to the debate on cash and food transfers. This concluded that generally cash had not inflated prices, nor food deflated them. This allowed the debate to move forward and focus on other contextual factors that determine its relevance.
- The assessment of the impacts of the 2006 floods was a somewhat cumbersome process. The VAC produced an assessment nearly six months after the floods. This analyzed the consequences of the floods for crop production.
- On this experience there have been substantial attempts to improve the assessment of the 2007 floods. This has been through building capacity of the DMMU and districts to assess immediate needs. While their current capacity is weak, it is recognized that any disaster assessment system needs to call on their widely dispersed capacity to drive an initial assessment.

## Chronic caseload in PRRO

- Much of the caseload in the PRRO is in response to HIV-AIDS affected households. It is stated that the VAC figures are used as the basis of planning the PRRO. However, the Zambia VAC does not specifically analyze the needs of this group – but rather focuses on drought and flood affected populations. It is therefore unclear what assessment figures were used for planning the PRRO.
- WFP staff in the CO felt that there is a distinct lack of assessment tools that can help in analyzing needs and response options to address chronically food insecure populations, including the specific case of HIV-AIDS.

## Development of new PRRO

- The initial intention was that the new country PRRO (to replace the ending regional PRRO) would be based on a SENAC funded CFSVA or Chronic Vulnerability Assessment and Analysis as it is known in Zambia.
- However, the implementation of the CVAA has been put on hold. The Government of Zambia is insisting that the CVAA should be a statistically valid, multi-sectoral (ie more than food security) study. The complexity, replication of existing secondary data sets and cost (>\$1m) has led to donors with holding support.
- In the opinion of the Country Office it was the right decision to delay the implementation of the CVAA until a solution acceptable to all stakeholders can be worked out. It is seen as more important for everyone to buy-in to the process, and use the product, than for WFP to go it alone in producing the CVAA.
- However, this still leaves unresolved exactly what primary assessment instrument will be used to support the development of a new PRRO. A mass of data, such as LCMS, DHS, etc., seems to be considered but with no transparent link to programme design.

## Other issues

• From the Country Office perspective the critical intervention of SNAIP has been to introduce market analysis skills. This is seen as a new and distinct contribution. They look to this analysis

to help both local purchase decisions and whether to use food or cash. The RAO – market officer has brought new skills to the table.

• WFP Zambia has placed a high premium on building the capacity of national government in assessment and baseline analysis. While this is recognized to slow down the process it is viewed as essential to building understanding, commitment and sustainability.

# Annex K: Survey of SENAIP Trainees

## K.1: Overview

This annex provides additional commentary on the training elements of WFP's efforts to strengthen its emergency needs assessment capabilities in the context of the SENAIP. It is comprised of three subsections: i) commentary that expands on the training-related text in the main body of the report, ii) the charted responses to most of the questions in the evaluation survey/questionnaire, <sup>14</sup> iii) the responses on that survey to the four open-ended questions.

WFP launched its enhanced global EFSA learning programme in 2005 with a detailed training needs assessment involving consultations with WFP and external food security assessment practitioners and evaluative visits to country offices and regional bureaus, reviewing the effectiveness of previous training and the prevailing state of WFP and partner field staff ENA and VAM-related skills. This helped inform the subsequent completion and distribution of the EFSA Handbook – a necessary adjunct of the ENA training programme – and the development of SENAC guidelines, specific standards, and description of appropriate assessment tools made available as guidance in a series of training modules then being development.

The training needs assessment led to the development of basic, intermediate and advanced training modules for face-to-face and eventual "interactive distance learning programme" aimed at WFP and partner staff who were or could be engaged in ENA and pre-crisis assessments. The TNA led to the development of a basic training in 2005. In 2006, as part of the development of the facilitators' tool kit learning paths (proficiency levels) were developed (together with VAM) for the following levels: Beginners, basic and Intermediate. The learning paths were developed to guide facilitators in adapting materials. These are also useful for developing learning objectives for on the job learning events. Lastly, the three levels allowed clear understanding existing gaps in training materials and demonstrate the complementarities between technical short courses and basic skills courses.

In parallel exercises refined guidelines were issued for UNHCR/WFP Joint Assessment Missions and work was initiated in collaboration with FAO to strengthen and clarify CFSAM assessments. During 2006 several complimentary features were introduced into the training: i) a training facilitator's tool kit, ii) a web-based "community of practice" for sharing best practices and lessons learned, iii) the initiation of a database of assessors cataloging their skills and experience.

The training programme (which ODAN staff prefer to call the "learning" programme) that evolved over the 2005-2006 period was developed from the piloting of regional workshops and email-based distance learning in 2004-05. The full ENA training programme was launched in 2005 with the initial emphasis on basic skills training offered in region-based workshops for both WFP and partner participation. In January, 2006 a "Learning Review" of the 2005 experience was held<sup>15</sup> and lessons were extracted from the "mixed" experiences emerging from the first year's training effort.

In 2006, a full slate of country level workshops were held and the beginning of interactive distance training was initiated as was a limited experiment with on-the-job training under the supervision of experienced assessors. In addition, 2006 saw the introduction of: i) a training facilitator's "toolkit" to enable review of actual or potential trainees' knowledge and skills, ii) a prototype of a web-based "community of practice" for sharing best practices and lessons learned, and iii) the beginnings of a database of assessors to enable the selection of the right mix of skills for emergency needs assessment teams.

<sup>&</sup>lt;sup>14</sup> A very small number of the questions and responses were omitted from this Annex, usually because the number of responses to the particular question were too few, or for other technical reasons.

<sup>&</sup>lt;sup>15</sup> Klenk, Jeffrey. "EFSA Learning Programme Review: 2005" ODAN. January, 2006.

The total number of SENAIP trainees is presented below:

Learning Events 2007 (Jan to Jun)	WFP	Partners	
All Learning Events (EFSA Basic Skills, Data Analysis, JAM etc)	190	9	2
On the job learning	7		

The number of  $\overline{\text{WFP}}$  staff are higher than usually due to 3 data analysis workshops and two AA Technical meetings.

Learning Events 2006	WFP	Partners
Total WFP & Partners Attending Completed EFSA Basic Skills Learning Events	193	281
Total JAM and CAP NAF Completed	45	40
Advanced Assessor - Technical Meetings Completed	41	5
On the job learning	10	

Learning Events 2005	WFP Participants	Partners
EFSA Basic Skills and JAMs	216	124
Advanced Assessor - Technical Meetings Planned	18	0

During the field visits, the evaluation team members had the opportunity to interview a small sample of ENA assessors in the regional bureaus and country offices who have had the opportunity to participate in one or more of these training events. Several interesting observations emerge from those interviews coupled with discussions and interviews with headquarters training-related staff.

First, almost without exception they responded that the training they have thus far received has been helpful. Second, a significant number of them noted that they had not yet had the opportunity to put that training to use. They had not been confronted with an emergency situation in their country requiring an emergency assessment or a decision to undertake a pre-crisis, VAM-type assessment. Third, all wanted more training, particularly training to strengthen their analytical skills. Fourth, those who had been able to put their training to use believed that better ENAs were being produced as a result of that training.

Fifth, most agreed that the EFSA handbook was very helpful in their ENA work. One senior VAM officer commented that he had used the handbook as a tool in providing on-the-job training to more junior staff in the VAM unit. Sixth, as a training aid, the handbook should probably have an added section – perhaps a self-contained addendum – which would have a simplified set of instructions for the generalist field staff, such as those who often staff sub-offices, instructing them on how to undertake certain emergency rapid assessment actions. Sub-office staff interviewed for this evaluation noted that a simplified, "hands-on" component of the handbook would be of great use as a how to guide. Seventh, it was relatively clear from the field visits that while most trainees believe they had been promised follow-up training, most have not been contacted regarding follow-up training.

A common criticism of the workshop training thus far, one that ODAN has been made aware of from their own post-training analyses, is that most workshops to date have provided more of a general overview of EFSA rather than training in how to actually conduct an EFSA. Attendees need to be walked through an actual EFSA assessment exercise rather than having it be described for them in a PowerPoint presentation.<sup>16</sup>

In reviewing trainee reactions and comments on the utility of the training programme collected by ODAN and the additional responses to the training questionnaire developed by the evaluation team several important themes emerge:

ENA training is highly regarded and highly sought-after by field staff with ENA responsibilities and those who would like to have ENA responsibilities. Seventy percent of respondents to a question on the evaluation team questionnaire on the utility of the training responded that the training was very, or extremely, useful. A large number of respondents declined to answer this question, however.

A large number of trainees reported their desire for additional training beyond the workshop-based skills training which was all that the majority of respondents had been exposed to.<sup>17</sup> Most (86 percent) were hoping that additional training would be made available to them. The majority had not been offered the opportunity for additional training

Of those who responded to the questionnaire 63 percent had been able to utilize the training they had received in at least one food security assessment mission of some type. Thirty-seven percent had not yet had the opportunity to put their training to use. Of those who had been able to put their training experiences to use, 88 percent had participated in an EFSA, 31 percent had participated in a CFSVA-type exercise, 24 percent had participated in a CFSAM, 24 percent in a JAM and 12 percent in a CAP-type assessment. It should be noted, however, that more than half of our survey respondents declined to provide any answer to this question. It is possible this denotes a large number of trainees who have not had the opportunity to utilize their training.

Looking at the principal guidance WFP has made available to assist ENA formulation, conduct and utilization, 65 percent reported they had used the EFSA Handbook (finding it particularly useful for indepth and rapid assessments). Only 28 percent had used UNHCR/WFP JAM guidance and even fewer (16 percent) had used the Provisional Technical Guidance Sheets<sup>18</sup>.

