

# P4P Trader Survey Baseline and Follow-Up Survey [impact & non impact countries]

#### Introduction

My name is and I am working for the World Food Programme here in [name of country]. We are conducting a survey of traders in agricultural commodities. Are you, or is the firm you work for, engaged in trading agricultural commodities? If so, are you the person most knowledgeable about trading activities (i.e., the details of how you buy and sell commodities?
Enumerator: Confirm that you are speaking with a commodity trader and the appropriate person within a trading business. If not a trader or an employee of a trading business, terminate the interview. If not the appropriate knowledgeable person, then ask to speak with that person and start the introduction again.
The World Food Programme is an international organization that distributes food to those in need. The WFP wants to begin buying more of the food it distributes from smallholder farmers. When the WFP purchases from smallholder farmers, it does so through traders. We therefore need to understand how staple commodities are traded in [name of country]. We are conducting surveys of traders so we can more fully understand the challenges traders face buying and selling crops and how WFP might address these issues.
You were selected to participate in the survey because you trade agricultural commodities in an area where WFP works. The survey is voluntary and we will not share the information you give us with anyone else. We will never use the information in a way that identifies you or your organization. Your participation is voluntary and you can choose not to answer any or all of the questions if you want. However, we hope that you will participate since your views are important.
Your decision to participate in this interview, or not, will in no way affect, either positively or negatively, your chances of selling commodities to WFP.
The survey should take about one hour.
Are you willing to participate in the survey?
Do you have any questions?

**Identifying Information** 

Questionnaire number: (Pre-filled)						
Country name: (Pre-		Country co	de (Pre-	1 1 1		
filled)			filled)			
	1			1		
District				District co	de	
name:				District CO	<u> </u>	1 1 1
Region				Region co	de	
name:				<u> </u>		1 1 1
Village				Village co	de	
name:	I lula a sa 1	D. mal 2				1 1
Urban/Rural	Urban = 1	, Rurai = 2				_
Name of						
respondent				1		
GPS coordinates		_				
Coordinates	5.5					
	טט	DD MM .mmm				T
Date of interview	1 1 1	1 1 1	201 1 1			
			20			
	Day	Month	Year			
Time of interview	. :  _	Tim	e of interview	1	ı	. :  _
start:	·   ·   —   —	end	d:	-	_ _	. .
Team						1 1 1
code						
Enumerator name				Enumerate code	or	
Signature of supervisor/team						
leader						

#### **Module A. Business Information**

The following questions ask about you and your business.

A1	<ul> <li>Do you own this business and have comp the operation of the business?</li> <li>(If "Yes", complete module A and then go (If "No", go to Module B)</li> </ul>		1_1	1 = Yes 0 = No				
	. How are you, or the company, registered or licensed for trading?		1 = 2 =   3 = 4 =		Registered/licensed at local level Registered/licensed at district level			
A3	. What is the name of the company? (Leave blank if no name)							
A4	. Enumerator: observe or ask as necessary							
1	Gender Sex of company/business owner.					Male Female		
2	What is the name of the company/busine owner?	SS						
3	In what year was the company/business owner born? (Enter "-7" for "don't know")							
4	What is the highest level of education the company/business owner has completed	?	1_1		2 = 3 = 4 = 5 = 6 = 7 =	Completed school	primary  ndary school secondary  ge/university iversity	
<b>A</b> 5	. How many employees does the compan	y/bi	usiness have?	>				
	Paid		Unpai	d				
	а		b			0 = None		
1	Family   _  members		_ _ _			-7 = Don't	know	
2	Non-family   _ _		.					
A6	A6. For how many years have you been engaged in trading staple commodities?  -7 = Don't know							
A7	. Is trading commodities your primary source income?	e o	f business	_	_	1 = Yes 0 = No		
A8	A8. During the [season], in which months did you buy commodities?  (Enter "1" in each month when respondent bought commodities and "0" otherwise)							

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
а	b	С	d	е	f	g	h	i	j	k	- 1
1 1											

A9. Du	A9. During the [season], in which months did you sell commodities?										
(Enter "1" in each month when respondent sold commodities and "0" otherwise)											
Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
а	b	С	d	е	f	g	h	i	j	k	1
	1 1			1 1							

A10. During the [season], did you have any binding		1 = Yes
agreements or arrangements to buy commodities		0 = No
from particular producers/suppliers?		-7 = Don't know

A11.During the [season], did you have any binding		1 = Yes
agreements or arrangements to sell commodities to		0 = No
particular buyers (e.g., millers/brewers)?		-7 = Don't know

GO TO MODULE C

## **Module B: Employees**

The following questions ask about the trading business.

