

# **EVALUATION QUALITY ASSURANCE SYSTEM**

# Office Of Evaluation

Measuring Results, Sharing Lessons

[FINAL VERSION, 4 DECEMBER 2015]

#### TERMS OF REFERENCE

# **OPERATION EVALUATION**

# CAMEROON PROTRACTED RELIEF AND RECOVERY OPERATION (PRRO) 200552 FOOD AND NUTRITION ASSISTANCE TO NIGERIAN AND CENTRAL AFRICAN REFUGEES AND HOST POPULATIONS IN CAMEROON

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#### 1. Introduction

- 1. These Terms of Reference (TOR) are for the evaluation of Cameroon PRRO 200552 "Food and Nutrition Assistance to Nigerian and Central African Refugees and Host Populations in Cameroon". This evaluation is commissioned by the WFP Office of Evaluation (OEV) and will last from January (inception phase) to May 2016 (final report). In line with WFP's outsourced approach for Operation Evaluations (OpEv), the evaluation will be managed and conducted by an external evaluation company amongst those having a long-term agreement with WFP for operations evaluations.
- 2. These TOR were prepared by the OEV focal point based on an initial document review and consultation with stakeholders and following a standard template. The purpose of the TOR is twofold: 1) to provide key information to the company selected for the evaluation and to guide the company's evaluation manager and team throughout the evaluation process; and 2) to provide key information to stakeholders about the proposed evaluation.
- 3. The TOR will be finalised based on comments received on the draft version and on the agreement reached with the selected company. The evaluation shall be conducted in conformity with the TOR.

#### 2. Reasons for the Evaluation

#### 2.1. Rationale

- 4. In the context of renewed corporate emphasis on providing evidence and accountability for results, WFP has committed to increase evaluation coverage of operations and mandated OEV to commission a series of Operation Evaluations in 2013 -2016.
- 5. Operations to be evaluated are selected based on utility and risk criteria. From a shortlist of operations meeting these criteria prepared by OEV, the Regional Bureau (RB) has selected, in consultation with the Country Office (CO) Cameroon PRRO 200552 for an independent evaluation. In particular, the evaluation has been timed to ensure that findings can feed into future decisions on programme implementation and design, including the forthcoming development of a pilot Country Strategy Plan in 2016.

#### 2.2. Objectives

6. This evaluation serves the dual and mutually reinforcing objectives of accountability and learning:

- **Accountability** The evaluation will assess and report on the performance and results of the operation. A management response to the evaluation recommendations will be prepared.
- **Learning** The evaluation will determine the reasons why certain results occurred or not to draw lessons, derive good practices and pointers for learning. It will provide evidence-based findings to inform operational and strategic decision-making. Findings will be actively disseminated and lessons will be incorporated into relevant lesson sharing systems.

<sup>&</sup>lt;sup>1</sup> The utility criteria looked both at the timeliness of the evaluation given the operation's cycle and the coverage of recent/planned evaluations. The risk criteria was based on a classification and risk ranking of WFP COs taking into consideration a wide range of risk factors, including operational and external factors as well as COs' internal control self-assessments.

# 2.3. Stakeholders and Users

7. **Stakeholders.** A number of stakeholders both inside and outside of WFP have interests in the results of the evaluation and many of these will be asked to play a role in the evaluation process. Table one below provides a preliminary stakeholders' analysis, which will be deepened by the evaluation team in the inception package in order to acknowledge the existence of various groups (women, men, boys and girls) that are affected by the evaluation in different ways and to determine their level of participation. During the field mission, the validation process of evaluation findings should include all groups.

Table 1: Preliminary stakeholders' analysis

Stakeholders	Interest in the evaluation
	INTERNAL STAKEHOLDERS
Cameroon Country Office	Responsible for the country level planning and operations implementation, the
(CO)	CO is the primary stakeholder of this evaluation. It has a direct stake in the evaluation and an interest in learning from experience to inform decision-
	making. It is also called upon to account internally as well as to its beneficiaries,
	partners for the performance and results of its operation.
Regional Bureau Dakar	Responsible for both oversight of COs and technical guidance and support, the
(RBD)	RB management has an interest in an independent account of the operational
	performance as well as in learning from the evaluation findings to apply this
	learning to other country offices. In particular, WFP Central Africa Republic (CAR) CO might also have a more indirect interest in the findings from this evaluation
	given that WFP Cameroon is currently supporting long-standing Central African
	refugees through the PRRO 200552.
Office of Evaluation	OEV is responsible for commissioning OpEvs over 2013-2016. As these
(OEV)	evaluations follow a new outsourced approach, OEV has a stake in ensuring that
	this approach is effective in delivering quality, useful and credible evaluations.
WFP Executive Board	The WFP governing body has an interest in being informed about the
(EB)	effectiveness of WFP operations. This evaluation will not be presented to the EB but its findings will feed into an annual synthesis of all OpEvs, which will be
	presented to the EB at its November session.
	<u> </u>
	EXTERNAL STAKEHOLDERS (See Table 2 for list of external stakeholders)
Beneficiaries	As the ultimate recipients of food assistance, beneficiaries have a stake in WFP
	determining whether its assistance is appropriate and effective. As such, the level of participation in the evaluation of women, men, boys and girls from
	different groups will be determined and their respective perspectives will be
	sought.
Government	The Government has a direct interest in knowing whether WFP activities in the
	country are aligned with its priorities, harmonised with the action of other
	partners and meet the expected results. Issues related to capacity development,
	handover and sustainability will be of particular interest. Various Ministries are partners in the design and implementation of WFP activities, including the
	Ministry of Health and the Ministry of Agriculture and Rural Development. With
	regards to gender-related aspects, the main national counterpart is the Ministry
	for Women and the Family (MINIPROF).
UN Country team	The UNCT's harmonized action should contribute to the realisation of the
	government developmental objectives. It has therefore an interest in ensuring that WFP operation is effective in contributing to the UN concerted efforts.
	Various agencies are also direct partners of WFP at policy and activity level (e.g.
	UNHCR and UNICEF).
	<u>'</u>