## **Training Survey**

As an element of this evaluation, the team surveyed WFP and partner staff who participated in SENAIPrelated ENA training during the 2005-2006 period. An on-line questionnaire was developed, shared with WFP/ODAN for comment, and transmitted by email to all WFP and partner trainees for which email addresses were available. As many WFP and non-WFP trainees as could be located were contacted and 162 WFP trainees and 101 non-WFP trainees responded. Of WFP respondents, 49 percent had been involved in some form of ENA activity prior to training and 51 percent had not. For partner trainees, 54 percent of partner trainees had previously engaged in some form of ENA assessment activity and 46 percent had not. After having received ENA training, 65 percent of WFP trainees have been engaged in ENA-related actions and 35 percent have not – a noteworthy increase. For partners, these percentages were reversed, with 35 percent of respondents having participated in post-training ENA activities while 65 percent had not. This might represent a significant difference and may be worth further investigation by ODAN training staff. Why would a much smaller number of partner trainees be involved in ENA activities than has been the case for WFP trainees?

<sup>&</sup>lt;sup>16</sup> See, for example, Trujillo, Monica. 2006. "ODPC: EFSA Training Report." EFSA Learning Program. WFP. Rome for a thoughtful analysis of a regional training workshop held in Panama.

<sup>&</sup>lt;sup>17</sup> Approximately 75 percent of the respondents to the evaluation team questionnaire attended the basic skills workshop training.

<sup>&</sup>lt;sup>18</sup> It is noted that the TGS were not introduced into the training curriculum until relatively late and even them not used on a uniform basis.

Full results of the Training Survey are located in Section 2 of this Annex.

## K.2: Responses to open-ended questions

Both WFP and partner participants in the survey were offered the option to respond to four open-ended questions. A small – but hopefully representative – sample of these responses from both WFP and non-WFP staff is discussed briefly in the following paragraphs.

<u>Question 1</u>: How have you been able to convert this training into improved Food Security Assessment-related performance?

There were a total of 90 responses to this question, 38 from WFP staff and52 from partners. The majority of respondents were able to identify one or more areas where the training had been converted into improved understanding or performance. These ranged from increased awareness of the importance of ENAs generally, of the elements necessary to analyze the state of food insecurity and of how various survey techniques work to improving actual performance in ENA-related work. Examples offered included: i) preparation of TOR and actual ENA work programmes; ii) analyzing small-scale disasters in actual country situations (e.g., Tajikistan; iii) increased use of market analysis tools; iv) training of enumerators in interviewing techniques; v) improved participation in a JAM in Zambia; vi) sharing of training materials with colleagues in office and among partners.

Approximately 15-20 percent of responders noted they had not been able to convert their training into improved ENA performance for a variety of reasons, including the fact that there had been no ENA work required in their country or region. A few of these expressed disappointment that they had not been called on, even though there were emergencies and ENA work being undertaken. One or two noted that they had anticipated their training would enable them to take on more responsibility and increase their participation in ENA assessment work but that "the same people" were still doing the ENA work and that group in their location did not include staff recently trained.

One WFP trainee quite eloquently made a point about the relevance of the training to his/her post-training utilization that was echoed by several other WFP and partner respondents:

I have only used it [the training] theoretically in review of assessment documents. I have not has the opportunity to actually use the knowledge in a practical assessment. Even then the training was useful to understand the whole concept of assessments and key factors to consider. One of the major weaknesses of the training has been not to give the participants practical exposure. Assessments is a key component in WFP response framework and should therefore be given high priority. Mandatory requirement for a practical involvement of the trainees in ... 1 or 2 assessments within the region or neighboring country would go a long way in fully improving the capacity of the staff and would impress on the management the importance of ... the practical side. In my view the 'traditional' assessors are still over burdened with the responsibility of carrying out assessments *yet there are a resource of people who have been trained and not been utilised.* These trained staff at the same time also lose the skill if not put in practice. [emphasis added.]

<u>Question 2</u>: If possible, could you provide some examples of how specific elements of the training may have helped improve the content, timeliness, and quality of the Food Security Assessments you have participated in since receiving training?

Numerous example of putting the training to use were offered in the 95 responses to this open-ended question. Among the more important of these examples were those noting that a common understanding among WFP and partner staff on the basic precepts of emergency problem identification, assessment criteria and methodology – imparted by a training programme where both WFP and non-WFP staff had participated together – enabled more rapid consensus-building and agreement on response modalities. Another respondent (non-WFP) noted that an improved Zambia JAM "was almost entirely due to the training we received in Nairobi." On the other hand, another non-WFP trainee stated "I believe the

nutritional component of the jam workshop was not developed enough for such a complex and difficult topic."

A thoughtful response from a WFP staff person noted:

My involvement to ENAs materialized approximately 6 months after the training. So much was already forgotten but EFSA handbook is a very precious help. I can also remember well the training on sampling because of actual examples presented by the facilitators. Quantitative data analysis is much more easier now and I am confident to analyze data myself (at least partly) if isolation or other security incident prevents me to get help on data analysis. This skill is important when you need data quickly and local data analysis capacity is not of the best possible quality.

If this experience is representative, then WFP's ENA training will have accomplished much. It is suggested that ODAN consider assessing something akin to an "average retention rate" among those who had been trained a year or more earlier. Do they retain enough useful information and – particularly – the understanding of where to look for needed guidance when some of the actual training details may have been forgotten.

# <u>Question 3</u>: What have been the most useful elements of Food Security Assessment training you have received?

What is most interesting about the responses to this open-ended question is the diversity of responses. There is no clear "favorite" useful element. Answers range from increased familiarity with the basic concepts of food security to strengthened analytical skills and improved understanding of the value of the handbook and of the utility of participatory interaction with all stakeholders. If one theme seems a bit more apparent than others, it is the increased interest in the analytical side of needs assessment work. A substantial number of the responses spoke of the usefulness of having learned more about survey data analysis – with almost equal interest in qualitative and quantitative data forms.

# <u>Question 4</u>: What have been the least useful elements of Food Security Assessment training you have received?

A large number of respondents – both WFP and partners – found difficulty in identifying elements of the training that were less useful than others. There were a large number of "none" or "n/a" answers. It is unlikely that the majority of participants in the training programme found all elements equally useful, however a large number of respondents, apparently, felt constrained in identifying training elements that did not satisfy their expectations. Among those who did respond to this question, there were several points made that ODAN staff – and others in WFP –might find it useful to consider.

Some trainees noted that their training was not being capitalized. This is an important point; one that will be discussed in depth in the next section. The following quote from one of the returned questionnaires captures the issue succinctly:

The training is a way of ensuring more staff are able to do assessments. I do not see a strategy in WFP to make the best use of this EFSA trained pool of staff. I see it's always the same people that goes in EFSA and that trained staff are not called. As we all know, if we don't practice what we learn we forget about it.

This is a theme that also arose in the field visit interviews with several WFP staff who had received ENA-related training but who felt their training was not being utilized.

Responses to this question were even rarer among partner staff. Perhaps they felt it unwise to be critical of WFP's training programme for fear they might be able to access it in future. One thoughtful comment suggested that the time devoted to quantitative data analysis was insufficient for such an important topic. Other topics mentioned as being of less value than others included, post assessment operations, preparation of check lists of assessments, sampling, processing field data, rapid assessments, market research, and food insecurity classification.

We conclude this summary presentation of the responses to open ended questions with a particularly thoughtful observation by one WFP staff member:

I don't want to term it the least useful element, but the part on formulating response options seemed weaker than the other sections. More focus/time spent on this aspect would be a valuable adjustment to make for future trainings. I believe my colleagues who attended in the training in Sri Lanka got a much more practical training. Although I didn't attend both, I believe for most field staff, particularly those who are based in sub-offices and will do a lot of the nuts and bolts work when an assessment takes place, this more practical approach is probably a better one. The inclusion of government counterparts in the regional training I feel in the end was a waste of money. The people selected were the wrong ones and have not participated or contributed to any WFP assessment activity since.

## Discussion

In a world where natural and human-caused disasters are progressively more frequent and involve everlarger numbers of victims, it is hard to overestimate the importance of increasing the numbers of WFP and partner staff appropriately knowledgeable about the basic precepts of food insecurity and its causality and with the determinants of life and livelihood-threatening emergencies – their causes and the best means for confronting them. Those who have developed and operate WFP's SENAIP training programme have clearly recognized the importance of increasing the numbers of WFP and partner staff with those capabilities and has set about earnestly to do that. The program has, with commendable speed and diligence, identified, developed and implemented a large number of relevant ENA training modules, identified trainers, provided basic EFSA training to several hundred WFP and partner staff, and more advanced training to smaller numbers. The ODAN training unit has moved from region-based to countrybased, increasingly situation-specific, training programmes. They have actively sought reactions and criticisms from those who have been trained and have endeavored to use honest appraisals to improve the effectiveness of their training efforts. The number who have been trained in the less than 30 months since the SENAIP training push commenced is impressive. The net result from ODAN's own feedback from trainees and from the survey conducted as part of this evaluation attest to the feeling among a very considerable majority of those trained that the training has been relevant and useful in improving their ability to conduct emergency food security assessments and analyze the results appropriately.

It is not the purpose of this evaluation to dissect the elements of individual training modules or discuss the content of each of the workshops and what should or should not be changed in these components and approached to improve training effectiveness. That is not where our expertise lies. We have concluded that the training staff in ODAN have taken their job seriously and put together with considerable diligence a training programme that addresses essential needs and gaps in WFP's and partner organization's skills arrays. It is our task as evaluators to award deserved plaudits to WFP/ODAN and other staff for the strength of the effort not only to extend basic ENA training to a large number of WFP and partners staff and also to devote a lot of time and attention to the feedback from those trained, to utilize consultants to help to identify and remove the weaknesses and for a genuine desire to maximize realized progress toward SENAIP objectives by identifying ENA weaknesses in WFP, designing training-oriented remedies and effectuating that training effort with serious intent and, we think, quite positive results.

In the evaluation team's Country Office Survey, field managers were asked to convey their priorities regarding the categories of capacity-building they felt were most important to further strengthen food security analysis in their countries. The three areas receiving the largest number of votes for very or extremely important were: i) "monitoring and evaluation of the food security impact of programmes", ii) "early warning systems," and iii) "food security baselines (e.g., CFSVAs)". The three areas viewed as the least important were the various types of joint assessments: i) "joint assessment missions (with UNHCR) for refugee needs, ii) "crop and food supply assessment missions (CFSAMs)", and iii) "inter-agency assessment missions". The category deemed most important – monitoring and evaluating the food security impact of the programme" was regarded as about double the importance of the lowest rated category – JAMs.