B1.		
_	Who is the contact person for this	
1	company/business?	
2	What is the telephone number for the	
2	contact person?	
2	What is the physical address of this	
3	company/business?	

B2.	What staple commodities do yo (Enumerator: enter codes for co traded)					Insert country-specific list of staple commodities from commodity code list in Data Collection Manual
B3.	buy before the main harvest sea	urchase <u>most</u> of the commodities you re the main harvest season, during the vest season, or after the main harvest				B3. Purchase commodities when  1 = Before the main harvest season  2 = During the main harvest season  3 = After the main harvest season
B4.	From which two sources do you commodities? (Enumerator: prompt as necessathe response categories) (Enter two responses only if response same amount from two differences of the same amount from two differenc	2	_     _	B4. Purchase commodities from  1 = Small scale farmers  2 = Larger farmers  3 = Farmer organizations  4 = Other traders/middle- men/village agents  5 = Food reserve agency  6 = Certified warehouses  -8 = Not applicable/no second source		
		а	b		С	B5. Codes for units
B5.	On average, about what quantity do you buy at one time from an individual seller?	o you buy at one Quantity		gh its	Weight of "other" unit (kg)   _	2 = kilogrammes 3 = 100 kg bags 4 = 90 kg bags 5 = 50 kg bags 6 = metric tonnes 7 = quintals 8 = Other

**END INTERVIEW** 

#### Module C. Trader Capacity/Profile

The following questions ask about what commodities you buy and how you buy and sell them. C1. Which of the following do you own that are available for use in the trading business and are in good working order? 1 Mechanical equipment for cleaning commodities 2 | Mechanical equipment for drying commodities 3 Trucks or other motor vehicles 4 Bagging equipment 1 = Own5 Buildings (excluding storage) 0 = Do not own 6 Ox-carts 7 | Moisture meters 8 Scales 1 = Collect most yourself (collect 80% or C2. Do you collect most of the commodities you buy more) from the sellers, do sellers bring most of the 2 = Suppliers deliver commodity to you, or is it some of both? most (80% or more delivered) 3 = Some of both 1 = You deliver most C3. Do you deliver most of the commodities you sell (80% or more) to the buyer, does the buyer collect most of the |\_\_| 2 = Buyers collect most commodities from you, or is it some of both? (80% or more) 3 = Some of both 1 = Carried (head or back) 2 = Bicycle 3 = Handcart/wheelbarrow 4 = Ox-cart/donkey 5 = Motorcycle C4. When you have to transport commodities, what 6 = Car|\_\_|\_| means of transport do you usually use? 7 = Small truck/pickup 8 = Large truck/lorry 9 = Public transport 10 = Boat/canoe -8 = Notapplicable/don't transport C5. Do you have access to facilities for long-term storage of commodities? 1 = Yes(If "No", go to guestion C9) 0 = No(If "Yes", go to question C6) C6. What facilities do you use for long-term storage? (Mark all that apply) (If "3" is marked, go to question C7, If not, go to question C8) Basic earth granaries (traditional storage) 1 = Use