NGOs	NGOs are WFP's partners for the implementation of some activities while at the same time having their own interventions. The results of the evaluation might affect future implementation modalities, strategic orientations and partnerships.
Civil society	Civil society groups work within the same context in which WFP operates and have an interest in areas related to WFP interventions (food security, nutrition, education, gender equity, etc.). Their experience and knowledge can inform the evaluation and they will be interested in the evaluation findings, especially those related to partnerships.
Donors	WFP operations are voluntarily funded by a number of donors. They have an interest in knowing whether their funds have been spent efficiently and if WFP's work has been effective and contributed to their own strategies and programmes.

- 8. **Users.** The primary users of this evaluation will be:
- The CO and its partners in decision-making related notably to programme implementation and/or design, country strategy and partnerships.
- Given RB's core functions the RB is expected to use the evaluation findings to provide strategic guidance, programme support and oversight,
- OEV will use the evaluation findings to feed into an annual synthesis of all OpEvs and will reflect upon the evaluation process to refine its OpEv approach, as required.

# 3. Subject of the Evaluation

- 9. Cameroon is a lower-middle income country with a Global Hunger Index (GHI) value of 14.5 in 2013. The country ranks 152 out of 187 countries according to the 2014 Human Development Index. Over 40 percent of its population of 22.3 million people are living below the poverty line. Over 70 percent depend on agro-pastoral activities. In rural areas, 9.6 percent of households are food insecure (2.2 percent severely and 7.4 percent moderately).
- 10. Agricultural production has declined in recent years due to changes in climactic conditions and the diminishing foreign demand for products from Cameroon. Consequently, Cameroon is forced to import a quarter of its cereal requirements to feed its population. The northern part of the country, which is characterized by an agro-ecological Sahel climate, suffers from poor soil quality, limited rainfall and low crop production. Four successive years of natural disasters droughts in 2009, 2011 and 2012, and floods in 2010 and 2012 resulted in a high rate of crop failure and left the population with small or non-existent stocks for the lean season. These conditions further widened the gap between food production and national needs.
- 11. Security is increasingly becoming a concern in Cameroon, as in recent years conflicts in both Nigeria and Central African Republic (CAR) have displaced thousands of people into Cameroon, and the spill over from Boko Haram's attacks in Nigeria has provoked a humanitarian crisis in the Far North region.
- 12. WFP is responding to these simultaneous crises in Cameroon through the provision of emergency food and nutrition support to affected populations. To address long-term needs of the most vulnerable populations affected by recurrent food crisis, WFP Cameroon is currently implementing a Country Programme (CP), a PRRO and two Regional Emergency Operations to support Nigerian and Central African refugees respectively across the region.
- 13. In particular, the original PRRO 200552 launched in October 2013, planned to assist 276,560 beneficiaries with focus on two components: i) a relief package providing general food distribution

- (GFD) to vulnerable Central African and Nigerian refugees, and nutritional assistance to refugees and host populations; and ii) a recovery component comprising food assistance for assets (FFA) to assist local populations and refugees with disaster risk reduction and climate change adaptation activities.
- 14. A 2015 budget revision (BR#2) extended the PRRO 200552 for six months (October 2015-March 2016) and decreased the number of beneficiaries from 276,560 to 143,173, to account for beneficiary caseloads transferred from the PRRO to the two regional emergency operations (Regional EMOPs 200777 and 200799, supporting Nigerian refugees and newly displaced Central African refugees respectively).
- 15. Currently, the PRRO 200552 plans to assist 143,173 longstanding Central African refugees and host population beneficiaries in the East, Adamaoua, North and Far North regions. The assistance focuses on:
  - **Targeted Supplementary Feeding (TSF)** for 6-59 month children and pregnant/lactating women (PLW) from host population;
  - Complementary Feeding for 6-23 month children from host population;
  - Food-for Assets and Food-for Training activities (FFA/FFT) for host population and longstanding Central African refugees.
- 16. PRRO 200552 is aligned with the WFP Strategic Plan 2014-2017, specifically to Strategic Objectives 1, 3 and 4, and in line with Millennium Development Goals (MDGs) 1, 3, 4 and 5.
- 17. The project document including the project logframe, related amendments (Budget revisions) and the latest resource situation are available at this <u>link</u>.<sup>2</sup> The key characteristics of the operation are outlined in table two below:

Table 2: Key characteristics of the operation

	OPERATION												
Approval The operation was approved by WFP Executive Director (ED) in October 2013													
Amendments	extended the duration of the PRR March 2016) and reduced the tot	e RBD Regional Director in August 2015, O 200552 by six months (until 31 al number of beneficiaries as a result of igerian refugees and newly arrived											
Duration	Initial: 1 October 2013 – 30 September 2015 (24 months)	Revised (BR#2): 1 October 2013 – 31 March 2016 (30 months)											
Planned beneficiaries	Initial: 276,560	Revised (BR#2): 143,173											

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<sup>&</sup>lt;sup>2</sup> From WFP.org – Countries – Cameroon – Operations.