## Findings

1. Substantial training of WFP and partner field staff is of seminal importance in improving the quality and credibility of emergency needs assessments and pre-crisis data. WFP's strong emphasis on training is appropriate. The methodology – development and refinement of regional workshops, the subsequent devolution of these training sessions to the country office level, the additional development of distance learning is, in the evaluation team's view, the correct approach.

2. The spectrum of preexisting skills among WFP staff is broad. This makes it difficult to populate WFP training workshops with trainees of similar skill levels. The experience reported back by many extrainees is one where there was a wide range of knowledge and experience among participants in given workshop. There has been some unhappiness expressed by some participants that their learning experience was degraded by the fact that other members of the class were not of similar experience and skill levels. There is need to more carefully ensure that participants in a given workshop or training class have similar (if not always identical) skill levels so they can advance at an acceptable rate of progress and felt "held back" by those in the class needing remedial or more basic training. WFP/ODAN are aware of these comments and have sought to reduce these situations by their gradual development of an assessor database identifying the skills and experience of all staff who are – or are candidates to become – assessors. In future training a greater effort to rank skill levels prior to initiating a given learning event will enable faster progress by attendees.

3. Follow-on training is an area needing attention. This need was identified by several staff in field discussions and interviews – and in the survey results – as a significant concern. In effect, trainees – especially the majority who had participated only in basic EFSA skills training – had been assuming a continuation of that training with learning options made available in more advanced subject matter. Many expressed disappointment that none has yet been offered them. They had been led to believe they would be contacted by HQ training staff on next steps, or options, in their individual training programmes.

This means more than just determining what training a person has had and what additional training would be recommended. A large number of respondents to the evaluation team questionnaire indicted they have not been able to put their training to use. We think it is important for WFP to know why.

4. There is need to do a better job of marrying the learning/training programme with WFP's personnel system. As best the evaluation could determine, there was not a mechanism in the personnel system enabling the identification of those who, by dent of their having received – and applied with skill – the methodologies and skills garnered from ENA learning programmes, should be rewarded by salary and/or benefits increases and promotions taking them to a level commiserate with their colleagues with similar skills in other organizations. Based on our field visits and other interviews, it seems that a significant number of the most skilled ENA field staff – international staff, senior country VAM officers, RAOs – have been, or are being, attracted away from WFP by richer rewards offered by other development agencies, consulting firms, research institutions or other international organizations. Thus, these other agencies are reaping the rewards resulting from the resources that WFP has invested in training these key staff. It may, as a result of this brain drain, be necessary to review and, as necessary, adjust agency personnel practices to retain the best of these skilled officers. WFP must become more competitive in this market. The sunk investment in staff development and staff training should not be lost. This needs to be addressed by WFP senior management; it is not primarily an ODAN issue.

In the field visits, team members were made aware that the most experienced, the best trained, the most valuable VAM, RAO and other field staff essential for continuing the improvement of emergency needs assessments are also the most attractive to other development or emergency response organizations. If WFP is to capitalize its investment in the training of these highly proficient individuals, the agency must find a way to reward those who do well in training programmes and post-learning application of skills.

5. While a higher percentage of more recent ENAs seem to the evaluation team to be of better quality technically and in the level of analysis, not all are better. Some done in the 2004-05 base period were as good or better than subsequent ENAs. Some of those among the more recently completed are still not as well done as they could be. WFP/ODAN will not be surprised by this. There is still work to be done to ensure that virtually all of the newer ENAs are – in terms of quality of information-gathering techniques, the selection of appropriate statistical analysis tools, the actual application of these tools and the analytical judgments made as to what data are of greater or lesser significance for conclusions and recommendations for responses to emergencies – better and more robust than the average of those prepared prior to about mid-2005.

Managers in COs and RBs – i.e., those responsible for preparing and/or approving EMOPS/PRROs – were supposed to have received training in optimizing utilization of ENAs in the preparation on programme documents. Such training has yet to be made available to the majority of this cadre of managers. It should be.

Managers need training in how better to utilize the information in the newer, higher quality, ENAs to improve the quality of and accuracy/credibility of subsequent programmatic documentation. Interviews with members of the Advisory Group abetted by the team member's own review of a large number of EMOPS/PRROs in the contest of their supporting ENA documents strongly suggest the utility of such training for managers. Such training could be quite efficiently provided by means of one or two short sensitization seminars. These managers need to be able to judge the quality/reliability/credibility of EFSA (particularly "rapid") assessments and the supporting utility of CFSVA-type pre-crisis documentation prior to either drafting or approving programme documents which commit resources to emergencies or protracted recovery operations. As noted elsewhere in this evaluation. programme documents, in general, need to be clearer in demonstrating how the underlying data have informed decisions regarding resource magnitudes, deployment and timing. Training of managers in this art is very important and should be undertaken with dispatch.

6. The skill level of Advanced Assessors must be high. They are on call to respond to emergency situations of all types in their own region and, when needed, in other parts of the world. An important element in the training of those who are part way along the skills path to that level should include as much on-the-job training with Advanced Assessors who should have the added responsibility of being involved in the selection of those who will replace them or of those likely to become Advanced Assessors in other COs or RBs.

A great deal of attention has been devoted to the emerging cadre of "advanced assessors and other highly qualified staff who are en route to becoming advanced assessors. What has been perhaps too little noted is the responsibility that many of these key individuals are already bearing – that of on-the-job training for more junior VAM and other ENA-related field staff. This should be officially recognized in job descriptions and encouraged.

7. Another area for ODAN reflection is to further address the relationship between the ENA training effort and the role of the EFSA handbook within the training effort. The evaluation team believes that the handbook could be strengthened by being made more immediately useful as a guide and refresher to the training programme. Elsewhere in this report, it is recommended that the ENA website be revised to include examples of best practices in various aspects of ENA development, design, conduct and utilization of results. In this same regard, the up-coming revision of the EFSA handbook should contain hands-on, how-to elements which provide guidance by utilizing actual examples of both good and bad practices from previous ENAs. In addition, it would useful for many users of the handbook to contain an annex with a greatly simplified presentation of those elements of needs assessments that might be conducted by staff with little direct assessment experience – suitable perhaps for many staff in country sub-offices who might be called on in emergencies to do rapid assessments of local situations. Consideration might also be given to changing the format of the handbook to a loose leaf notebook to facilitate up-dates on a page-by-page or section-by-section basis.
# K.3: Responses to closed questions

The following 55 charts display the responses made by WFP staff and partner staff who have received ENA-related training under the SENAIP programme. Of the nearly 1,000 trainees, a total of 263 responded and returned the electronic questionnaire. WFP and non-WFP staff responses are provided here in the form of charts depicting, for the most part, the percentage of respondents answering yes or no, or selecting one or another of the possible multiple choice answers.

Altogether there were 162 WFP staff who answered and returned at least some of the questionnaire and 101 non-WFP responses. In the charts that follow, the percentages depicted refer to the total number of people who responded to the specific question, not the percentage of total respondents, in other words the denominator is the total respondents to the particular question, not the total number who returned the questionnaire. Non-WFP responses combine responses to the English, French and Spanish language questionnaires.

Question: At the time of training where were you assigned?

	HQ	RB	CO
WFP staff	10	27	109
Percentage	7%	18%	75%
			n=146



Question: At the time of training were you: government staff, NGO, donor. Other?





# Question: What type of training did you receive (check all that apply)?

	EFSA	JAM	Quantitative	Other	
WFP	120	25	35	20	
	81%	17%	24%	14%	
	# unique respondents = $148$				



non-WFP:

EFSA			
	JAM	Quant	Other
49	10	5	2
3	2	1	2
19	5	2	2
71	17	8	6
72%	17%	8%	6%
	# unique	respondents	-99

# unique respondents=99



Note: percentages do not total 100 % because respondents allowed to select more than one possible option.

Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

Question: In your view, how useful was the training for your work at that time on a scale of 1-5?

	1	2	3	4	5
WFP	4	8	19	48	70
	3%	5%	13%	32%	47%
				n=	149



#### non-WFP

1-5					
scale:	1	2	3	4	5
en	1	2	12	28	20
sp	0	0	1	0	2
fr	1	1	3	7	10
tot	2	3	16	35	32
%	2%	3%	18%	40%	36%
				n=	88



Question: WFP Staff: Where are you assigned presently?

HQ	RB	CO
8	16	124
5%	11%	84%
	n=	148



Question: Did you participate in any form of ENA prior to receiving training?







<u>Question</u>: Have you participated in any type of assessment after training?

	Yes	No
WFP	97	53
	65%	35%
	n=	150



	Yes	No
non-		
WFP	23	42
	8	14
	3	0
	34	56
	38%	62%
	n=	90



<u>Question</u>: If you have changed jobs, how useful has your training been in your present assignment, on a scale of 1 (lowest) to 5 (highest)?

WFP	1	2	3	4	5
	8	11	27	54	49
	5%	7%	18%	36%	33%
				n=	149



eng	1	4	8	6	1
fr	0	1	1	4	4
sp	0	0	1	2	0
tot	1	5	10	12	5
%	3%	15%	30%	36%	15%
				n=	33



Question: What types of ENA training have you received (select all that apply)?

WFP	# times selected	# times selected:					
	EFSA	120	81%				
	JAM	25	17%				
	Quantitative	35	24%				
	Other	20	14%				
	multiple	38	26%				
		n=	148				



EFSA	71	82%
JAM	17	20%
Quantitative	8	9%
Other	5	6%
multiple	11	13%
	n=	87
	JAM Quantitative Other	JAM 17 Quantitative 8 Other 5 multiple 11



# Question: How useful has your training been for your ENA work?

WFP	none	limited	fairly	very	extremely
	1	4	11	42	32
	1%	4%	12%	47%	36%
				n=	90



		none	limited	fairly	very	extremely
non-WFP	Eng	1	1	2	9	5
	Sp			3		
	Fr				6	2
	Tot	1	1	5	9	7
	%	4%	4%	22%	39%	30%
					n=	23



Question: Did you gain additional responsibilities or skills after receiving training?