2	Tents/plastic sheeting/iron sheeting		0 = Do not use
3	Permanent (concrete or steel) warehouses or silos	1 1	
3	that you <u>own</u>		
1	Permanent (concrete or steel) warehouses or silos	1 1	
4	that you <u>rent or use for a fee</u>		

			la		0 111
	а		b	С	2 = kilogrammes
				Weight of	3 = 100 kg bags
C7. What is the total capacity of	Capa	Capacity		"other"	4 = 90 kg bags
the warehouse(s) you own? (Go to question C8)		_	Weight Units	unit (kg)	5 = 50 kg bags
(Oo to question co)		Ė		_	6 = metric tonnes
				_	7 = quintals
					8 = Other
C8. Do you usually store commodities	s vou hus	, in			1 = Yes
anticipation of higher prices?	s you bus	, 11 1			0 = No
			<u> </u>		
C9.				ason], did	
		•		sk for each	
			ow] comm ecifically to		
			articular l		
		۲	requirem		Why not?
					J
		(If "Yes", go to next row)			(Go to question next row
			(If "No", g	o to b)	or question C10)
	- 1	1 = 0 =	Yes No		See codes below
			a		b
1 Dry					_
2 Remove foreign matter from					<u>                                     </u>
3 Remove small and broken grains	s from				
4 Remove discolored grains from					
5 Remove live pests from 6 Weigh and bag					_
6 Weigh and bag  7 Sorting/grading					
, Jording/grading			<u>   </u>		<u>                                     </u>
C9b: Reasons for not increasing qualit	ty				
1 = No increase in price to justify co					
2 = Increase in price not enough to					
3 = Buyers did not have particular sp					
<ul><li>4 = Quality received already met m</li><li>5 = Did not have ability</li></ul>	narket spe	ecifica	ations		
5 = Did not have ability					
O10 During the Cartesian					1 = Own funds only
C10. During the [season], what sour	rces of tu	nas d	ıa		2 = Borrowed funds only
you use to <u>buy commodities</u> ? (If "1", go to question C12)					3 = Owned and
					borrowed
(Otherwise, go to guestion C11)					4 011-
(Otherwise, go to question C11)					4 = Other

C11. What was the main source of money you borrowed to purchase commodities?	_	<ul> <li>1 = Bank</li> <li>2 = Buyer (contract buyer)</li> <li>3 = Agricultural supply company</li> <li>4 = Microfinance Institution/ NGO</li> <li>5 = Friends/relatives</li> <li>6 = Other</li> </ul>
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C1	2. During the [season], did you sell directly to buyers?		
	(Mark all that apply)		
1	Outside of [country]		
2	Within [country] but outside the province/region in which you are	1 1	
2	based		
3	Within the province/region but outside the district in which you are	1 1	
3	based		1 = Yes
4	Within the district but outside the town/trading center in which you	1 1	0 = No
4	are based		
5	Within the town/trading center but outside the village in which you	1 1	
3	are based		
6	Within the village in which you are based		

#### Module D. Purchases & Sales

The following questions ask about what you purchased and sold during the [season].

		а	b	С	d	е	f	
D1.	During the [season], what staple	Insert country	-specific list of s	staple commod	dities with code	<mark>s for columns b</mark>	elow.	
	commodities did you buy? (Enter codes in next row)				1_1	_		
D2.	During the [season], about how much (commodity name from column) did you buy?	Weight of	Quantity    _   _   _    Weight units    _    Weight of  "other" unit  (kg)    _   _   _	Quantity    _   _   _    Weight units    _    Weight of  "other" unit  (kg)    _   _   _	Quantity    _   _   _    Weight units    _    Weight of  "other" unit  (kg)    _   _   _	Quantity    _   _   _    Weight units    _    Weight of  "other" unit  (kg)    _   _   _	Quantity    _   _   _    Weight units    _    Weight of  "other" unit  (kg)    _   _   _	Codes for units  2 = kilogram mes  3 = 100 kg bags  4 = 90 kg bags  5 = 50 kg bags  6 = metric tonnes  7 = qunitals  8 = Other
D3.	During the [season], about what p name from row)? (Use proportional piling and ensur (Enter "0" for no purchases)	•			_	buy from	(seller	
1	Small-scale farmers							
2	Larger farmers							
3	Farmer organizations	_   _   _		_   _   _			_   _   _	
4	Other traders/middlemen/village agents				_ _ _	_ _ _		
5	Food reserve agency		_   _   _	_   _   _	_   _   _	_ _ _	_   _   _	
6 7	Certified warehouse Other							
•	During the [season], how large was your single largest sale of (commodity name from column)?	Quantity    _   _   _    Weight units	Quantity      Weight units	Quantity      Weight units	Quantity      Weight units	Quantity       Weight units	Quantity      Weight units	Codes for units 2 = kilogram mes