Planned food	Initial:	Revised (BR#2):
requirements	In-kind food: 19,385 mt of food	In-kind food: increase of 4,030 mt of
	commodities	food commodities
US\$ requirements	<u>Initial:</u> US\$ 23,834,413	Revised (BR#2): US\$ 28,333,919

#### **OBJECTIVES, OUTCOMES AND ACTIVITIES**

(as per original logical framework from 2013 project document)

so	Operation specific objectives and outcomes	Activities
WFP Strategic Objective 1	Adequate food consumption over assistance period for targeted households, communities and refugees.	General Food Distribution (GFD) for Nigerian and Central African refugees [following BR#2, GFD activities transferred to Regional EMOPs 200777 and 200799]
WFP Strategic Objective 3	Adequate food consumption over assistance period for targeted households, communities, and refugees.	<b>FFA/FFT</b> for Nigerian and Central African refugees [following BR#2, only longstanding refugees from CAR] and host population
WFP Strategic Objective 4	Undernutrition including micronutrient deficiencies amongst children aged 6–59 months, pregnant and lactating women and PLHIV is reduced.	Nutrition Activities TSF, Complementary Feeding and Food-by- Prescription (FbP) [following BR#2, only TSF (6-59s and PLW) and Complementary feeding (6-23s) from host population].

#### **Cross-cutting results:**

**Gender:** Gender equality and empowerment improved;

Protection: WFP assistance delivered and utilized in safe, accountable and dignified conditions; Partnership: Food assistance interventions coordinated and partnerships developed and maintained

PARTNERS											
Government Directorate of Health Promotion, Regional delegations of the Ministry of Health											
Ministry of Agriculture and Rural Development											
United Nations UNHCR, UNICEF											
NGOs The International Medical Corps, International Federation of Red Cross and Red											
	Crescent Societies, Plan Cameroon, Saild, Sana Logone, Public Concern.										

# **RESOURCES (INPUTS)**

## **Contribution received** (as of 19 November 2015): US\$ 10,664,172

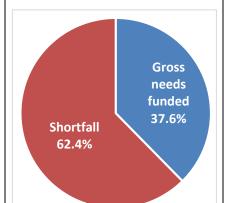
Contribution to Millennium Development Goals (MDGs) 1, 3, 4 and 5.

% against appeal: 37%; % of operation time elapsed: 87%

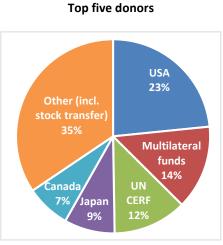
#### Top 5 donors and shares of received contributions:

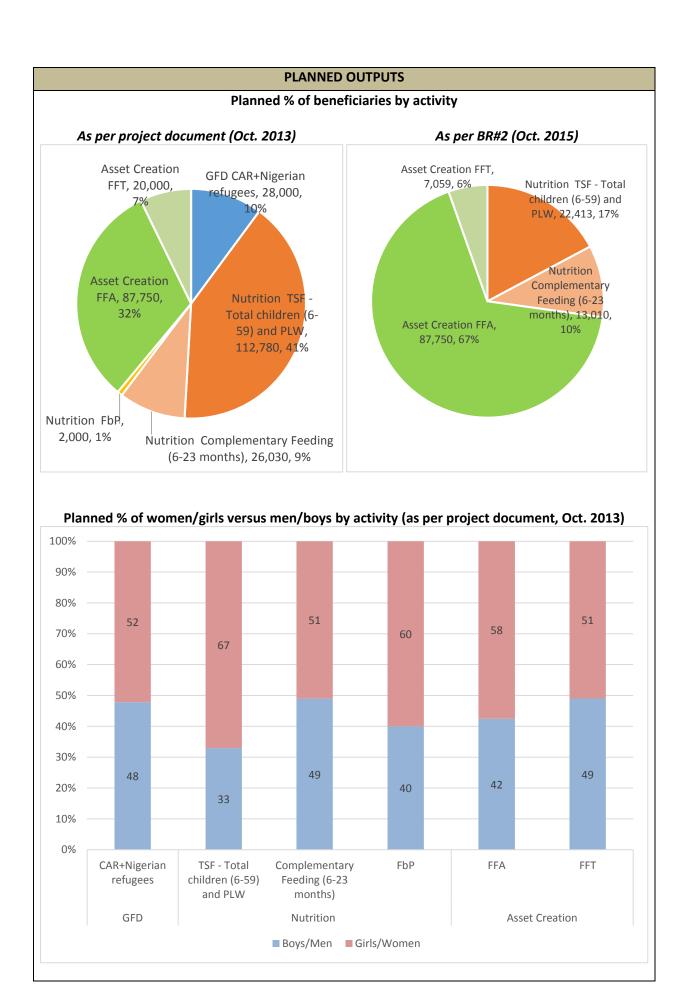
USA: 23%

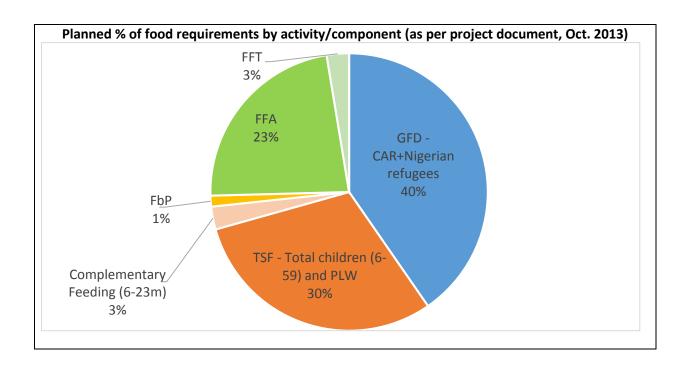
Multilateral: 14% **UN CERF: 12%** Japan: 9% Canada: 7%