	Yes	No
WFP	91	3
	97%	3%
	n=	94







<u>Question</u>: If the answer to the previous question is "yes," what specific assessment activities were you better able to perform as a result of this training (check all that apply)?

WFP	Team leader	51
	Manager of Assessment	46
	Market analyst	27
	Designer of Assessments	42
	Manager of Interviews	37
	Assessor/numerator	27
	Data collection	55
	Data entry	18
	Quantitative data management	35
	Qualitative data management	36
	Data Interpetation	37
	Data synthesis & report writing	40
	Response options analysis	42
	Monitoring	45
	Early Warning	28
	Other	5



<u>Question</u>: If the answer to the previous question is "yes," what specific assessment activities were you better able to perform as a result of this training (check all that apply)?

		En	Fr	Sp	Total
non-WFP	Team leader	8	5	2	15
	Manager of Assessment	8	5	0	13
	Market analyst	2	3	1	6
	Designer of Assessments	6	3	0	9
	Manager of Interviews	5	2	1	8
	Assessor/numerator	3	0	0	3
	Data collection	11	7	2	20
	Data entry	3	1	1	5
	Quantitative data management	10	4	0	14
	Qualitative data management	12	4	2	18
	Data Interpretation	10	5	1	16
	Data synthesis & report writing	11	4	1	16
	Response options analysis	8	2	2	12
	Monitoring	11	3	3	17
	Early Warning	7	1	1	9
	Other	0	0	0	0
	<u>NOTE</u> :				
	Total respondents "Yes"	18	8	3	29
	Total respondents "No"	1	0	0	1



Question: Would you like to receive additional training in food security-related assessments?

	Yes	No
WFP	138	9
	94%	6%
	n=	147



	100	110
non-WFP		
English	62	1
French	3	0
Spanish	8	0
Totals	73	1
	99%	1%
	n=	74



Question: If answer to previous question was "yes," what additional training would you like to receive?

### WFP staff:

	#
Type of training	responses
field assessment	70
market analysis	84
design of qualitative assessments	80
design of quantitative assessments	74
interviews	21
enumerator/assessor	11
qualitative data collection	53
quantitative data collection	31
data analysis	95
data interpretation	79
data synthesis/report writing	80
analysis of response options	83
monitoring	48
early warning	61
Other	13



Question: If answer to previous question was "yes," what additional training would you like to receive?

#### Non-WFP staff:

field assessments	39
market analysis	37
design of quantitative assessments	34
interviews	10
enumerator/assessor	5
qualitative data collection	31
quantitative data collection	20
data analysis	37
data interpretation	29
data synthesis/report writing	34
analysis of response options	36
monitoring	31
early warning	41
Other	3



Question: Have you used the EFSA handbook in your assessment work?

	Yes	No
WFP	101	44
	70%	30%
	n=	145



non-WFP		Yes	No
	Eng	39	23
	Sp	2	1
	Fr	11	11
	tot	52	35
	%	60%	40%
		n=	87



# Question: How useful was the handbook in initial assessment work?

WFP	Little/no	somewhat	very	N/A
	5	18	60	7
	6%	20%	67%	8%
			n=	90



	little/no	somewhat	very	N/A
En	1	10	21	1
Fr	0	4	6	0
Sp Tot	0	0	1	0
Tot	1	14	28	1
%	2%	32%	64%	2%
			n=	44



<u>Question</u>: How useful was the handbook in undertaking rapid assessments?

	little/no	somewhat	very	N/A
WFP	1	20	67	6
	1%	21%	71%	6%
			n=	94



non-WFP	little/no	somewhat	very	N/A
en	0	10	22	4
sp	0	0	1	0
sp fr	0	0	10	0
tot	0	10	33	4
%	0%	21%	70%	9%
			n=	47



Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

# <u>Question</u>: How useful was the handbook in in-depth assessments?

WFP	little/no	somewhat	very	N/A
	0	19	50	9
	0%	24%	64%	12%
			n=	78



non-WFP	little/no	somewhat	very	N/A
en	1	8	17	6
sp	0	0	1	0
sp fr	0	2	5	0
tot	1	10	23	6
%	3%	25%	58%	15%
			n=	40



Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

# Question: How useful was the handbook in post-assessment analysis?

WFP	little/no	somewhat	very	N/A
	4	24	28	16
	6%	33%	39%	22%
			n=	72



non-WFP	little/no	somewhat	very	N/A
en	3	11	15	4
sp	0	0	1	0
sp fr	1	2	3	0
tot	4	13	19	4
%	10%	33%	48%	10%
			n=	40



Question: Have you used JAM guidelines in your assessment work?

WFP	Yes	No
	41	103
	28%	72%
	n=	144



non-WFP	Yes	No
Eng	15	46
Fr	8	13
Sp	1	2
Tot	24	61
%	28%	72%
	n=	85



# Question: How useful was JAM guidance in initial investigation?

WFP	little/no	somewhat	very	N/A
	0	8	24	3
	0%	23%	69%	9%
			n=	35





n=

23

# Question: How useful was JAM guidance in rapid assessment work?

WFP	little/no	somewhat	very	N/A
	0	4	31	2
	0%	11%	84%	5%
			n=	37



non-WFP	little/no	somewhat	very	N/A
En	0	4	8	1
Sp	0	1	0	0
Fr	0	1	7	0
Tot	0	6	15	1
%	0%	27%	68%	5%
			n=	22



Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

# Question: How useful was JAM guidance in in-depth assessments?

WFP	little/no	somewhat	very	N/A
	0	8	21	3
	0%	25%	66%	9%
			n=	32



non-WFP	little/no	somewhat	very	N/A
En	0	3	10	1
Sp	0	1	0	0
Sp Fr	0	3	4	0
Tot	0	7	14	1
%	0%	32%	64%	5%
			n=	22



Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

# Question: How useful was JAM guidance in post-assessment analysis?

WFP	little/no	somewhat	very	N/A
	2	8	14	3
	7%	30%	52%	11%
			n=	27



non-WFP	little/no	somewhat	very	N/A
En	0	8	3	0
Sp	0	1	0	0
Sp Fr	1	1	5	0
Tot	1	10	8	0
%	5%	53%	42%	0%
			n=	19



Question: Have you used provisional technical guidance sheets in your ENA work?WFPYesNo

Yes	No
23	120
16%	84%
n=	143



non-WFP	Yes	No
En	8	50
Fr	3	18
Sp	0	3
Tot	11	71
%	13%	87%
	n=	82



Question: How useful were provisional technical guidance sheets in initial assessments?

WFP	little/no	somewhat	very	N/A
	2	5	9	5
	10%	24%	43%	24%
			n=	21



Please note very small number of non-WFP trainees who answered this question:

		~	5	
non-WFP	little/no	somewhat	very	N/A
en	0	1	4	1
sp	0	1	0	0
sp fr	0	1	2	0
tot	0	3	6	1
%	0%	30%	60%	10%
			n=	10



Question: How useful were provisional technical guidance sheets in rapid assessments?

WFP	little/no	somewhat	very	N/A
	0	7	9	5
	0%	33%	43%	24%
			n=	21



Please note very small number of non-WFP trainees who answered this question:

non-WFP	little/no	somewhat	very	N/A
en	0	2	3	2
sp	0	1	0	0
sp fr	0	1	2	0
tot	0	4	5	2
%	0%	36%	45%	18%
			n=	11



Question: How useful were provisional technical guidance sheets in in-depth assessments?

WFP	little/no	somewhat	very	N/A
	0	4	10	4
	0%	22%	56%	22%
			n=	18



Please note very small number of non-WFP trainees who answered this question:

WFP	little/no	somewhat	very	N/A
	0	0	4	2
	0	1	0	0
	0	0	3	0
	0	1	7	2
	0%	10%	70%	20%
			n=	10

non-



Question: How useful were provisional technical guidance sheets in post-assessment analysis?

WFP	little/no	somewhat	very	N/A
	0	1	10	9
	0%	5%	50%	45%
			n=	20



Please note very small number of non-WFP trainees who answered this question:

non-WFP	little/no	somewhat	very	N/A
	0	1	4	0
	0	1	0	0
	0	1	1	0
	0	3	5	0
	0%	38%	63%	0%
			n=	8



# Annex L: Survey of WFP Country Offices

# L.1: Survey Questionnaire

Senior WFP management has acknowledged that enhanced emergency food security needs assessment capacity is essential to the agency's future. In response WFP has formulated and implemented a 30-month Strengthening Emergency Needs Assessment Implementation Plan (SENAIP), which is due to be completed at the end of 2007. The SENAIP is funded partially through WFP's core budget, with significant additional funds from various donors, particularly ECHO, who fund the SENAC project - by far the largest element of SENAIP.

An independent evaluation of the SENAIP has been commissioned by WFP, with the purpose of informing decisions on which competencies and procedures should be mainstreamed within the organization. The opinion of the Country Offices is critical to this decision.

# It is specifically intended that this questionnaire should be completed by a program officer, rather than a food security analyst (VAM, etc)

Please take the time to fill in the short questionnaire below – it should take a maximum of 20 minutes. Your views are important in designing the next phase of this activity. We will be producing of a summary of our findings by mid 2007 for your information and use.

Many thanks for your assistance.

Respondent details		
Name		
Position		
Country		

A. FOR EACH OF YOUR ON-GOING EMOPS AND PRRO'S WE WOULD APPRECIATE YOUR EXPLANATION OF THE LINKS BETWEEN FOOD SECURITY ASSESSMENTS AND THE DESIGN AND IMPLEMENTATION OF WFP PROGRAMS.

This section is replicated in case there is more than one on-going program.

### 1. Title of the EMOP / PRRO

2. What was the main food security assessment used to decide on the beneficiary numbers and the content of the response activities?