	3	Weight of "other" unit (kg)	Weight of "other" unit (kg)    _	Weight of "other" unit (kg)	Weight of "other" unit (kg)    _	Weight of "other" unit (kg)    _	Weight of "other" unit (kg)    _	3 = 100 kg bags 4 = 90 kg bags 5 = 50 kg bags 6 = metric tonnes 7 = quintals 8 = Other
D5.	During the <a>[season]</a> , about what (buyer name from row)? (Use proportional piling and ensu (Enter "0" for no sales)			•	,	m column) did	you make to _	
1	Households/individuals							
2	Retail stores							
3	Millers/brewers/processors							
4	Other traders/warehouse operator/food suppliers		_ _ _	- - -	- - -	_ _ _	_ _ _	
5	Government food reserve agency or government institutions (schools, hospitals, etc.)	_ _ _	_ _ _	_ _ _	_ _ _	_ _ _	_ _ _	
9	WFP							
6	International development agencies	- - -	_ _ _	- - -	- - -	_ _ _	_ _ _	
7	National/international NGOs							
8	Farmer organizations							
10	Other							

# **Module E. Suppliers**

The following questions ask abo	out where you get the co	ommodities you boud	iht during the	<mark>[season]</mark>
THE TOHOWING GUESTIONS USK UDG	Jai Wilcie You get the e	on mile and a god bode	in a daning tine	Journal,

11161	ollowing questions ask at	Jour Where ye	id get the col	ninodities you	i bought duni	ig the <mark>[seasor</mark>	<mark>IJ</mark> .			
E1								How does		
								the quantity		
								you bought		
								from		
			During the					(name of		
		During the	[season]					supplier		
		[season],	were the					from row)		
		were the	commoditi					during the		
		commoditi	es you					[season]		
		es you	bought					compare to		
		bought	from					the quantity	What is	
		from	(type of					you bought	the most	
		(type of	supplier					from	important	
		supplier	from row)			During the		(supplier)	reason	
		from row)	usually	How did		[season],		during	you	What is the
		usually dry	clean	you usually		what type		[previous	bought	most
		enough for	enough for	pay	About how	of credit		season]?	more from	important
		your	your	for	many days	did you		(If "more",		reason
		needs?	needs?	commoditi	after	generally		go to h) (if	?(name of	you
				es?	receiving	give to	What kind	"less" go to	supplier	bought
		(Usually	(Usually	(Usually	the	?	of security	i) (If "about	from row)?	less from
		means	means	means	commoditi	(If "none",	did you	the same",	(Go to	?
		over 50%	over 50%	over 50%	es did you	go to g)	usually	go to next	next row	(name of
	(Enumerator: Ask only	of the	of the	of the	usually pay	(Otherwise	require for	row or	or to	supplier
	for types of suppliers	time)	time)	time)	?	, go to f)	the loan?	module F)	Module F)	from row)?
	respondent	1 V	1 V		If same		See	See codes	See codes	See codes
	mentioned in question	1= Yes	1= Yes	See codes below	day, enter	See codes below	codes	below	below	below
	D3)	0 = No	0 = No	Delow	"0"	Delow	below			
		а	b	С	d	е	f	g	h	i
1	Small-scale farmers		1_		1_1		1_			
2	Larger farmers									
	Farmer									
3	organizations/cooper		_							11
	atives									' '
	Other									
4	traders/middlemen/vill		_			_	_			_
	age agents									