% funded of total requirements







# 4. Evaluation Approach

## **4.1. Scope**

- 18. **Scope.** The evaluation will cover Cameroon PRRO 200552, including all activities and processes related to its formulation, implementation, resourcing, monitoring, evaluation and reporting relevant to answer the evaluation questions. The period covered by this evaluation captures the period of the development of the operation (April-September 2013) and the period from the beginning of the operation until the start of the evaluation (October 2013-March 2016).
- 19. In particular, the evaluation will mainly focus on PRRO ongoing activities as per BR#2, for which all evaluation questions will apply. For those activities that were phased out or shifted to other operations (e.g. GFD for Nigerian and Central African refugees currently covered by Regional Emergency Operations) only Question 1 will apply, as for appropriateness of re-design of the operation (i.e. shift of GFD activities from PRRO to Regional EMOPs). This requirement is particularly pertinent in view of the forthcoming evaluation of the Regional EMOP 200777 supporting Nigerian refugees, which is also planned to be conducted in early 2016 as a separate exercise.

#### 4.2. Evaluation Questions

20. The evaluation will address the following three questions:

**Question 1: How appropriate is the operation?** Areas for analysis will include the extent to which the objectives, geographical and population targeting, choice of activities and of transfer modalities:

- Were appropriate at project design stage to the needs of the food insecure population including the distinct needs of women, men, boys and girls from different groups, as applicable, and remained so over time.
- Are coherent with relevant stated national policies, including sector and gender policies and strategies and seek complementarity with the interventions of relevant humanitarian and development partners as well as with other CO interventions in the country (including appropriateness of the shift of GFD refugee component to the Regional EMOPs 200777 and 200799).

Were coherent at project design stage with relevant WFP and UN-wide system strategies, policies and normative guidance (including gender³), and remained so over time. In particular, the team will analyse if and how gender empowerment and equality of women (GEEW) objectives and mainstreaming principles were included in the intervention design in line with the MDGs and other system-wide commitments enshrining gender rights.

**Question 2: What are the results of the operation?** While ensuring that differences in benefits between women, men, boys and girls from different groups are considered, the evaluation will analyse:

- The level of attainment of the planned outputs (including the number of beneficiaries served disaggregated by women, girls, men and boys);
- The extent to which the outputs led to the realisation of the operation objectives as well as to unintended effects highlighting, as applicable, differences for different groups, including women, girls, men and boys; how GEEW results have been achieved;
- How different activities of the operation dovetail and are synergetic with other WFP operations and with what other actors are doing to contribute to the overriding WFP objective in the country;
- The efficiency of the operation and the likelihood that the benefits will continue after the end
  of the operation;
- The extent of achievements in terms of economic self-sufficiency among refugees and host populations through training in agriculture and husbandry activities and
- Main lessons and conditions of replicability of the Complementary Feeding activity.

**Question 3: Why and how has the operation produced the observed results?** The evaluation should generate insights into the main internal and external factors that caused the observed changes and affected how results were achieved. The inquiry is likely to focus, amongst others, on:

- Internally (factors within WFP's control): the processes, systems and tools in place to support the operation design, implementation, monitoring/evaluation and reporting; the governance structure and institutional arrangements (including issues related to staffing, capacity and technical backstopping from RB/HQ); the partnership and coordination arrangements; etc.
- Externally (factors outside WFP's control): the external operating environment; the funding climate; external incentives and pressures; etc.

#### 4.3 Evaluability Assessment

21. Evaluability is the extent to which an activity or a programme can be evaluated in a reliable and credible fashion. The below provides a preliminary evaluability assessment, which will be deepened by the evaluation team in the inception package. The team will notably critically assess data availability and take evaluability limitations into consideration in its choice of evaluation methods. In doing so, the team will also critically review the evaluability of the gender aspects of the operation, identify related challenges and mitigation measures and determine whether additional indicators are required to include gender empowerment and gender equality dimensions.

<sup>&</sup>lt;sup>3</sup> Relevant WFP Policies include: Gender Policy, Building Resilience for Food Security and Nutrition, Nutrition Policy, Policy on Disaster Risk Reduction and Management, WFP role in humanitarian system, humanitarian protection. For a brief on each of these and other relevant policies and the links to the policy documents, see the WFP orientation guide on page 14. For gender, in addition to WFP policy, refer to <a href="http://www.ohchr.org/en/hrbodies/cedaw/pages/cedawindex.aspx">http://www.ohchr.org/en/hrbodies/cedaw/pages/cedawindex.aspx</a> for information on UN system wide commitments.

- 22. In answering question one, the team will be able to rely on assessment reports, minutes from the project review committee, the project document and logframe, evaluations or reviews of ongoing and past operations, as well as documents related to government and interventions from other actors. In addition, the team will review relevant WFP strategies, policies and normative guidance.
- 23. For question two the operation has been designed in line with the corporate strategic results framework (SRF) and selected outputs, outcomes and targets are recorded in the logframe. Monitoring reports as well as annual standard project reports (SPRs) detail achievement of outputs and outcomes thus making them evaluable against the stated objectives.
- 24. However, answering question two is likely to pose some challenges owing in part to: i) the absence of baseline data for the activities, which will need to be reconstructed using findings from various assessment reports and ii) data gaps in relation to efficiency.
- 25. For question three, the team members will have access to some institutional planning documents and is likely to elicit further information from key informant interviews.