3. Which agency led the assessment?

4. Which other agencies participated in the design and analysis?

5. Were the assessment recommendations incorporated in the initial program design for:

Total beneficiary numbers	Fully	Partially	Not at all	N/A
Total food needs	Fully	Partially	Not at all	N/A
Choice of intervention (eg, GFD, SFP, FFA, etc)	Fully	Partially	Not at all	N/A
Ration basket	Fully	Partially	Not at all	N/A
Targeting of beneficiaries	Fully	Partially	Not at all	N/A

6. Where relevant can you explain why it was decided to deviate from the assessment recommendations:

7. Please describe any supplementary assessments that have occurred during the implementation of the EMOP / PRRO and how the results have influenced program management:

### B. ADDITIONAL QUESTIONS ON ASSESSMENTS AND PROGRAMS

1. Over the last three years how do you rate changes in the overall:

Accuracy of assessments		1	2	3	4	5	NA
Timeliness of assessments		1	2	3	4	5	NA
Credibility of assessments		1	2	3	4	5	NA
Relevance of assessments to programming		1	2	3	4	5	NA
Circle one: 1 (Much worse) 5 (Much better)						etter)	

2. In your opinion, how could the usefulness of assessments to the preparation and implementation of WFP programs be improved?

3. What do you perceive to be the importance of the following factors in determining the *donor response* to your appeals?

Credibility of the food security assessment	1	2	3	4	5	NA
Quality of EMOP / PRRO proposal	1	2	3	4	5	NA
Past performance of WFP in-country	1	2	3	4	5	NA
Political relationship of donor with host Government	1	2	3	4	5	NA
Media exposure of crisis	1	2	3	4	5	NA
Overall availability of donor funds	1	2	3	4	5	NA
Other		P	lease	specify	/	

Circle one: 1 (Not important) -- 5 (Very important)

### C. CAPACITIES FOR FOOD SECURITY ANALYSIS

1. How important is it to further strengthen capacities for the following types of food security analysis in your Country?

Food Security Baselines (eg. Comprehensive Vulnerability Analysis) Early Warning Systems	
Initial needs assessment for rapid onset	
emergencies (such as flooding or earthquakes)	
Joint Assessment Missions (with UNHCR) for	
refugee needs	
Crop and Food Supply Assessment Missions	
(CFSAMs)	
Inter-agency assessment missions	
Market analysis as a component of needs	
assessment	
Assessment of needs of the chronically food	
insecure	
Monitoring and evaluation of the food security	
impact of programs	
Other	Please specify
	Circle one: 1 (Low priority) 5 (High priority)

2. What support from the Regional Bureau and WFP HQ staff (over the last 2-3 years) has helped your CO to conduct and improve needs assessments at the national level (eg. missions from regional staff, specific studies, technical guidance materials, training, financial support, etc.)

Please be as specific as possible on who offered the support and why it was valuable.

3. What support would you like to receive from	the RB and	l <b>HQ</b> to help	further improv	ve food security
assessments at the national level?				

Technical assistance with food security baseline surveys	
Technical assistance with emergency needs assessments	
Technical assistance with market analysis	
Developing and disseminating technical guidance notes	
Training WFP CO staff in assessment methods	
Training partner staff in assessment methods	
Financing of assessments	

Circle one: 1 (Not important) -- 5 Very important)

4. Do you have any other comments or suggestions on what support WFP HQ and RB should offer Countries to improve their assessment capacity?

# L.2: Survey Responses

In all, we had 40 responses.

# Section A: Linkage to PRROs and EMOPs

The countries reported the following assessment related activities: 17 Vulnerability or VAM studies 11 EFSAs or Rapid assessments 9 JAMs 5 annual assessments 4 other studies such as MICS 2 monitoring 2 support in preparing program documents(such as in DPRK)

The comments on the VAM studies show that they were used for initial geographic targeting and then in some cases to target beneficiaries. Then they identify a target group that fits into a program such as female headed households (or HIV affected, or large families, or people who do or do not grow cassava). Then they estimate how many female headed households are in that area as the beneficiary number.

Burkina Faso – "The 2003 CFSVA and the 2006 food security and nutrition assessment were used to identify the areas where the situation was the most critical and required a food aid intervention. For these areas, beneficiaries were estimated on the basis of the prevalence of acute malnutrition, and government and cooperating partners' capacity to reach and assist the beneficiaries."

Laos – "For beneficiary figure, CO preliminarily selected 27 districts based on secondary data for the following variables: likelihood of relocation, lack of access roads, number of former opium cultivating villages, poverty levels as indicated both by the Government plan and VAM analysis. For the content of the response activities, livelihoods assessment lead by external consultant and CO VAM officer was used. Targeting at village level was conducted through assessments based on food security indicators."

Nicaragua – "The VAM study was crucial for the geographical targeting process. The targeted municipalities were identified through this analysis. Once the municipalities were selected, the food security assessments were conducted in the most affected communities in each municipality. The estimated number of beneficiaries was based on the community and municipality census. The types of response activities were decided in coordination with the community immediate needs and demands."

What we categorize as EFSA is called by many names in the survey responses. There is an RNA in Cameroon, Emergency food needs assessment in CAR, a joint needs assessment using the "EFSA methodology" in Peru, and emergency food security and needs(I think they intended nutrition) assessment in Darfur.

In larger operations, there is an "annual needs assessment" of some type. This was reported in Sudan, Malawi, Kenya, Mauritania, and Somalia. This appears the norm in all large countries in Southern Africa, the Horn of Africa and the Sahel. The assessment process has been somewhat institutionalized.

# **Deviations of Programming from Recommendations:**

A.5 . "Were the assessment recommendations incorporated in the initial program design for:"

	Total			
Total Ben	Food	Intervention	Ration	Targeting
24	19	25	18	28
12	15	13	13	
1	1	2	2	1
3	5		7	11
	24 12 1	Total Ben Food   24 19   12 15   1 1	Total BenFoodIntervention241925121513112	Total Ben Food Intervention Ration   24 19 25 18   12 15 13 13   1 1 2 2

Half of the respondents did not answer the open-ended question about deviation in programming. Most of the responses said that they did not deviate too much. Several themes developed out of responses to why programming figures deviated from assessment figures.

Interesting to note, almost all the deviations were to lower the assessment recommended figures. This may indicate that assessments are not always taking into account the realities of programming.

- 1. One reason cited in the survey responses for deviation was a response to "reduced resources" or "funding forecasts". Basically, the donor had told them what they could expect for resources.
- 2. Another reason was that previous programming experience in the country showed that the assessment findings were inaccurate. This included recommendations on rations and areas to concentrate activities.

Laos -- "The design was not deviated substantially from the assessment recommendations. However, food needs and beneficiary figure were adjusted as the assessment did not fully reflect the situation of specific geographical areas and target group."

- 3. A particular case of JAMs, figures "were provided" and "the JAM did not officially recommend a total beneficiary number."
- 4. Some respondents thought that the recommendations were too general, or inappropriate. The recommendations were not "strategic", gave inappropriate rations, did not include important areas or target groups.

Guinee Bisau -- "The assessment did not provide very specific details on caseloads and rations. The formulation of the PRRO took over where the assessment left off."

Colombia -- "The needs assessment was not specific and in-depth for all the areas of the design of the new project, as it looked only into some indicators ... in its recommendations the study did not go into detail as to the types of assistance required by the possible WFP beneficiaries or the total food needs required by the displaced population in Colombia. For the design of the PRRO the CO took into account the previous PRRO experience, other studies and assessments carried-out by WFP, other UN organizations and NGOs and data from the Government."

Tajikistan -- "The assessment identified areas with populations who are chronically food insecure and areas vulnerable to food insecurity and to some extent the findings have therefore been used for identifying the areas which receive GFD. The findings have not been helpful very much in further targeting of beneficiaries."

Haiti -- "The VAM didn't have per se strategic recommendations it was more a snapshot of Food Diversity situation. However the various conclusions and general recommendations have been taken into account in the implementation rather than in the designing of the project."

There are several unique situations that might also illustrate issues with a normative program.

- Somali a particular case because the FSAU does all food security analysis. It is intentionally divorced from any programming concerns. Individual agencies or consortiums
- Sudan situation changing and deteriorating so quickly that assessment was out of date
- OPT Intention to fully use assessment findings, but findings were too late to inform programming
- DRC OCHA-led study and it did not treat food at all.

# Assessment Leads

30 of the 40 responses said that WFP was the lead agency for the assessment. In other cases there were co –led with:

- UNHCR (4)
- Government (3)
- UNICEF (1)

• FAO (1)

6 assessments were led by other agencies

- Government/Ministry of Plan (2)
- OCHA (1)
- FSAU (1)
- UNHCR (1)
- Multi-agency (1)

Other partners in assessment design and analysis

Other UN agencies seemed to be the most common partners:

- FAO (11)
- UNICEF(11)
- UNHCR(7)
- UNDP(2)

NGOs and civil society played a significant role:

- NGOS(9)
- ICRC(1)
- CDC(1)

There was much government participation:

- Statistics (7)
- Planning, Finance, and Development (5)
- Agriculture (4)
- Health (3)
- Interior (1)
- Refugee service (1)
- Social welfare (1)
- Disaster management (1)
- Meterology (1)
- Food Security (1)
- Education (1)
- Interior(1)

# **Supplementary assessments**

Several other studies were cited that supported assessment:

- Nutrition surveys(5)
- CO lead rapid assessments (4)
- Monitoring and early warning (3)
- Other HH surveys(3)
- Crop assessments(1)
- Desk review on HIV and other issues (1)
- Follow-up assessments (1)
- Refugee updates (1)
#### Section B: Assessment trends

	Accuracy of assessments	Timeliness of assessments	Credibility of assessments	Relevance of assessments to programming
no response	5	5	5	5
1				
2			1	
3	8	14	11	4
4	22	16	18	24
5	5	5	5	7

B1 "Over the last three years how do you rate changes in the overall:"

#### Suggested Improvements in usefulness of assessments

There were five overall themes:

1. Timeliness of assessment in relation to the program cycle. About half of the responses noted timeliness as an issue.

Georgia -- Assessments should be done timely prior to project design. Resource availability drives the projects more than final assessment results, this should be reversed.