5	Government food reserve agency	11	1_1	_		11	-	_
6	Certified warehouses		_	1_1			11	
7	Other		_	_				

E1c: Method of payment  1 = Cash  2 = Cheque/voucher  3 = Goods/in-kind  4 = Both cash and in-kind  5 = Bank transfer		1 = Inputs (seed/fertilizer/chemicals/farming implements) 2 = Cash 3 = Both cash and inputs		E1f: Security required  1 = Assets such as land or livestock  2 = Houses or other buildings  3 = Agreement to pay portion of crop at harvest  4 = Agreement to pay cash at harvest
				5 = No security required/relationship of trust 6 = Other
E1g: Codes for size of sales  1 = Substantially larger  2 = About the same  3 = Substantially smaller  -8 = Not applicable – in business for less than two years	1 = Supplie 2 = You bo those to 3 = You factor 4 = You hat 5 = You hat common 6 = You est	cablished new relationships with suppliers and ded your buying area	1 = Suppli 2 = You be dema 3 = You fa from c 4 = Suppli wareh 5 = Had a comm 6 = Prices	ns for buying less iers had less to sell ought less because those to whom you sell inded less aced more competition for the commodities other buyers iers were selling elsewhere (farmer organizations, nouses, etc.) access to fewer funds with which to buy nodities were higher ad access to less storage capacity

## **Module F. Buyers**

The following questions ask about where you sold commodities during the [season].

me ro	<u>pilowing questions ask about wher</u>	e you sold commo	ailles aufing the <mark>[se</mark>	<del>zasonj</del> .			
F1.			How does the				
			quantity you				
			sold to(				
			name or buyer				
			from row)				
			during the				
			[season]				
			compare to the				
			quantity you				
			sold during the	What is the			About how
			[previous	most important			many days after
		During the	season]?	reason you sold	What is the		you delivered
		[season], did	(If "larger", go	a larger	most important		the commodity
		you sell	to c) (if	quantity to	reason you sold	How does	did
		commodities to	"smaller" go to	? (name or	a smaller	(name or	(name of buyer
		? (name	d")	buyer from row)	quantity to	buyer from row)	from row)
		or buyer from	(If "about the	-	? (name or	usually pay	usually pay
	(Enumerator: Ask only for	row)	same", go to e)	(Go to e)	buyer from row)	you?	you?
	buyers the respondent	1 = Yes	See codes	See codes	See codes	See codes	Enter "0" of
	mentioned in question D5)	0 = No	below	below	below	below	same day
		а	b	С	d	е	f
1	Households/individuals						
2	Retail stores						
3	Millers/brewers/processors						
4	Other traders/warehouse	1 1	1 1	1 1	1 1	1 1	1 1
4	operators/food suppliers						
	Government Food Reserve						
5	Agency (FRA) or government	1 1	1 1	1 1	1 1	1 1	1 1
5	institutions (schools, hospitals,						
	etc.)						
9	WFP						
	International development	1 1	1 1	1 1	1 1		
6	agencies		<u> </u>				
7	National/international NGOs						
8	Farmer organizations						
E1h		Reasons for larger	sales	F1d: Reason	s for smaller sales	F1e: Pay	ment methods

1 = Substantially larger	1 = Able to attain higher quality standards	1 = Not able to satisfy quality	1 = Cash
2 = About the same	2 = Able to buy more of the commodity	requirements	2 = Cheque/voucher
3 = Substantially smaller	3 = Developed new market relationships	2 = Could not buy as much of the	3 = Bank transfer
-8 = Not applicable - in	4 = Increased demand from buyer	commodity	4 = Goods/in-kind
business for less than two	5 = Other	3 = Reduced demand from buyer	5 = Other
years		4 = Other	

#### Module G. Marketing

			T
G1		During the [season], what	
		sources of information did	Was this information useful
		you use to determine the	in setting prices at which
		price at which you sold	you sold? (Ask only if
		commodities?	G1a=1)
		1 = Used	1 = Yes
		0 = Not used	0 = No
		а	b
	Publicly available market		
	information (e.g., radio/TV,		
1	commodity exchange, SMS,		_
	newspapers, information boards		
	at agricultural offices, etc.)		
	Personal knowledge of market		
2	(e.g., talking with other	1_1	1_1
	traders/buyers, friends, etc.)	r — r	1—1
	Price set by the buyer you sold to		
3	(e.g., set by buyer you represent)	_	
4	Extension workers/warehouse	1 1	
4	operators		-
_	Food reserve agency (name will	1 1	
5	be country specific) floor price		
6	Other	_	_

G2.	What is the public source of price information you relied on most often?	