# 4.4. Methodology

- 26. The methodology will be designed by the evaluation team during the inception phase. It should:
  - Employ relevant internationally agreed evaluation criteria including those of relevance, coherence (internal and external), coverage, efficiency, effectiveness, impact and sustainability (or connectedness for emergency operations), giving special consideration to gender and equity issues.
  - Use applicable standards (e.g. SPHERE standards; UNEG guidance on gender<sup>4</sup>);
  - Demonstrate impartiality and lack of biases by relying on a cross-section of information sources (e.g. stakeholder groups, including beneficiaries, etc.) and using mixed methods (e.g. quantitative, qualitative, participatory) to ensure triangulation of information through a variety of means. Participatory methods will be emphasised with the main stakeholders, including the CO. The selection of field visit sites will also need to demonstrate impartiality.
  - Be geared towards addressing the key evaluation questions taking into account the evaluability challenges, the budget and timing constraints;
  - Be based on an analysis of the logic model of the operation and on a thorough stakeholders analysis;
  - Ensure through the use of mixed methods and appropriate sampling that women, girls, men and boys from different stakeholders groups participate and that their different voices are heard and used;
  - Be synthesised in an evaluation matrix, which should be used as the key organizing tool for the evaluation.

#### 4.5. Quality Assurance

27. OEV's Evaluation Quality Assurance System (EQAS) defines the quality standards expected from this evaluation and sets out processes with in-built steps for quality assurance, templates for evaluation products and checklists for the review thereof. It is based on the UNEG norms and standards and good practice of the international evaluation community (DAC and ALNAP) and aims to ensure that the evaluation process and products conform to best practice and meet OEV's

<sup>&</sup>lt;sup>4</sup> These are put into context of WFP evaluation in the OEV technical note on integrating gender in evaluation. Evaluation team will be expected to review this TN during the inception phase and ensure that gender is well mainstreamed in all phases and aspects of the evaluation.

- quality standards. EQAS does not interfere with the views and independence of the evaluation team.
- 28. At the start of the evaluation, OEV will orient the evaluation manager on EQAS and share related documents. EQAS should be systematically applied to this evaluation and the evaluation manager will be responsible to ensure that the evaluation progresses in line with its process steps and to conduct a rigorous quality control of the evaluation products ahead of their submission to WFP. OEV will also share an Orientation Guide on WFP and its operations, which provides an overview of the organization.

#### 5. Phases and deliverables

- 29. The evaluation will proceed through five phases. Annex two provides details of the activities and the related timeline of activities and deliverables.
- 30. **Preparation phase** (November-December 2015): The OEV focal point will conduct background research and consultation to frame the evaluation; prepare the TOR; select the evaluation team and contract the company for the management and conduct of the evaluation.
- 31. **Inception phase** (January-February 2016): This phase aims to prepare the evaluation team for the evaluation phase by ensuring that it has a good grasp of the expectations for the evaluation and a clear plan for conducting it. The inception phase will include a desk review of secondary data and initial interaction with the main stakeholders.
  - **Deliverable: Inception Package.** The Inception Package details how the team intends to conduct the evaluation with an emphasis on methodological and planning aspects. The IP will be shared with CO, RB and OEV for comments before being approved by OEV. It will present an analysis of the context and of the operation, the evaluation methodology articulated around a deepened evaluability and stakeholders' analysis; an evaluation matrix; and the sampling technique and data collection tools. It will also present the division of tasks amongst team members as well as a detailed schedule for stakeholders' consultation. For more details, refer to the <u>content guide for the inception package</u>.
- 32. **Evaluation phase** (end of February to mid-March 2016): The fieldwork will span over three weeks and will include visits to project sites and primary and secondary data collection from local stakeholders. Two debriefing sessions will be held upon completion of the field work. The first one will involve the country office (relevant RB and HQ colleagues will be invited to participate through a teleconference) and the second one will be held with external stakeholders.
  - <u>Deliverable: Exit debriefing presentation.</u> An exit debriefing presentation of preliminary findings and conclusions (PowerPoint presentation) will be prepared to support the debriefings.
- 33. **Reporting phase** (March-May 2016): The evaluation team will analyse the data collected during the desk review and the field work, conduct additional consultations with stakeholders, as required, and draft the evaluation report. It will be submitted to the evaluation manager for quality assurance. Stakeholders will be invited to provide comments, which will be recorded in a matrix by the evaluation manager and provided to the evaluation team for their consideration before report finalisation.
  - <u>Deliverable: Evaluation report.</u> The evaluation report will present the findings, conclusions and recommendations of the evaluation in a concise report of 40 pages maximum. Findings should be evidence-based and relevant to the evaluation questions. Data will be disaggregated by sex and the evaluation findings and conclusions will highlight differences in

performance and results of the operation for different beneficiary groups as appropriate. There should be a logical flow from findings to conclusions and from conclusions to recommendations. Recommendations will be limited in number, actionable and targeted to the relevant users. These will form the basis of the WFP management response to the evaluation. For more details, refer to the <u>content guide for the evaluation report</u> and the <u>OpEv sample models for presenting results</u>.

Follow-up and dissemination phase: OEV will share the final evaluation report with the CO and RB. The CO management will respond to the evaluation recommendations by providing actions that will be taken to address each recommendation and estimated timelines for taking those actions. The RB will coordinate WFP's management response to the evaluation, including following up with country offices on status of implementation of the actions. OEV will also subject the evaluation report to an external post-hoc quality review to report independently on the quality, credibility and utility of the evaluation in line with evaluation norms and standards. A feedback online survey on the evaluation will also be completed by all stakeholders. The final evaluation report will be published on the WFP public website, and findings incorporated into an annual synthesis report, which will be presented to WFP's Executive Board for consideration. This synthesis will identify key features of the evaluated operations and report on the gender sensitivity of the operations among other elements. Findings will be disseminated and lessons will be incorporated into other relevant lesson sharing systems.