2. Including Government and stakeholders

Mali—" To improve the usefulness of assessments to the preparation of programmes, it would be preferable to first engage the government and other partners in the process in order to increase the chances that the results will be accepted by all. Furthermore, it is important to receive the necessary funds and to form an experienced evaluation team in a timely manner in order to quickly complete the assessment."

3. Sufficient resources and including CO in management of resources

Angola – "In the first place, assessments are very expensive. Proper sampling is essential, and the recruitment of trained surveyors, or training thereof and so on. Therefore, it is very important that realistic budgets be allocated to these exercises. Secondly, they have got to be done at the right time and within reasonable timeframes, otherwise they tend to become a bit academic or encyclopedic. Finally, the "experts" need to listen to the country office staff. They are in the country, they know it, they have experience and "nose". Many of these "experts" have a tendency to ignore indigenous knowledge and experience."

4. Building in-country capacity

Benin – "Actions have been initiated and should be reinforced to train programme officers that can quickly assist when an emergency occurs, in that case an assessment officer can be backed by programme officers instead of waiting for assessment officers. CO should be able to enhance their preparedness in term of CP, and LCA and for that it should have updated info on secondary data of census, socio economic data, etc... to facilitate the early compilation of the useful info"

5. Linking to programming

Sudan – "The usefulness of the assessments could be improved by moving more of the analysis to the field level, in order to strengthen the linkage to day-to-day operational decisions, and by educating users (esp. program staff) on the uses and limitations of the information and analysis. Also, regular discussions and feedback between those involved in the assessments and users of the information would help to 1) strengthen the linkage to programming decisions and 2) assure that the information needs of the users are (where possible) being met."

Iraq –" Include all WFP staff mainly at the management level in the preparation of the assessment (i.e. design, analysis and reporting) Involve more stakeholders. Strengthening WFP's local partner on assessment methodologies, analysis and reporting and to implement a Results Based Management approach."

Kenya—" It could be improved by having a bit more of details on how to link the food security recommendations to specific interventions and timing on the basis or in line with livelihood options available."

Other important observations

• Including nutrition

Tanzania –" Assessment methodology should be revisited so as to be more efficient and encompass additional indicators such nutrition and livelihood characteristics. Also, the assessments should be part of the Government regular routine at district level. For that capacity building and funding from the government is needed."

Yemen –" Timely conducted. A nutritionist to be member of the assessment team .A voiding the break in the pipe line"

• Use of monitoring data

Myanmar—" Accurate assessment is fore most essential for successfully implementing WFP activities. In order to carry out assessments and determine effective and efficient response interventions, Country Office in general and WFP staff in particular (also cooperating partners to an extent) would need to have basic systems established set up and staff trained. As in Myanmar context, following activities/ action plans are being carried out and enhanced.Preparation of a contingency plan/ emergency preparedness plan. Establishment of VAM and M&E units (baselines). In- situ trainings and simulation exercises. Attendance at regional EFSA training. Promotion of TDY opportunities. Formation of emergency response/ assessment teams."

• Reliability of information. The content of the comment is actually wrong. Most reviews show that we have much more trouble with reliability of the enumerators than of the people that are interviewed. Faked data is a bigger problem than we realize. In any case, reliability of data should be systematically assessed.

Burundi – "It is already satisfactory. The main weakness of our assessments is the limited reliability of some of our informers and the information they provide us with. The more we will be critical about what we are being said and the more we will try to triangulate the information received, the better/ more accurate and reliable our assessments will be.

- Language skills mostly from lusophone countries requesting assessment support in Portuguese
- Accuracy

OPT--" Assessments are key to programme design and to re-orient programme implementation when required. They are also a strong advocacy tool towards the host government, donors and partners. However, in order fulfill these various purposes, assessments have indeed to be timely, accurate, credible and relevant. If an assessment comes too late, it implies that programmes will necessarily be designed on outdated / erroneous information, which impacts on the relevance of our assistance. The 2007 CFSVA has been partially relevant to programme design but acted as a strong advocacy tool for food security actors beyond WFP. The credibility of an assessment is a function of its accuracy. My confidence in the CFSVA was shattered when I saw the results swaying between the use of one methodology and another. In addition, a number of workshops had been held to present the preliminary findings of the assessment to donors, etc. How to explain later that the final results are in fact quite different without compromising the credibility of the findings?I believe that the methodology should be standardized between one assessment and another to allow for comparison and to provide some indication at impact level. The 2003 CFSVA was based on a different methodology (qualitative vs. quantitative) and thus the results are

not comparable to the 2007 CFSVA. Food security monitoring systems would be helpful in showing trends within shorter timeframes and could provide the backbone of bi-yearly assessments.

To sum it all up Niger suggested steps to improve assessment should include:

- 1) Integrate risk analysis and build scenarios;
- 2) Incorporate program options and beneficiary number;
- 3) Build government capacity in food security assessments;
- 4) Work closely with Government and other agencies;
- 5) Validate the assessments results with the government;
- 6) Improve survey design; and
- 7) Harmonize food security analysis.

B3 "What do you perceive to be the importance of the following factors in determining the *donor response* to your appeals?"

	Credibility of the food security assessment	Quality of EMOP / PRRO proposal	Past performance of WFP in- country	Political relationship of donor with host Government	Media exposure of crisis	Overall availability of donor funds
no response	5	6	5	6	7	6
1				1		1
2			1	2	2	1
3	2	4	4	5	5	5
4	17	21	13	13	14	13
5	16	9	17	13	12	14

#### Section C: Support for capacity building

C1 "How important is it to further strengthen capacities for the following types of food security analysis in your Country? "

	Food Security Baselines (eg. Comprehensive Vulnerability Analysis)	Early Warning Systems	Initial needs assessment for rapid onset emergencies (such as flooding or earthquakes)	Joint Assessment Missions (with UNHCR) for refugee needs	Crop and Food Supply Assessment Missions (CFSAMs)
no response	2	3	3	9	3
1				7	2
2	3	2	3	3	4
3	5	4	8	7	10
4	11	19	14	5	14
5	19	12	12	9	7

	Inter-agency assessment missions	Market analysis as a component of needs assessment	Assessment of needs of the chronically food insecure	Monitoring and evaluation of the food security impact of programs
no response	3	3	4	3
1				
2	6		2	2
3	9	10	6	3
4	12	15	14	13
5	10	12	14	19

#### Support from HQ and RB

The tone of the responses on RB and HQ support was over-whelming positive. M&E support was often included with the list of support on assessments. One response also mentioned contingency planning. Training was most often mentioned as a support to the CO. RB and then HQ support to surveys was second most mentioned type of support.

Market studies were also mentioned:

Tashnisal

OPT – "The RB provided useful assistance to the CO in the following: Regional market specialist conducted a market survey in OPT, the report of which is a key reference document."

Important concerns raised:

Angola --"... The key was that we had the necessary resources to do very good work – from the field work, to hiring the right experts and consultants (with the right language skills and background) at the right time, to the printing of the report. It received no inputs from the Regional Bureau, whose focus has always been the Regional PRRO. About a year later we were selected for a pre-crisis survey funded by HQ. However, for this one, the funding was very limited and as a result the outcome was not very good. It tried to cover too large a geographical area with too small a sample and was carried out at the wrong time of the year. As long as the assessment (or whatever you want to call it) has a competent and knowledgeable manager, who can be part of the CO, the key is resources – funding."

The Gambia – "RB provide support to undertake a VAM assessment but it was not of a good enough quality nor geared to providing basis for action or on going assessment. Not useful. No other support provided by either the RB or HQ in this field. CO attempting to find funding and expertise to do it internally."

C3" What support would you like to receive from the RB and HQ to help further improve food security assessments at the national level?"

	assistance with food security baseline surveys	Technical assistance with emergency needs assessments	Technical assistance with market analysis	Developing and disseminating technical guidance notes	Training WFP CO staff in assessment methods	Training partner staff in assessment methods	Financing of assessments
no response	6	4	4	3	3	3	3
1	1	2	1	1	3	2	
2	2	2	4	2	2	1	1
3	4	10	8	15	8	9	5
4	17	13	15	12	9	12	9
5	10	9	8	7	15	13	22

### **Other Comments**

Comments mostly focused on improved capacity. Two comments are given to sum up the CO survey responses. They point at the paradox of being happy with what they are getting, but somehow feeling that it should be better -- particularly on technical issues and the relationship to programming.

Burundi – "As I said, in this field, I consider it is already quite good as it is. If we keep up with that standard that will be fine."

Burkina Faso – "Ensure that qualified technical staff (food security, nutrition, market analysis) is fully involved with programme design and implementation."

Timor-Leste—"Before leaving the country, the assessment mission should hand over raw data and build up the capacity of country office, so that the country office can continue to utilize, analyse and update the data accordingly for its running operations."