- G2: Public market information sources
- 1 = Radio/TV
- 2 = Information boards at local agricultural offices
- 3 = Newspapers
- 4 = SMS system/mobile phone
- 5 = Other (specify)\_\_

G3.	In your opinion, what are the two things that have the most	а	b
	potential to negatively affect your business?	11	_

- G3: Codes for business risks
- 1 = Limited own capital/cash flow
- 2 = Limited access to credit
- 3 = Unreliable electricity
- 4 = Unpredictable prices
- 5 = Security/corruption
- 6 = High cost of/unreliable supply of inputs
- 7 = Taxes/fees
- 8 = Late/no payment from buyers
- 9 = Transportation costs
- 10 = Limited markets/market access
- 11 = Other (specify) \_

G4.	Do you have any insurance to cover loss or damage to your	1_1	1= Yes

commodities?		0 = No						
G5. Have you ever stored commodities in a warehouse that gave y a receipt that you could sell, trade, or use as security for a loan' (If "Yes", go to question G6) (If "No", go to question G7)		1= Yes 0 = No						
G6. What was the most important reason you chose to use such a warehouse?  (Go to question G8)		_						
G6: Reasons for using warehouse  1 = Ability to borrow money against stored commodities  2 = Certification of quantity and quality  3 = More attractive to buyers (i.e., more assurance of quality and quantity)  4 = Buyers pay better prices for commodities stored in warehouse  5 = Larger market/attract more buyers  6 = Other								
G7. What was the most important reason you did not use such a warehouse?								
G7: Reasons for not using warehouse  1 = Do not have access to such a warehouse  2 = The costs to use the warehouse are too high  3 = Do not need such a warehouse to reach markets  4 = Other								
During the [season], did you sell any commodities directly through a commodity exchange using a broker?  (If "Yes", go to question G9)  (If "No", go to question G11)								
G9. During the [season], what quantity of commodities did you sell through a commodity exchange? (mt)  Commodities did 1 2 3	ommodity (	Quantity (mt)  b						
G9a: Commodity codes Insert country-specific list of commodities from commodities code list in Data Collection Manual.								
G10. What were the most important reasons you chose to sell through the exchange?  Write in answer								
G11. What were the most important reasons you chose not to sell through the exchange?  Write in answer								

G12. Have you ever sold commodities through a competitive				1 =	Yes		
tender?				0 =	No		
040 11 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1							
G13.	G13. Has the price you have to pay for commodities		1 1	1 =	Yes		
changed much over the past three years?				0 =	No		
C14	C14 Has the price you receive for a promodition you call			1 =	Vos		
G14.	Has the price you receive for commodities you sell changed much over the past three years?			0 =			
	changed much over the past three years?			0 =	INO		
		1	Cianificantly	orgor	(at least		
G15.	How has the difference between what you	=	Significantly I 10% more)	arger	(at least		
	pay for commodities and what you sell them   , ,   ,	<b>2</b> _	About the sa	mo			
	for changed over the past three years?	3 =			ar (at least		
	(If "About the same", go to question G17)	J –	10% less)	mane	a (at least		
			10701033)				
G16	What has changed that made the difference larger/sm	nall	er?				
	in answer	iiaii	01.				
witte in answer							
C14 1	<ol> <li>Over the past several years, have you made significar</li> </ol>	o t					
G 10.	changes in how and where you buy and sell commodit			_	<mark>1= Yes</mark>		
	(If "No", END INTERVIEW)	ties	•		<mark>0 = No</mark>		
	(II IVO , LIVD IIVILIVI)						
G17.	What were the significant changes you made in how a	ind	where you buy	y and	sell		
	commodities?						
Write in answer							