#### Notes on the deliverables:

The inception package and evaluation reports shall be written in English and follow the EQAS templates.

The evaluation team is expected to produce written work that is of very high standard, evidence-based, and free of errors. The evaluation company is ultimately responsible for the timeliness and quality of the evaluation products. If the expected standards are not met, the evaluation company will, at its own expense, make the necessary amendments to bring the evaluation products to the required quality level.

The evaluation TOR, report and management response will be public and posted on the WFP External Website (wfp.org/evaluation). The other evaluation products will be kept internal.

Table 3: Key dates for field mission and deliverables

Entity	Phase	Activities	Key dates (tentative)
responsible			
EM/ET	Inception	Draft Inception Package	5 February 2016
EM/ET	Inception	Final Inception Package	19 February 2016
CO/ET	Evaluation	Evaluation field mission	29 February –
			18 March 2016
ET	Evaluation	Exit Debriefing Presentation	18 March 2016
EM/ET	Reporting	Conference call with CO/RB to discuss	15 April 2016
		emerging areas of recommendations	
EM/ET	Reporting	Draft Evaluation Report	29 April 2016
EM/ET	Reporting	Final Evaluation Report	27 May 2016
CO/RB	Follow-up	Management Response	29 June 2016

# 6. Organization of the Evaluation

# **6.1** Outsourced approach

- 35. Under the outsourced approach to OpEvs, the evaluation is commissioned by OEV but will be managed and conducted by an external evaluation company having a long-term agreement (LTA) with WFP for operations evaluation services.
- 36. The company will provide an evaluation manager (EM) and an independent evaluation team (ET) in line with the LTA. To ensure a rigorous review of evaluation deliverables, the evaluation manager should in no circumstances be part of the evaluation team.
- 37. The company, the EM and the ET members will not have been involved in the design, implementation or M&E of the operation nor have other conflicts of interest or bias on the subject. They will act impartially and respect the code of conduct of the profession.
- 38. Given the evaluation learning objective, the evaluation manager and team will promote stakeholders' participation throughout the evaluation process. Yet, to safeguard the independence of the evaluation, WFP staff will not be part of the evaluation team or participate in meetings with external stakeholders if the evaluation team deems that their presence could bias the responses.

# **6.2** Evaluation Management

- 39. The evaluation will be managed by the company's EM for OpEvs (as per LTA). The EM will be responsible to manage within the given budget the evaluation process in line with EQAS and the expectations spelt out in these TOR and to deliver timely evaluation products meeting the OEV standards. In particular, the EM will:
- Mobilise and hire the evaluation team and provide administrative backstopping (contracts, visas, travel arrangements, consultants' payments, invoices to WFP, etc.).
- Act as the main interlocutor between WFP stakeholders and the ET throughout the evaluation and generally facilitate communication and promote stakeholders' participation throughout the evaluation process.
- Support the evaluation team by orienting members on WFP, EQAS and the evaluation requirements; providing them with relevant documentation and generally advising on all aspects of the evaluation to ensure that the evaluation team is able to conduct its work.
- Ensure that the evaluation proceeds in line with EQAS, the norms and standards and code of conduct of the profession and that quality standards and deadlines are met.
- Ensure that a rigorous and objective quality check of all evaluation products is conducted ahead
  of submission to WFP. This quality check will be documented and an assessment of the extent to
  which quality standards are met will be provided to WFP.
- Provide feedback on the evaluation process as part of an evaluation feedback e-survey.

#### **6.3** Evaluation Conduct

- 40. The ET will conduct the evaluation under the direction of the EM. The team will be hired by the company following agreement with OEV on its composition.
- 41. **Team composition.** The evaluation team is expected to include two to three members, including the team leader. It should include women and men of mixed cultural backgrounds. At least one team member should have WFP experience.

- 42. **Team competencies.** The team will be multi-disciplinary and include members who together include an appropriate balance of expertise and practical knowledge in the following areas (listed in order of priority):
- Disaster risk reduction (DDR), Climate Change Adaptation (CCA) and Resilience
- Nutrition programming
- Refugees context, protection
- Gender expertise / good knowledge of gender issues within the country/regional context as well
  as understanding of UN system-wide and WFP commitments on gender.
- 43. All team members should have strong analytical and communication skills, evaluation experience and familiarity with the country or region.
- 44. Oral and written language requirements include full proficiency in both English and French within the team. As specified in section 5, the Inception package and Evaluation report will need to be written in English.
- 45. The **Team Leader** will have good communication, management and leadership skills and demonstrated experience and good track record in leading similar evaluations. He/she should also have excellent English writing and presentation skills, technical expertise in one of the technical areas listed above as well as expertise in designing methodology and data collection tools.
- 46. Her/his primary responsibilities will be: i) defining the evaluation approach and methodology; ii) guiding and managing the team; iii) leading the evaluation mission and representing the evaluation team; iv) drafting and revising, as required, the inception package, exit debriefing presentation and evaluation report in line with EQAS; and v) provide feedback to OEV on the evaluation process as part of an evaluation feedback e-survey.
- 47. **The team members** will bring together a complementary combination of the technical expertise required and have a track record of written work on similar assignments.
- 48. Team members will: i) contribute to the methodology in their area of expertise based on a document review; ii) conduct field work; iii) participate in team meetings and meetings with stakeholders; iv) contribute to the drafting and revision of the evaluation products in their technical area(s); and v) provide feedback on the evaluation process as part of an evaluation feedback e-survey.