## Annex M: Checklist of EFSA Reports

## M.1: Checklist format

EFS	A Report (ONLY REPORTS LIS	STED AS 4.4.1 or 4.4.2)	
1	Report Title:		]
2	Bibliography Ref:		]
3	Reviewed by:		]
4	Type of ENA:		Initial (<1 week) Rapid (1-3 weeks) In-depth (>3 weeks)
5	Country(s):		]
6	Date of assessment		From To
7	Date of report		]
8	Available on internet		Yes / No
9	Linked EMOP/PRRO		Project No.
10	Date of PRC		]
11	Type of crisis		Rapid onset Slow onset Chronic Recovery
12	Core assessment team members		Not specified WFP HQ WFP RB WFP CO Other UN Int NGO / PVO Local NGO / CBO Govt Donor Other
13	Is there a clear description of method	hods used (Eg. separate	Rank 0 - 4

ł Section / Annex)

14	Does it describe the primary data colle questionnaires appended)	Rank 0 - 4	
15	Does it utilize WFP pre-crisis (baselin	e) information?	Rank 0 - 4
16	Does it describe the limitations / confi primary and secondary data?	dence levels of both	Rank 0 - 4
17	Analysis of food trade		Rank 0 - 4
18	Analysis of food access (prices and in	comes)	Rank 0 - 4
19	Coping strategies (including remittances)		Rank 0 - 4
20	Analysis of food utilization and nutrition		Rank 0 - 4
21	What method is used to determine the insecure people?	number of food	Nutritional indicators
			Dietary diversity Coping strategies index
		Write in other	Food economy analysis Other
		method	None
22	Does the analysis provide a geographi insecurity?	cal breakdown of food	Yes / No
23	How well does the report analyze the malnutrition?	probable causes of	Rank 0 - 4
<u>Doe</u> 24	s the report discuss the appropriateness Food transfers (GFD, FFW, FFE, Sup	-	Rank 0 - 4
25	Non-food transfers (cash, vouchers, m	narket support)	Rank 0 - 4
26	Other non-food (agriculture, health, w interventions	vater/sanitation)	Rank 0 - 4
<u>Doe</u> 27	s the report makes quantitative reccomm Food Transfers (e.g. GFD, FFW, FFE Feeding)		Yes / No

28	Non-Food Transfers (e.g. cash, vouchers, market support)	Yes / No
29	Other non-food (agriculture, health, water/sanitation) interventions	Yes / No
30	How clear is the link between the analysis and the recommendations?	Rank 0 - 4

## M.2: Summary of checklist findings

44 EFSAs were evaluated against the checklist.

Type of ENA:

Type of			In-depth	
ENA:	Initial (<1	Rapid (1-	(>3	Could not
	week)	3 weeks)	weeks)	determine
	6	20	12	6

### Countries:

Timor Leste	3
Sri Lanka	3
Sudan	3
Indonesia	2
	2 2
Nepal	
Ethiopia Rwanda	2 2 2 2 2 2
	2
Tanzania	2
DRC	2
Niger	2
OPT	
Bangladesh	1
Laos	1
Maldives	1
Pakistan	1
Afghanistan	1
Myanmar	1
Mozambique	1
Swaziland	1
Lesotho	1
Bolivia	1
Colombia	1
Burundi, Rwanda & Tanzania	1
Uganda	1
Afghanistan	1
Benin Togo	1
Cameroon	1
Guinee Bisau	1
Lebenon	1
Togo	1
-	

## Year of the study

•		
Year		
200	3 1	
200		
200		
200		
unable to determine	9	
Availability:		
Available on interne	et	
Yes	41	
No	3	
Type of crisis:		
Type of crisis		
Rapid onset	13	
Slow onset	21	
Chronic	16	
Recovery	12	
Team:		
Core assessment		
team members		
	Not specified	
	WFP HQ	
	WFP RB	
	WFP CO	
	Other UN	
	Int NGO / PVO	
	Local NGO / CBO	
	Govt	
	Donor	
	Other	

## Methods:

Is there a clear description of methods used (Eg. separate Section / Annex)

arate Section / Annex)	rank	(
		1
		•

Count	
1	3
2	11
3	20
4	10

Does it describe the primary data collection methods (eg. are questionnaires appended)				
1	rank	Count		
	1		3	
	2		8	
	3		19	
	4		9	
	no or not able to conclude		5	
Does it utilize WFP pre-				
crisis (baseline)				
information?	rank	Count		
	1			7
	2			3
	3			9
	4			1
	no or not able to conclude			24
Does it describe the limitations / confidence levels of both primary and				
secondary data?	rank			
	1		8	
	2		7	
	3		9 2	
	no or not able to		2	
	conclude		18	
Analysis:				
-				
Analysis of food trade	rank	count		
-	1	count		6
-	1 2	count		12
-	1 2 3	count		12 8
-	1 2 3 4	count		12 8 6
-	1 2 3	count		12 8
-	1 2 3 4 no or not able to conclude			12 8 6
Analysis of food access	1 2 3 4 no or not able to conclude rank	count		12 8 6 12
Analysis of food access	1 2 3 4 no or not able to conclude rank			12 8 6 12 8
Analysis of food access	1 2 3 4 no or not able to conclude rank 1 2			12 8 6 12 8 7
Analysis of food access	1 2 3 4 no or not able to conclude rank 1 2 3			12 8 6 12 8 7 17
Analysis of food access	1 2 3 4 no or not able to conclude rank 1 2 3 4			12 8 6 12 8 7 17 7
Analysis of food access	1 2 3 4 no or not able to conclude rank 1 2 3			12 8 6 12 8 7 17
Analysis of food access	1 2 3 4 no or not able to conclude rank 1 2 3 4 no or not able to conclude	count		12 8 6 12 8 7 17 7
Analysis of food trade Analysis of food access (prices and incomes) Coping strategies	1 2 3 4 no or not able to conclude rank 1 2 3 4 no or not able to conclude rank			12 8 6 12 8 7 17 7 5
Analysis of food trade Analysis of food access (prices and incomes) Coping strategies	1 2 3 4 no or not able to conclude rank 1 2 3 4 no or not able to conclude rank	count		12 8 6 12 8 7 17 7 5 4
Analysis of food trade Analysis of food access (prices and incomes) Coping strategies	1 2 3 4 no or not able to conclude rank 1 2 3 4 no or not able to conclude rank 1 2	count		12 8 6 12 8 7 17 7 5 4 13
Analysis of food trade Analysis of food access (prices and incomes) Coping strategies	1 2 3 4 no or not able to conclude rank 1 2 3 4 no or not able to conclude rank	count		12 8 6 12 8 7 17 7 5 4

no or not able to conclude

# Analysis of food utilization and nutrition

rank	count					
1		10				
2		9				
3		8				
4		8				
no or not able to conclude		9				
What method is used to						
determine the number of food						
Nutritional indicators	11					
Dietary diversity	13					
Coping strategies index	13					
Food economy analysis	15					
	1 2 3 4 no or not able to conclude d Nutritional indicators Dietary diversity Coping strategies index	1 2 3 4 no or not able to conclude d Nutritional indicators 11 Dietary diversity 13 Coping strategies index 13				

Other methods of determining the number of food insecure:

- 60 percent applied to the rural poulation
- assets and food production
- composite food security and poulation movement and livlihood strategy, available food and markets, access to food and way of life, nutrition, consumption, and state of health
- Composite risk and coping
- Composite: food prod, assets and other income sources
- Consumption and access composite
- count refugees estimates
- displaced or not impt!
- food availability
- income and loss of assets
- Loss of assets
- Physical damage plus chronic food insecurity
- Physical destruction of assets and livelihoods
- Planning figures and validation
- purchasing power and production
- ranking and applying a judgment based cut-off
- Reliance on secondary sources method unclear
- Self assessment

Does the analysis provide a geographical breakdown of food insecurity?

·		yes		37
		no		7
How well does the report analyze the probable causes of malnutrition?	rank		count	
		1		6
		2		9
		3		7
		4		6
	no or not able t	o conclude		16

3

3 8

6

14

10

9 5

#### Food transfers (GFD, FFW, FFE, Suppl. feeding, etc.) count rank 1 2 11 3 20 4 no or not able to conclude Non-food transfers (cash, vouchers, market support) rank count 1 4 2 5 3 8 4 2 no or not able to conclude 26 Other non-food (agriculture, health, water/sanitation) interventions rank count 1 4 2 12 3 11 4 no or not able to conclude 17 Does the report makes quantitative recommendations for: Food Transfers (e.g. GFD, FFW, FFE, Supplementary 27 Feeding) yes 14 no Non-Food Transfers (e.g. cash, vouchers, market support) 10 yes no 33 Other non-food (agriculture, health, water/sanitation) interventions 29 yes 13 no How clear is the link between the analysis and the recommendations? rank count 1 2 3 4

Recommendations:

not clear

## Annex N: Checklist of EMOPs and PRROs

The majority of the 2005 and 2006 EMOPs and PRROs were reviewed using this checklist. However, this exercise did not yield useful results and so no summarized data is presented. The format is included here for completeness.



## Annex O: ODAN Quality Monitoring Checklist

On-going monitoring of the intrinsic "quality" of ENAs has been an objective of SENAIP since the beginning. All EFSAs that provide supporting data and recommendations for EMOPS/PRROs are required to be to submitted to Regional Assessment Officers (RAOs) for a quality review using a 3-page "Checklist for Emergency Needs Assessment Reports." The RAO determines whether the ENA has satisfied the requirements contained in the handbook or other relevant guidance and is sufficiently acceptable for being posted on the WFP intranet and on the external internet website.

In September 2006, ODAN compared ratings on three small samples of ENAs against ratings on a pilot group of 25 ENAs done during the period Sept-Nov 2004. The first compared 18 randomly-selected ENAs completed during the period Sept 2005 – Sept 2006 with 25 ENAs completed in the period Sept 2004 – Sept 2005. The second compared 11 WFP-led ENAs against the pilot group and the third comparison was between 4 ENA reports reviewed by members of the Advisory Group against the pilot group. The comparisons were made in four categories: i) content and format, ii) objectives and methods, iii) food security analysis and iv) response analysis.

Individual indicators in these 4 categories were reviewed. The method was simply to compare the percentage of ENAs which received a score of acceptable or better in the 2004/05 pilot group vs. the ranking of satisfactory or better for those ENA performance indicators in the three samples from 2005/06. In the pilot vs. the 18 apparently randomly selected ENAs performance was seen to better in 11 indicators and worse in nine. In the 11 WFP-led ENAs in 2005/06 compared with the 2004/05 pilot set, performance was deemed better in 14 indicators of performance, worse in seven. The AG members – looking at only four ENAs for the 2005/06 period vs. the 18 ENAs in the 2004/05 pilot – found performance better in the former group in 16 indicators and worse in only four.