# 6.4 Security Considerations

- 49. As an 'independent supplier' of evaluation services to WFP, the evaluation company is responsible for ensuring the security of all persons contracted, including adequate arrangements for evacuation for medical or situational reasons. The consultants contracted by the evaluation company do not fall under the UN Department of Safety & Security (UNDSS) system for UN personnel.
- 50. However, to avoid any security incidents, the Evaluation Manager is requested to ensure that:
  - Travelling team members complete the UN system's applicable Security in the Field courses in advance, print out their certificates and take them with them. (These take a couple of hours to complete.)
  - The WFP CO registers the team members with the Security Officer on arrival in country and arranges a security briefing for them to gain an understanding of the security situation on the ground.
  - The team members observe applicable UN security rules and regulations e.g. curfews etc.

For more information, including the link to UNDSS website, see <u>EQAS for operations evaluations</u> page 34.

# 7. Roles and Responsibilities of WFP Stakeholders

- 51. The Country Office. The CO management will be responsible to:
- Assign a focal point for the evaluation. **Elvira Pruscini**, Deputy Country Director (DCD), will be the CO focal point for this evaluation.
- Comment on the TORs, inception package and the evaluation report
- Provide the evaluation manager and team with documentation and information necessary to the evaluation; facilitate the team's contacts with local stakeholders; set up meetings, field visits; provide logistic support during the fieldwork; and arrange for interpretation, if required.
- Organise security briefings for the evaluation team and provide any materials as required
- Participate in discussions with the evaluation team on the evaluation design and on the operation, its performance and results and in various teleconferences with the evaluation manager and team on the evaluation products.
- Organise and participate in two separate debriefings, one internal and one with external stakeholders.
- Prepare a management response to the evaluation recommendations.
- Provide feedback to OEV on the evaluation process as part of an evaluation feedback e-survey.
- 52. **The Regional Bureau.** The RB management will be responsible to:
- Assign a focal point for the evaluation. Natasha Nadazdin, Senior Regional Programme Adviser, will be the RB focal point for this evaluation.
- Participate in discussions with the evaluation team on the evaluation design and on the
  operation, its performance and results. In particular, the RB should participate in the evaluation
  debriefing and in various teleconferences with the evaluation manager and team, as required.
- Provide comments on the TORs, inception package and the evaluation report.
- Coordinate the management response to the evaluation and track the implementation of the recommendations.
- Provide feedback to OEV on the evaluation process as part of an evaluation feedback e-survey.
- 53. **Headquarters.** Some HQ divisions might, as relevant, be asked to discuss WFP strategies, policies or systems in their area of responsibility and to comment on the evaluation TOR and report.
- 54. **The Office of Evaluation.** OEV is responsible for commissioning the evaluation and **Filippo Pompili**, Evaluation Officer, is the OEV focal point. OEV's responsibilities include to:
- Set up the evaluation including drafting the TOR in consultation with concerned stakeholders; select and contract the external evaluation company; and facilitate the initial communications between the WFP stakeholders and the external evaluation company.
- Enable the company to deliver a quality process and report by providing them with the EQAS documents including process guidance, content guides and templates as well as orient the evaluation manager on WFP policies, strategies, processes and systems as required.
- Comment on the draft inception package.
- Comment on the evaluation report and approve the final version.
- Submit the final evaluation report to an external post-hoc quality review process to independently report on the quality, credibility and utility of the evaluation and provide feedback to the evaluation company accordingly.
- Publish the final evaluation report on the WFP public website and incorporate findings into an annual synthesis report, which will be presented to WFP's Executive Board for consideration.
- Conduct an evaluation feedback e-survey to gather perceptions about the evaluation process and the quality of the report to be used to revise the approach, as required.

# 8. Communication and budget

#### 8.1. Communication

- 55. Issues related to language of the evaluation are noted in sections 6.3 and 5, which also specifies which evaluation products will be made public and how and provides the schedule of debriefing with key stakeholders. Section 5 (para 34) describes how findings will be disseminated.
- 56. To enhance the learning from this evaluation, the evaluation manager and team will also emphasize transparent and open communication with WFP stakeholders. Regular teleconferences and one-on-one telephone conversations between the evaluation manager, team and country office focal point will assist in discussing any arising issues and ensuring a participatory process.

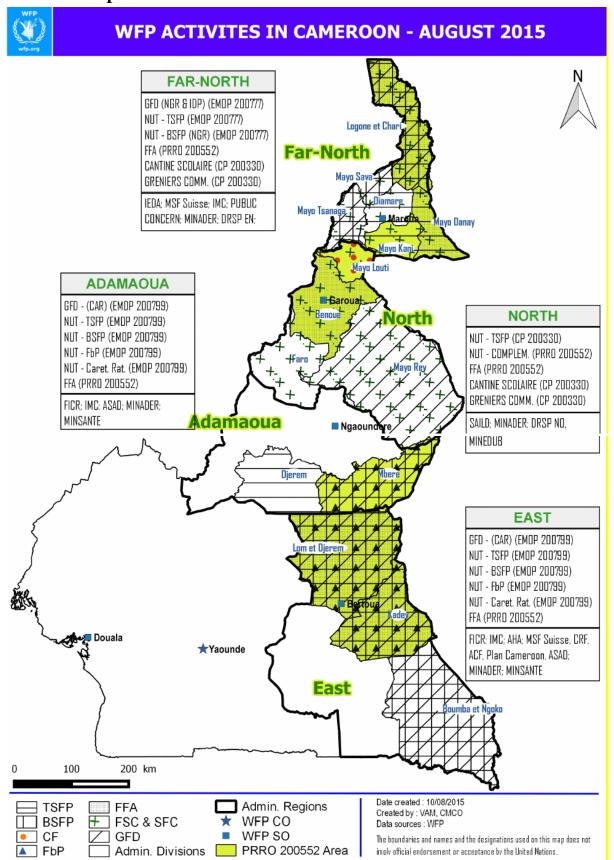
# 8.2. Budget

- 57. **Funding source:** The evaluation will be funded in line with the WFP special funding mechanism for Operations Evaluations (ED Decision memo dated October 2012 and more recent ED Decision memo 3913 dated 30 July 2015). The cost to be borne by the CO will be established by the WFP Budget & Programming Division (RMB).
- 58. **Budget:** The budget will be prepared by the company (using the rates established in the LTA and the corresponding template) and approved by OEV. For the purpose of this evaluation the company will:
- Use the management fee corresponding to a small operation.
- Not budget for domestic travel.

Please send queries to:

Filippo Pompili, Evaluation Officer, at: filippo.pompili@wfp.org, phone: +39 06 6513 6454.

# Annex 1: Map



# **Annex 2: Evaluation timeline**

			E	ntity						201	5																201	6											
	Activity/Deliverables		Responsible			ı	Nov		,	Dec					Jar	1	т	F	eb			N	<b>Iar</b>		Apr					May		Jun					Jı	ul	
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		Eval ınag	Eval Peam	OEV	8	2 3	02-Nov	von-60	16-Nov	30-Nov	o7-Dec	14-Dec	21-Dec	28-De	04-Jan	11-Jan	18-Jan 25-Jan	o1-Feb	o8-Feb	15-Feb	22-Feb	29-Feb	o7-Mar	14-Mar 21-Mar	28-Mau	04-Apr	11-Apr	18-Apr	25-Apr	o2-May	09-May	23-May	30-May	unf-90	13-Jun	20-Jun	nnf-/	o4-Jul	11-Jul 18-Jul
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1	Desk review, consultation (intro call) and preparation of TOR			X																																			
2	Stakeholders comments on TORs				X Z	K																																	
3	Final TOR			X																																			
	Evaluation company selection and contracting			X																																			
5	Operational documents and data consolidation and sharing				X																																		
6	Hand-over of eval management to EM	X		X																												П							
7	Evaluation team briefing - expectations, requirements, quality standards	X	X																																				
8	Desk review, Consultation with the CO/RB , drafting of the Inception Package		Х																										П										П
9	Quality Assurance of the Inception Package	X																														Т			П	Т	Т	T	П
10	Draft Inception Package	X	X																													Т			П	Т	Т	T	П
11	Comments on Inception Package			X	X Z	K																										T				$\top$	Т	T	П
12	Revise Inception Package and final Quality Assurance of IP	X	X																													Т			П	Т	Т	T	П
13	Final Inception Package	X	X																													T				T	Т	T	П
14	Eval mission preparation (setting up meetings, field visits, etc)				X																											Т			П	Т	Т	T	П
15	Introductory briefing		X		X																		T			Т			П			T	Т		П				П
16	Field work		X																													Т			П	Т	Т	T	$\Box$
17	Exit debriefing	X	X	X	X Z	K																										Т			П		T		П
18	Exit debriefing presentation of preliminary findings conclusions	X	X																										П						П		T		П
19	Evaluation Report drafting		X																										П			Т							$\Box$
20	Quality Assurance of the draft Evaluation Report	X																											П			Т							$\Box$
21	Draft Evaluation Report	X	X																													Т							$\Box$
22	Stakeholders comments on Evaluation Report			X	X Z	K																										Т			П	Т	Т	T	П
23	Revision of the report + comments matrix	X	X																																				$\Box$
24	Final Evaluation Report	X	X																											$\Box$						$\top$	T	Ť	$\sqcap$
25	Preparation of the Management Response				X Z	K																														Т		Т	$\Box$
26	Management Response				X Z	K												Т											П	$\Box$		Т						T	$\Box$
27	Post-hoc Quality Review and end of evaluation survey			X																									П	$\Box$		T							
28	Report Publication + integration in lessons learning			X																												Т							

#### **Acronyms**

ALNAP Active Learning Network for Accountability and Performance in Humanitarian Action

BR Budget Revision

CO Country Office (WFP)

DAC Development Assistance Committee

EB (WFP's) Executive Board

ED (WFP's) Executive Director

EQAS Evaluation Quality Assurance System

EM Evaluation manager

ER Evaluation Report

ET Evaluation Team

FbP Food-by-Prescription

GEEW Gender empowerment and equality of women

HQ Headquarters (WFP)

IP Inception Package

LTA Long-Term Agreement

MDG Millennium Development Goals

M&E Monitoring and Evaluation

MINIPROF Ministry for Women and the Family

Mt Metric Ton

NGO Non-Governmental Organisation

OEV Office of Evaluation (WFP)

OpEv Operation Evaluation

RB Regional Bureau (WFP)

TOR Terms of Reference

UN United Nations

UNCT United Nations Country Team

UNHCR United Nations High Commissioner for Refugees

UNICEF United Nations Children's Fund

UNEG United Nations Evaluation Group

WFP World Food Programme