This exercise, while of interest in providing a notional sense of whether or not progress was made in improving the quality of ENAs from one year to the next, is not able to provide much insight into whether or not there may have been real – as opposed to notional – improvement. The samples are too small to allow statistical inference. The indicators being used are necessarily imprecise because they are qualitative – based on the judgment of observers. For example, individuals completing the checklist (whether RAOs, ODAN staff or AG members) are asked to rate each ENA on whether it has satisfactorily summarized a pre-crisis or "normal" situation. What constitutes "satisfactory" or "acceptable"? How likely are two raters likely to maintain different perceptions of what constitutes "normal"? How good are the data available to the ENA drafters which are used to characterize "normal"? These questions are not intended to denigrate the attempt to assess quality improvements in the process, they merely point out how difficult such improvement is to measure.

As is noted elsewhere in this report, the team members have, of course, also attempted to review a quite large sample of ENAs prepared before and during the time period of the SENAIP activity to attempt to develop our own judgments. It has also been quite difficult for us to judge the nature and magnitude of improvement in the assessment documents. Improvement is apparent, in general. An apparent larger percentage of ENAs now do a better job in adhering to guidance and requirements (largely attributable to the SENAIP programme) than was the case prior to 2005. How much of this can be attributed to the quality control process, however, is difficult to determine.

Check Items on finel EPSA QMC Checklist	Pilot 2x ENE reports Seat04-Hyv05 (% Acceptobia)	QMC SR ENA reports Exects-Septiti (% Second-Sect)	QMC 11 WF9-led ENA reports Sept85-Sept08 Bettent Hs Saptr-Good	GHC 4 ENA Reports AG Member Rating
L. Content and Format		1.1.1	Physics - Gacci	IN BASIS-EACH
1.5 Industria main topics/checkers/strineres (0754 report outline)	44	50	52	100
1.2 Provides dear decositie summary		67	73	75
1.7 plustrates findings with mage/tables/charts/shotos	65	78 1	9!	100
1.4 Includes questionnaire (e.g. in Annex)	68	33	35	100
Objectives and Hethods	5. 120 Q-94	$f : f_{\mathcal{O}} \rightarrow \dots \rightarrow f : f \rightarrow f$	Sector Parts	55° - 24 - 74
3.1 was a separate methods chapter/section	114	22 (12)	42 (8)	100
2.2 Clearly states objectives of the EFSA	88	99	82	100
2.3 Uses secondary data and presents their sources	60	76	91	75
2.4 Uses appropriate primary data objection methods (e.g. sample size, sampling methods, focus group discussions)	шл <b>+</b>	67	92	100
2.5 Presents the limitations of the assessment	37	50	54	100
Constructs coordination processes (preparation, debraring)     Food Security Analysis	NA.	56	55	80
	160	72	62	75
Summarizes pre-crisis/"normal" etuation	140			
Analyzas food evelopility:		-		- 200
(a) Adeputer of large and national food stocks	N.S.	50	55	100
(b) Adequacy of expected production (props and livestack)	NA	67	73	100
(c) Market supplies and movements of food (trade volumes)	(50)	55	64	25
3.3 Analyzes food access:	22			
(5) Moriet issues (e.g. prices, weges, terms of track, integration	(90)	60	64	75
(b) Food Access shortfoll (gap)	49	83	100	100
	55	83	100	75
<ul> <li>(c) Coping strategies used by households</li> <li>(a) Analyzes the role and importance of migration patternal and remittances (optional)</li> </ul>	NA	55 (11)	56 (9)	87
2.4 searches food utilization including nutrition:	(75)			
(a) Nood properation, care practices, nubrition/health	N45	44	64	50
(b) Probable capace of meleastrition (food or non-food)	NA	67	21	100
3.5 Identifies the most effected groups, crused & locition				
(a) reed security digues	48	61	73	350
(b) Gonder repairs	40	18 [11]	22 (9)	15
<ul> <li>(c) Identifies dyons: and transitory grasps [optional]</li> </ul>	101	17	11	67
Response Analysis				
<ul> <li>a betermines if food escurity liver nuclition intervention is needed</li> </ul>	500	89	100	390
4.2 anoiyoes what measures are appropriate: (See also Arres (35)		S		
(a) Food transfers (GPD, FPW, FPE, Suppl. Reading, etc.)	76	61	100	75
(b) Non-food transfers (cash, vauchers, market support)	60	33	45	90
<li>(c) Other non-food (agriculture, health, value)(anitation) (options);</li>	84	61	73	75
*.2 Discusses implementation capacits/feasibility if :	25			
(a) Food Transfers (e.g. GPD, FPW, FPC, Supplementary Fanding)		44	- 04	25
(b) Mon-food Fransfers (e.g. suchs vouchers, market subport)		17	11	25
4.4 assesses adequacy of govt resources and need for external intervation (scholar)	(40)	33	45	75
4.5 Estimates carrier of people requiring assistance	80	71.	82	133
4.6 Proposais baseliciary targetorę criteria	58	\$1	71	133
4.3 Proposes durations all interventions (start; and and clatas)	(64)	78	41	75
4.5 Identifies potential segative offects of proposed rangement	444	25	36	50
4-3 Accommends indicators to be monitorial and read for fullow-up accessments	46	56	73	75
Dieral		72	91	130

## Comparison between Pilot Quality Checka and Final Quality Monitoring Checklist

Note 165 % of reports describe on frank data collection int/0005 Ex the last state of the final methods and a state of the state state of the state of the state state and a state of the final methods.

## Annex P: Timeliness of project implementation

In reviewing whether the activities have been undertaken and completed in a timely cost efficient manner this evaluation has attempted to determine which of the principal elements had significant time-sensitive dimensions. In other words, how much did it matter to activities "B," "C" or "D" if activity "A" was completed behind schedule? Did other activities depend on an on-time completion of one or more other activities? The following sub-section briefly reviews the four major components and 14 individual elements of the project in terms of timeliness in accord with workplans and original estimates:

Four SENAIP elements aim to improve WFP's accountability and transparency relating to ENAs and improved programmatic decisions. These are:

1. procedures assigning responsibility to WFP managers for ensuring that operations are underpinned by adequate ENAs or vulnerability analyses

<u>Comment on timeliness</u>: Other than the original memorandum setting out this responsibility from Senior Deputy Executive Director, Jean-Jacques Graisse memo, there seems to have been little further activity to ensure, or reinforce the fact, that responsibilities were actually being discharged in a desirable manner.

2. public website to provide access to ENA documents and SENAC research

<u>Comment on timeliness</u>: Efforts were initiated in October 2005 and the site was set up quickly in late 2005. It has been added to frequently since that time.

3. guidance and funding for independent missions when WFP's credibility questioned and to augment WFP's technical expertise.

<u>Comment on timeliness</u>: It is difficult to measure timeliness when these efforts were to have been done on an as-needed basis.

4. quality assurance and tracking system to monitor improvements.

<u>Comment on timeliness</u>: The initial Quality Monitoring Checklist (QMC) was developed in 2006 and put into operation shortly thereafter. It is still being revised and improved. While clearly an important concept, there are issues with its present utility as are discussed elsewhere in this report. It's completion as a fully compliant element of the SENAIP project is not, in the view of the evaluation team, yet fully effectuated.

There are three SENAIP elements intended to refine analytical methods, tools and guidance. All are within the SENAC component of the project:

1. The EFSA handbook

<u>Comment on timeliness</u>: The first draft of handbook was published early in the project. Its revision is well underway and is in accord with planned targets. In sum, it was done expeditiously and has been quite well-received.

2. Conducting thematic research (8 areas)

<u>Comment on timeliness</u>: For the most part, these studies were commissioned in a timely manner. Seven were completed in 2005/06 and an eighth is being finalized. With the exception of the overdue study reviewing the linkage between ENAs carried out by WFP and decision making in the context of programme documentation, there are no timeliness issues.

3. Revising 1996 FAO/WFP CFSAM guidance

<u>Comment on timeliness</u>: This element is somewhat late. It is now apparently to be completed in 2007.

Three SENAIP elements focus on efforts to improve the availability and management of pre-crisis information in selected countries:

1. Improving the availability and management of pre crisis information in selected countries.

<u>Comment on timeliness</u>: producing comprehensive food security vulnerability assessments – reportedly completed in 12 countries and underway in 5 other as of December 2006:

-	DR Congo		- Underway
-	Liberia	-	Completed
-	Madagascar		- Completed
-	Mali	-	Completed
-	Mauritania		- Completed
-	Nepal	-	Completed
-	Southern Suda	an	- Nearly finalized
-	Tanzania	-	Completed
-	Uganda	-	Completed
-	East Timor		- Completed
-	Rwanda	-	Completed
-	Comoros	-	Completed
-	Laos	-	Underway
-	Zambia	-	Underway
-	Palestine	-	Underway

2. Food Security Monitoring System tracking key food security indicators in selected countries - Status at end of 2006:

-	Burundi -	Co	ompleted
-	Afghanistan	-	Round 1 completed
-	CAR -	Jus	st being initiated
-	Côte d'Ivoire	-	Completed
-	Sudan (Darfur)	-	Round 1 underway

Evaluation of the WFP Strengthening Emergency Needs Assessment Implementation Plan

- Haiti Completed \_ Burkina Faso Just being initiated \_ Chad Round 1 underway Mali Just being initiated Mauritania Just being initiated \_ Niger Just being initiated \_ Rwanda Just being initiated
- Uganda Just being initiated
- 3. Developing standardized methods for baseline analysis

<u>Comment on timeliness</u>: Work is still underway on this relatively difficult and sometimes contentious effort.

There are four elements comprising the "building WFP and partner assessment capacities" effort. By and large, there have been no timeliness issues here:

1. Deploying regional assessment experts

Comment on timeliness: Deployed and functioning on a timely basis.

2. learning programme and training workshops

Comment on timeliness: Have trained over 1,000 WFP & partner staff.

3. database of assessors

Comment on timeliness: Has been developed in part. Work is still underway

4. developing a strategy for building national assessment capacities for assessment preparedness in priority countries

Comment on timeliness: 110 advanced or "trainee advanced assessors" have been identified.